

07-17-2001



101778420

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New 07/05/01

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**Conveyance Type**

Assignment  Security Agreement

License  Change of Name

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Departmental File  Secret File

J1046 U.S. PTO  
09/09/01  
07/05/01

**Conveying Party(ies)**

Mark if additional names of conveying parties attached

Name	Execution Date		
	Month	Day	Year
Name (line 1) Nguyen, Tue	06	27	2001
Name (line 2)			

**Second Party**

Name	Execution Date		
	Month	Day	Year
Name (line 1) Nguyen, Tai Dung	06	27	2001
Name (line 2)			

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Enter for the first Receiving Party only.

Name

Address (line 1)

Address (line 2)

Address (line 3)

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**PATENT**  
**REEL: 11965 FRAME: 0404**

**Correspondent Name and Address**

Area Code and Telephone Number

Name

Address (line 1)

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**Pages** Enter the total number of pages of the attached conveyance document including any attachments. #

**Application Number(s) or Patent Number(s)**  Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

<input type="text" value="09/898439"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor. Month Day Year

**Patent Cooperation Treaty (PCT)**

Enter PCT application number only if a U.S. Application Number has not been assigned.

PCT <input type="text"/>	PCT <input type="text"/>	PCT <input type="text"/>
PCT <input type="text"/>	PCT <input type="text"/>	PCT <input type="text"/>

**Number of Properties** Enter the total number of properties involved. #

**Fee Amount** Fee Amount for Properties Listed (37 CFR 3.41): \$

Method of Payment: Enclosed  Deposit Account

Deposit Account (Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number: #

Authorization to charge additional fees: Yes  No

**Statement and Signature**

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Tue Nguyen  
Name of Person Signing



Signature

06-27-2001  
Date

**ASSIGNMENT OF APPLICATION**

Docket Number (Optional)  
SIM077

Whereas, I, Tue Nguyen of Fremont, California, hereafter referred to as applicant, have invented certain new and useful improvements in Plasma semiconductor processing system and method


for which an application for a United States Patent was filed on \_\_\_\_\_, Application Number \_\_\_\_\_ / \_\_\_\_\_.

for which an application for a United States Patent was executed on 06/27/2001, and

Whereas, Simplus Systems Corporation of Delaware state herein referred to "assignee" whose mailing address is 40737 Encyclopedia Circle, Fremont, CA 94538 is desirous of acquiring the entire right, title and interest in the same;

Now, therefore, in consideration of the sum of one dollars (\$ 1.00 ), the receipt whereof is acknowledged, and other good and valuable consideration, I, the applicant, by these presents do sell, assign and transfer unto said assignee the full and exclusive right to the said invention in the United States and the entire right, title and interest in and to any and all Patents which may be granted therefor in the United States, I hereby authorize and request the Commissioner of Patents and Trademarks to issue said United States Patent to said assignee, of the entire right, title, and interest in and to the same, for his sole use and behoof; and for the use and behoof of his legal representatives, to the full end of the term for which said Patent may be granted, as fully and entirely as the same would have been held by me had this assignment and sale not been made.

Executed this 27 th day of June, 20 01,  
at Fremont, California

  
(Signature)

State of \_\_\_\_\_ ) SS:  
County of \_\_\_\_\_ )

Before me personally appeared said \_\_\_\_\_  
and acknowledged the foregoing instrument to be his free act and deed this \_\_\_\_\_  
day of \_\_\_\_\_, 20\_\_\_\_.

Seal

\_\_\_\_\_  
(Notary Public)

Burden Hour Statement: This form is estimated to take 0.1 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner of Patents and Trademarks, Washington, DC 20231.

**ASSIGNMENT OF APPLICATION**

Docket Number (Optional)

SIM077

Whereas, I, Tai Dung Nguyen of Fremont, CA, hereafter referred to as applicant, have invented certain new and useful improvements in Plasma semiconductor processing system and method

for which an application for a United States Patent was filed on \_\_\_\_\_, Application Number \_\_\_\_\_/\_\_\_\_\_.

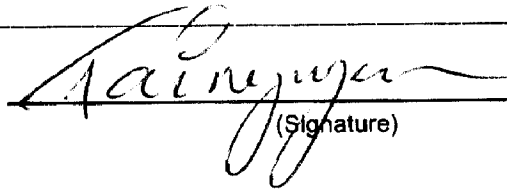
for which an application for a United States Patent was executed on 06/27/2001, and

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Now, therefore, in consideration of the sum of one dollars (\$ 1.00 ), the receipt whereof is acknowledged, and other good and valuable consideration, I, the applicant, by these presents do sell, assign and transfer unto said assignee the full and exclusive right to the said invention in the United States and the entire right, title and interest in and to any and all Patents which may be granted therefor in the United States, I hereby authorize and request the Commissioner of Patents and Trademarks to issue said United States Patent to said assignee, of the entire right, title, and interest in and to the same, for his sole use and behoof; and for the use and behoof of his legal representatives, to the full end of the term for which said Patent may be granted, as fully and entirely as the same would have been held by me had this assignment and sale not been made.

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