

07-13-2001

FORM PTO-1595  
(Rev. 6-93)  
OMB No. 0651-0011 (exp. 4/94)



U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

101776493

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying parties:  
Teresa Baldwin, Nigel Hacker, Joseph Kennedy, and  
Richard Spear

Additional name(s) & party(ies) attached?  Yes  No

2. Name and address of receiving party(ies):

Name: Honeywell International Inc.

Internal Address:

Street Address: 101 Columbia Road, PO Box  
2245

City: Morristown State: NJ Zip: 07962

Additional name(s) & address(es) attached?  Yes  No

3. Nature of conveyance:

Assignment

Merger

Security Agreement

Change of Name

Other

Execution Date: May 30, 2001

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)  
09/698,883

B. Patent No.(s)

JUL - 9 2001

Additional numbers attached?  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Robert D. Fish

Internal Address: Fish & Associates, LLP

Street Address: 1440 N. Harbor Blvd., Suite 706

City: Fullerton State: CA Zip: 92835

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41) .....\$ 40.00

Enclosed

Authorized to be charged to deposit account

8. Deposit account number:

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Robert D. Fish

Name of Person Signing

Signature

July 3, 2001

Date

Total number of pages including cover sheet, attachments, and document: 7

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents and Trademarks, Box Assignments  
Washington, D.C. 20231

PATENT  
REEL: 011960 FRAME: 0808

ASSIGNMENT

Assignment before issue of Letters Patent

HW File 30-4725 DIV1 (4780)

WHEREAS, Teresa BALDWIN, Nigel HACKER, Joseph KENNEDY, and Richard SPEAR (hereinafter "Assignor") have invented certain new and useful improvements in Spin-On Glass Anti-Reflective Coatings for Photolithography

(hereinafter "invention") for which Assignor is making or has made application for LETTERS PATENT OF THE UNITED STATES, which application has been duly executed by Assignor on the day(s) and date(s) of these presents.

AND WHEREAS, Honeywell International Inc., a corporation organized and existing under the laws of the State of Delaware, U.S.A., having a place of business at 101 Columbia Road, Morris Township, Morris County, New Jersey, U.S.A., hereinafter called the Assignee, is desirous of acquiring the entire right, title and interest in and to said invention within the United States of America and its territorial possessions and all foreign countries and any United States or foreign LETTERS PATENT that may be granted therefor.

NOW, THIS INDENTURE WITNESSETH, that for good and valuable considerations, the receipt whereof is hereby acknowledged, Assignor has assigned, sold and transferred, and does hereby assign, sell and transfer to the said Assignee the entire right, title and interest in and to the said invention, within the United States of America and its territorial possessions and all foreign countries and in and to any LETTERS PATENT of the United States and foreign countries, including utility models, inventor's certificates and like government grants, and all divisions, reissues, continuations and extensions thereof that may be granted therefor, and the right to apply for LETTERS PATENT in foreign countries with full benefit of such priorities as may now or hereafter be granted to Assignor by local laws or by treaty, including any international convention, for the protection of industrial property, together with the right to extend the protection of said U.S. LETTERS PATENT to the various territorial possessions now owned or which may be hereafter acquired by the United States of America, all said rights to be held and enjoyed by the Assignee for its own use and benefit, and for the use and benefit of its successors or assigns, to the full end of the term for which said LETTERS PATENT may be granted, as fully and entirely as the same would have been held and enjoyed by Assignor if this assignment and sale had not been made. And Assignor does hereby request and authorize the Commissioner of Patents and Trademarks, U.S.A., to issue said U.S. LETTERS PATENT, when granted, in accordance with this assignment.

Assignor further covenants and agrees with the Assignee that Assignor has a full and unencumbered title to the invention hereby assigned, which title Assignor warrants unto the Assignee, and Assignor further agrees that Assignor will, without demanding any further consideration therefor, at the request but at the expense of the Assignee, do all lawful and just acts, including the execution and acknowledgment of instruments, that may be or become necessary for obtaining, sustaining, extending, reissuing or reexamining United States and foreign LETTERS PATENT or the like for the said invention, and for maintaining and perfecting the Assignee's right to said invention and LETTERS PATENT particularly in cases of interference, conflict, opposition and litigation.

IN TESTIMONY WHEREOF, I have hereunto set my hand this 4th day of June, 2001
Teresa BALDWIN [Signature]

IN TESTIMONY WHEREOF, I have hereunto set my hand this \_\_\_ day of \_\_\_, 2001
Nigel HACKER

IN TESTIMONY WHEREOF, I have hereunto set my hand this \_\_\_ day of \_\_\_, 2001
Joseph KENNEDY

ASSIGNMENT

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IN TESTIMONY WHEREOF, I have hereunto set my hand this \_\_\_\_\_ day of \_\_\_\_\_, 2001

Teresa BALDWIN

IN TESTIMONY WHEREOF, I have hereunto set my hand this 30 day of May, 2001

Nigel HACKER

*Nigel Hacker*

IN TESTIMONY WHEREOF, I have hereunto set my hand this \_\_\_\_\_ day of \_\_\_\_\_, 2001

Joseph KENNEDY

ASSIGNMENT

Assignment before issue of Letters Patent

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IN TESTIMONY WHEREOF, I have hereunto set my hand this \_\_\_\_\_ day of \_\_\_\_\_, 2001

Teresa BALDWIN \_\_\_\_\_

IN TESTIMONY WHEREOF, I have hereunto set my hand this \_\_\_\_\_ day of \_\_\_\_\_, 2001

Nigel HACKER \_\_\_\_\_

IN TESTIMONY WHEREOF, I have hereunto set my hand this 4 day of June, 2001

Joseph KENNEDY \_\_\_\_\_

**ASSIGNMENT**

Assignment before issue of Letters Patent

IN TESTIMONY WHEREOF, I have hereunto set my hand this 20 day of JUNE, 2001

Richard SPEAR

*Richard Spear*

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Assignment

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TO  
HONEYWELL INTERNATIONAL INC.

INVENTION: Spin-On Glass Anti-Reflective  
Coatings for Photolithography

RECORDED: 07/09/2001

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HW File: 30-4725 DIV1 (4780)

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Honeywell International Inc.

PATENT  
REEL: 011960 FRAME: 0813