

07-17-2001

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FORM-PTO-1595  
1-31-92

TRANSMITTAL OF DOCUMENT FOR RECORDATION  
PATENTS ONLY

Atty. Docket 04342.105052

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies): John L. Casey,  
Brent E. Korba, Paul J. Cote, John L. Gerin, Bud C.  
Tennant, Chung K. Chu.

2. Name and address of receiving party(ies):

Name: Georgetown University

Additional name(s) of conveying party(ies) attached?  
 Yes  No

Foreign Address:  
Domestic Address: 37<sup>th</sup> and O Street, NW

3. Nature of Conveyance:  
 Assignment  Merger  
 Security Agreement  Change of Name  
Other \_\_\_\_\_  
Execution Date: 2/26/01, 2/26/01, 2/26/01, 2/26/01,  
5/18/01, and 1/26/01

City: Washington State: D.C. ZIP: 20057-1004  
Additional name(s) & address(es) attached?  
 Yes  No

4. Application number (s) or patent numbers(s):  
If this document is being filed together with a new application, the execution date of the application is: 6/24/99

A. Patent Application No.(s) 60/193,135 and 09/821,278 B Patent Registration No. (s) \_\_\_\_\_

Additional numbers attached?  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Sherry M. Knowles, Esq.  
191 Peachtree Street  
Atlanta, Georgia 30303-1763  
Telephone No.: 404- 572- 4600  
Facsimile No.: 404 572-5100

6. Number of applications and patents involved:

two

7. Total fee (37 CFR 3.41): \$ 80

Enclosed  
 Authorized to be charged to deposit account

8. Deposit account number

11-0980

(Attach duplicate copy of this page if paying by deposit account):

DO NOT USE THIS SPACE

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy of the original document.*

Sally Sexton

Name of Person Signing

Signature

June 29, 2001

Date

Total number of pages comprising cover sheet: 2

07/16/2001 DBYRME 00000036 110980 60193135

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Second Assignee: (Receiving party)

CORNELL RESEARCH FOUNDATION, INC.  
20 Thornwood Drive  
Suite 105  
Ithaca, NY 14850 US

Third Assignee: (Receiving party)

University of Georgia Research Foundation, Inc.,  
Boyd Graduate Studies Research Center  
Athens, Georgia 30602-7411

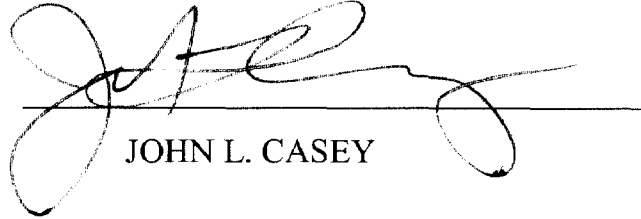
ASSIGNMENT

WHEREAS, WE, JOHN L. CASEY, BRENT E. KORBA, PAUL J. COTE, JOHN L. GERIN, BUD C. TENNANT AND CHUNG K. CHU have invented certain improvements in "*Method of Treating HDV Infection with L-FMAU*" for which we have executed United States provisional application no. 60/193,135 which was filed on March 29, 2000; and

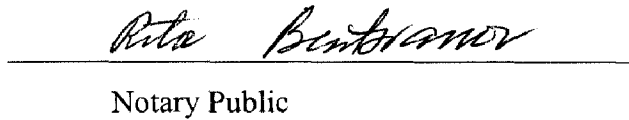
WHEREAS, GEORGETOWN UNIVERSITY, having an office at Ryan Administration Building, 37<sup>th</sup> and O Streets, N.W., Washington, DC 20057, US, desires to purchase same;

