



07-12-2001

6.22.01

RECORDATION FORM CC
PATENTS ONLY



101775891

TO: The Commissioner of Patents and Trademarks: Please record the attached

Submission Type

- ☒ New
☐ Resubmission (Non-Recordation)
☐ Document ID #
☐ Correction of PTO Error
☐ Reel # Frame #
☐ Corrective Document
☐ Reel # Frame #

Conveyance Type

- ☒ Assignment ☐ Security Agreement
☐ License ☐ Change of Name
☐ Merger ☐ Other

U.S. Government

(For Use ONLY by U.S. Government Agencies)

- ☐ Departmental File ☐ Secret File

Conveying Party(ies)

☒ Mark if additional names of conveying parties attached

Name (line 1)

Execution Date
Month Day Year
03 19 2001

Name (line 2)

Second Party

Name (line 1)

Execution Date
Month Day Year
03 19 2001

Name (line 2)

Receiving Party

☐ Mark if additional names of receiving parties attached

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

FOR OFFICE USE ONLY

Public burden reporting for this collection of information is estimated to average approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and gathering the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Chief Information Officer, Washington, D.C. 20231 and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (0651-0027), Washington, D.C. 20503. See OMB Information Collection Budget Package 0651-0027, Patent and Trademark Assignment Practice. DO NOT SEND REQUESTS TO RECORD ASSIGNMENT DOCUMENTS TO THIS ADDRESS.

Mail documents to be recorded with required cover sheet(s) information to:
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

07/12/2001 6TOM11 00000012 190733 09749197

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PATENT
REEL: 011956 FRAME: 0871

**RECORDATION FORM COVER SHEET
CONTINUATION
PATENTS ONLY**

U.S. Department of Commerce
Patent and Trademark Office
PATENT

Conveying Party(ies)

☐ Mark if additional names of conveying parties attached

Enter additional Conveying Parties

Name (line 1)

Execution Date
Month Day Year

Name (line 2)

Name (line 1)

Execution Date
Month Day Year

Name (line 2)

Name (line 1)

Execution Date
Month Day Year

Name (line 2)

Receiving Party(ies)

☐ Mark if additional names of receiving parties attached

Enter additional Receiving Party(ies)

Name (line 1)

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

Name (line 1)

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

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Correspondent Name and Address

Area Code and Telephone Number

202/508-9196

Name Patricia E. Hong

Address (line 1) Banner & Witcoff, Ltd.

Address (line 2) 1001 G Street, N.W.

Address (line 3) Suite 1100

Address (line 4) Washington, D.C. 20001

Pages

Enter the total number of pages of the attached conveyance document including any attachments

2

Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached.

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

09/749,197

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

Patent Cooperation Treaty (PCT)

Enter PCT application number only if a U.S. Application Number as not been assigned.

PCT

PCT

PCT

PCT

PCT

PCT

Number of Properties

Enter the total number of properties involved.

1

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41):

\$ 40

Method of Payment:

Enclosed ☐

Deposit Account ☒

Deposit Account

Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

19-0733

Authorization to charge additional fees:

Yes ☒

No ☐

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Patricia E. Hong

Name of Person Signing

Signature

June 22, 2001

Date

ASSIGNMENT

In consideration of One Dollar and other good and valuable consideration, of which we acknowledge receipt, Manoj Ramprasad Shah of 4 Chippendale Court, Latham, New York 12110, Sameh Ramadan Salem of 18 Appleton Road, Rexford, New York 12148, and Ronald Irving Longwell of 4 Partridge Plateau, Ballston Lake, New York 12019-9228, sell and assign to General Electric Company, a New York Corporation, its successors and assigns the entire right, title and interest in and to the improvements in A Power Generator invented by us, as described in the application for United States Patent filed on December 27, 2000 as Serial No. 09/749,197; and any and all applications for patent and patents therefor in any and all countries, including all divisions, reissues, continuations and extensions thereof, and all rights of priority resulting from the filing of said United States application, and authorize and request any official whose duty it is to issue patents, to issue any patent on said improvements or resulting therefrom to said General Electric Company, or its successors or assigns and agree that on request and without further consideration, but at the expense of said Company, we will communicate to said Company or its representatives or nominees any facts known to us respecting said improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid said Company, its successors, assigns, and nominees to obtain and enforce proper patent protection for said improvements in all countries.

March 19, 2001
Date

Manoj Ramprasad Shah
Name: Manoj Ramprasad Shah

STATE OF New York)
) ss:
COUNTY OF Schenectady)

This 19th day of March 2001, before me personally came the above-named Manoj Ramprasad Shah to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes herein set forth.

SUSAN M. ZAMPIER
Notary Public in the State of New York
Qualified in Saratoga County
No. 01ZA5069047
My Commission Expires Nov 11 2002

Susan M. Zamper
Notary Public
My Commission Expires: 11/11/02

3/9, 2001
Date

Sameh Ramadan Salem
Name: Sameh Ramadan Salem

STATE OF New York)
) ss:
COUNTY OF Schenectady)

This 9th day of March 2001, before me personally came the above-named Sameh Ramadan Salem, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes herein set forth.

BONNIE A. MAYNARD
Notary Public, State of New York
No. 01MA6033992
Qualified in Schenectady County
Commission Expires December 6, 2001

Bonnie A. Maynard
Notary Public
My Commission Expires: Dec 6, 2001

March 9, 2001
Date

Ronald Irving Longwell
Name: Ronald Irving Longwell

STATE OF New York)
) ss:
COUNTY OF Schenectady)

This 9th day of March 2001, before me personally came the above-named Ronald Irving Longwell, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes herein set forth.

BONNIE A. MAYNARD
Notary Public, State of New York
No. 01MA6033992
Qualified in Schenectady County
Commission Expires December 6, 2001

Bonnie A. Maynard
Notary Public
My Commission Expires: Dec 6, 2001