

Docket No.: PBT-10902/04

FORM PTO-1585 (Modified)
(Rev. 5-93)
OMB No. 0651-0011 (exp. 4/94)
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U.S. DEPARTMENT OF COMMERCE
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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Jonathan D. Zook
David W. Jordan
Dean M. Willard
George Jones

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: PBT Brands, Inc.Address: 20303 High Point PlaceCity: Santa ClaritaState/Prov.: CACountry: USAZIP: 91351

Additional name(s) & address(es)

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other _____Execution Date: 3/19/01, 3/19/01, 3/28/01, 3/19/01

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: _____

Patent Application No.

Filing date

B. Patent No.(s)

09/802,336March 9, 2001

Additional numbers

☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Avery N. Goldstein, Ph.D.Registration No. 39,204

Address: Gifford, Krass, Groh, Sprinkle, Anderson &
Citkowski, P.C.

280 N. Old Woodward Ave., Suite 400City: BirminghamState/Prov.: MICountry: USAZIP: 48009-5394

6. Total number of applications and patents involved:

17. Total fee (37 CFR 3.41):.....\$ 40.00☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account☒ Authorized to be charged to deposit account

8. Deposit account number:

07-1180

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Avery N. Goldstein, Ph.D.

Name of Person Signing

Signature

Date

700007484

Total number of pages including cover sheet, attachments, etc.

REEL: 011955 FRAME: 0156

ASSIGNMENT

WHEREAS, we, **Jonathan D. Zook** of Santa Clarita, California; **David W. Jordan** of Northridge, California; **Dean M. Willard** of New York, New York; **George Jones** of Tustin, California; and **Michael Cosman** of Irvine, California, have invented **SEALANTS CONTAINING MULTIMODAL POLYMER BLENDS**, for which we are about to make application for Letters Patent of the United States of America, and

WHEREAS, **PBT Brands, Inc.**, a _____ corporation, having its principal place of business at 20303 High Point Place, Santa Clarita, California 91351, desires to acquire the entire right, title and interest in and to said invention:

NOW, THEREFORE, for and in consideration of the sum of One Dollar (\$1.00) and other good and valuable considerations, receipt whereof is hereby acknowledged, we, **Jonathan D. Zook**, **David W. Jordan**, **Dean M. Willard**, **George Jones**, and **Michael Cosman**, by these present do sell, assign and transfer unto said corporation, its successors and assigns, all right, title and interest in the United States of America and all foreign countries in and to said invention as described in the patent application, executed by us on the ____ day of _____ 2001, the ____ day of _____ 2001, the ____ day of _____ 2001, the ____ day of _____ 2001, and the ____ day of _____ 2001, respectively, (and we hereby authorize our attorneys, authorized to prosecute said application to here insert the filing date and serial number of the said application, as soon as it is known, Serial No. _____, filed _____), and to any improvements on said invention heretofore or hereafter made while we are in the employ of said corporation, and any divisions or continuations of said application, and all Patents, United States and foreign, granted upon any such applications or for the inventions described therein, and any reissues or extensions of said Patents; and we hereby authorize and request the Commissioner of Patents to issue all Patents on said United States applications to said corporation as assignee thereof.

FOR SAID CONSIDERATIONS, we hereby covenant and agree that we are the owners of the full title herein assigned and have the right to assign the same, and agree that we will communicate to said corporation or its representatives, any facts known to us respecting said invention or inventions and testify in any legal proceedings relating thereto when called upon, and will sign all instruments and documents and render such assistance which in the judgment of said corporation is necessary to vest in it and protect the legal title sought to be assigned.

Date: 3/19/01

Jonathan D. Zook
Jonathan D. Zook

Date: 3/19/01

David W. Jordan
David W. Jordan

Date: _____

Dean M. Willard

Date: 3/19/01

George M. Jones
George Jones

Date: _____

Michael Cosman

ASSIGNMENT

WHEREAS, we, **Jonathan D. Zook** of Santa Clarita, California; **David W. Jordan** of Northridge, California; **Dean M. Willard** of New York, New York; **George Jones** of Tustin, California; and **Michael Cosman** of Irvine, California, have invented **SEALANTS CONTAINING MULTIMODAL POLYMER BLENDS**, for which we are about to make application for Letters Patent of the United States of America, and

WHEREAS, **PBT Brands, Inc.**, a DELAWARE corporation, having its principal place of business at 20303 High Point Place, Santa Clarita, California 91351, desires to acquire the entire right, title and interest in and to said invention:

NOW, THEREFORE, for and in consideration of the sum of One Dollar (\$1.00) and other good and valuable considerations, receipt whereof is hereby acknowledged, we, **Jonathan D. Zook**, **David W. Jordan**, **Dean M. Willard**, **George Jones**, and **Michael Cosman**, by these present do sell, assign and transfer unto said corporation, its successors and assigns, all right, title and interest in the United States of America and all foreign countries in and to said invention as described in the patent application, executed by us on the ____ day of _____ 2001, the ____ day of _____ 2001, the ____ day of _____ 2001, the ____ day of _____ 2001, and the ____ day of _____ 2001, respectively, (and we hereby authorize our attorneys, authorized to prosecute said application to here insert the filing date and serial number of the said application, as soon as it is known, Serial No. _____, filed _____), and to any improvements on said invention heretofore or hereafter made while we are in the employ of said corporation, and any divisions or continuations of said application, and all Patents, United States and foreign, granted upon any such applications or for the inventions described therein, and any reissues or extensions of said Patents; and we hereby authorize and request the Commissioner of Patents to issue all Patents on said United States applications to said corporation as assignee thereof.

FOR SAID CONSIDERATIONS, we hereby covenant and agree that we are the owners of the full title herein assigned and have the right to assign the same, and agree that we will communicate to said corporation or its representatives, any facts known to us respecting said invention or inventions and testify in any legal proceedings relating thereto when called upon, and will sign all instruments and documents and render such assistance which in the judgment of said corporation is necessary to vest in it and protect the legal title sought to be assigned.

Date: _____

Jonathan D. Zook

Date: _____

David W. Jordan

Date: 7/27/01

Dean M. Willard

Date: _____

George Jones

Date: _____

Michael Cosman