NOW, THEREFORE, in consideration of the sum of Five Dollars (\$5.00) and other good and valuable consideration paid by GEORGETOWN UNIVERSITY, the receipt and sufficiency of which are hereby acknowledged, I, JOHN L. CASEY, have sold, assigned, transferred and conveyed and by these presents do hereby sell, assign, transfer and convey unto GEORGETOWN UNIVERSITY, in and for the United States and its territories and for foreign countries, the entire right, title and interest in and to said provisional application and said application for United States Letters Patent, in and to the invention therein set forth and in and to any patent which may issue on said application for United States Letters Patent or any application, reissue, renewal, division, continuation or continuation-in-part thereof; or any foreign or international (PCT) counterpart thereof or any other application that claims priority to the above-application, and I hereby bind myself, my heirs, legal representatives, administrators and assigns properly to execute without further consideration, any and all applications, petitions, oaths and assignments or other papers and instruments which may be necessary in order to carry into full force and effect the sale, assignment, transfer and conveyance hereby made or intended to be made.

IN WITNESS WHEREOF, I have hereunto set my hand and seal this 26 day of February, 2001.

  
\_\_\_\_\_  
JOHN L. CASEY

On this 26 day of February, 2001, before me, a notary public, came John Louis Casey, to me known and known to be the individual described in and who executed the foregoing assignment, and he duly acknowledged the same to be his free act and deed.

  
\_\_\_\_\_  
Notary Public

(SEAL)

My commission expires: 03/01/2005

ASSIGNMENT

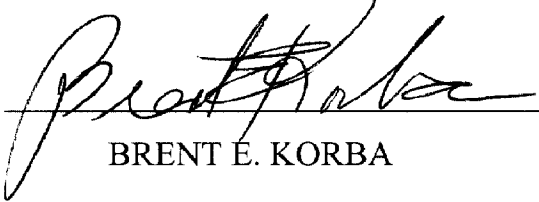
WHEREAS, WE, JOHN L. CASEY, BRENT E. KORBA, PAUL J. COTE, JOHN L. GERIN, BUD C. TENNANT AND CHUNG K. CHU have invented certain improvements in "*Method of Treating HDV Infection with L-FMAU*" for which we have executed United States provisional application no. 60/193,135 which was filed on March 29, 2000; and

WHEREAS, GEORGETOWN UNIVERSITY, having an office at Ryan Administration Building, 37<sup>th</sup> and O Streets, N.W., Washington, DC 20057, US, desires to purchase same;


NOW, THEREFORE, in consideration of the sum of Five Dollars (\$5.00) and other good and valuable consideration paid by GEORGETOWN UNIVERSITY, the receipt and sufficiency of which are hereby acknowledged, I, BRENT E. KORBA, have sold, assigned, transferred and conveyed and by these presents do hereby sell, assign, transfer and convey unto GEORGETOWN UNIVERSITY, in and for the United States and its territories and for foreign countries, the entire right, title and interest in and to said provisional application and said application for United States Letters Patent, in and to the invention therein set forth and in and to any patent which may issue on said application for United States Letters Patent or any application, reissue, renewal, division, continuation or continuation-in-part thereof; or any foreign or international (PCT) counterpart thereof or any other application that claims priority to the above-application, and I hereby bind myself, my heirs, legal representatives, administrators and assigns properly to execute without further consideration, any and all applications, petitions, oaths and assignments or other papers and instruments which may be necessary in order to carry into full force and effect the sale, assignment, transfer and conveyance hereby made or intended to be made.

IN WITNESS WHEREOF, I have hereunto set my hand and seal this 26 day of

February, 2001.

  
BRENT E. KORBA

On this 26 day of February, 2001, before me, a notary public, came Brent Edward Korba, to me known and known to be the individual described in and who executed the foregoing assignment, and he duly acknowledged the same to be his free act and deed.

