

07-16-2001

PTO-1595

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101777214

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1 Name of conveying party(ies):

06/01/01

Cyril Cabral, Jr.
Lawrence Clevenger
Louis Hsu
Keith Kwong Hon Wong

2 Name and address of receiving party(ies):

International Business Machines Corporation
Armonk, New York 10504

JC971 U.S. PTO
09/872603

06/01/01

3 Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name

☐ Other:Execution Date: May 8, 2001Additional name(s) & address(es) attached ☐ Yes ☒ No

4 Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: 05-08-01

A. Patent Application No(s).

09/872603

B. Patent No(s)

Additional numbers attached? ☐ Yes ☒ No

5 Name and address of party to whom correspondence concerning document should be mailed:

RANDY W. TUNG
Tung & Associates
838 W. Long Lake Road
Suite 120
Bloomfield Hills, Michigan 48302

The PTO did not receive the following
listed item(s) Fee \$40.00

6 Total number of applications and patents involved:

1

7 Total fee (37 CFR 3.41)

\$40.00

☒ Enclosed☐ Authorized to be charged to deposit account

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To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Randy W. Tung
Name of Person Signing
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Signature

June 1, 2001

Date

Total number of pages including cover sheet, attachments, and document : 3

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
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64,610-060

PATENT
REEL: 011963 FRAME: 0596

ASSIGNMENT

Whereas, we

INVENTOR AND CITY	(1) CYRIL CABRAL, JR County of Westchester	of Ossining and State of New York
	and (2) LAWRENCE CLEVINGER County of Dutchess	of LaGrangeville and State of New York
	and (3) LOUIS HSU County of Dutchess	of Fishkill and State of New York
	and (4) KEITH KWONG HON WONG County of Dutchess	of Wappingers Falls and State of New York

have invented certain improvements in

TITLE METHOD FOR FORMING REFRACTORY METAL-SILICON-NITROGEN
CAPACITORS AND STRUCTURES FORMED

and executed, respectively, a United States patent application therefor on

DATES THAT (1) May 8, 2001, and (2) May 8, 2001
INVENTORS (3) May 8, 2001, and (4) May 8, 2001
SIGNED THE
DECLARATION

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), is desirous to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now, therefore, for valuable consideration, receipt whereof is hereby acknowledged, we, the above named, hereby sell, assign and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the inventions set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

Signed and sealed

CITY
DATE
1) at *Yorktown Heights*
on *New York*
May 8, 2001 *Cyrl Cabral, Jr* (Signature)
CYRL CABRAL, JR.
FIRST NAME MIDDLE INITIAL LAST NAME

CITY
DATE
and 2) at *T*
on 2001 *Lawrence Clevenger* (Signature)
LAWRENCE CLEVINGER
FIRST NAME MIDDLE INITIAL LAST NAME

CITY
DATE
and 3) at *Fishkill*
on *5/8/* 2001 *Louis Hsu* (Signature)
LOUIS HSU
FIRST NAME MIDDLE INITIAL LAST NAME

CITY
DATE
and 4) at *Fishkill*
on *5/8* 2001 *Keith Kwong Hon Wong* (Signature)
KEITH KWONG HON WONG
FIRST NAME MIDDLE INITIAL LAST NAME