  
Notary Public

(SEAL)

My commission expires: 03/01/2005

ASSIGNMENT


WHEREAS, WE, JOHN L. CASEY, BRENT E. KORBA, PAUL J. COTE, JOHN L. GERIN, BUD C. TENNANT AND CHUNG K. CHU have invented certain improvements in "*Method of Treating HDV Infection with L-FMAU*" for which we have executed United States provisional application no. 60/193,135 which was filed on March 29, 2000; and

WHEREAS, GEORGETOWN UNIVERSITY, having an office at Ryan Administration Building, 37<sup>th</sup> and O Streets, N.W., Washington, DC 20057, US, desires to purchase same;


NOW, THEREFORE, in consideration of the sum of Five Dollars (\$5.00) and other good and valuable consideration paid by GEORGETOWN UNIVERSITY, the receipt and sufficiency of which are hereby acknowledged, I, PAUL J. COTE, have sold, assigned, transferred and conveyed and by these presents do hereby sell, assign, transfer and convey unto GEORGETOWN UNIVERSITY, in and for the United States and its territories and for foreign countries, the entire right, title and interest in and to said provisional application and said application for United States Letters Patent, in and to the invention therein set forth and in and to any patent which may issue on said application for United States Letters Patent or any application, reissue, renewal, division, continuation or continuation-in-part thereof; or any foreign or international (PCT) counterpart thereof or any other application that claims priority to the above-application, and I hereby bind myself, my heirs, legal representatives, administrators and assigns properly to execute without further consideration, any and all applications, petitions, oaths and assignments or other papers and instruments which may be necessary in order to carry into full force and effect the sale, assignment, transfer and conveyance hereby made or intended to be made.

IN WITNESS WHEREOF, I have hereunto set my hand and seal this 26 day of

February, 2001.

  
\_\_\_\_\_  
PAUL J. COTE

On this 26 day of February, 2001, before me, a notary public, came Paul Joseph Cote ~~\_\_\_\_\_~~, to me known and known to be the individual described in and who executed the foregoing assignment, and he duly acknowledged the same to be his free act and deed.

  
\_\_\_\_\_  
Notary Public

(SEAL)

My commission expires: 03/01/2005



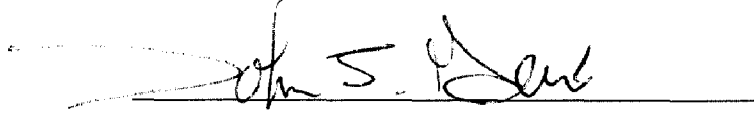
ASSIGNMENT

WHEREAS, WE, JOHN L. CASEY, BRENT E. KORBA, PAUL J. COTE, JOHN L. GERIN, BUD C. TENNANT AND CHUNG K. CHU have invented certain improvements in "*Method of Treating HDV Infection with L-FMAU*" for which we have executed United States provisional application no. 60/193,135 which was filed on March 29, 2000; and

WHEREAS, GEORGETOWN UNIVERSITY, having an office at Ryan Administration Building, 37<sup>th</sup> and O Streets, N.W., Washington, DC 20057, US, desires to purchase same;

NOW, THEREFORE, in consideration of the sum of Five Dollars (\$5.00) and other good and valuable consideration paid by GEORGETOWN UNIVERSITY, the receipt and sufficiency of which are hereby acknowledged, I, JOHN L. GERIN, have sold, assigned, transferred and conveyed and by these presents do hereby sell, assign, transfer and convey unto GEORGETOWN UNIVERSITY, in and for the United States and its territories and for foreign countries, the entire right, title and interest in and to said provisional application and said application for United States Letters Patent, in and to the invention therein set forth and in and to any patent which may issue on said application for United States Letters Patent or any application, reissue, renewal, division, continuation or continuation-in-part thereof; or any foreign or international (PCT) counterpart thereof or any other application that claims priority to the above-application, and I hereby bind myself, my heirs, legal representatives, administrators and assigns properly to execute without further consideration, any and all applications, petitions, oaths and assignments or other papers and instruments which may be necessary in order to carry into full force and effect the sale, assignment, transfer and conveyance hereby made or intended to be made.

IN WITNESS WHEREOF, I have hereunto set my hand and seal this 26 day of February, 2001.



JOHN L. GERIN

On this 26 day of February, 2000, before me, a notary public, came John Louis Gerin, to me known and known to be the individual described in and who executed the foregoing assignment, and he duly acknowledged the same to be his free act and deed.



Notary Public

(SEAL)

My commission expires: 03/01/2005

ASSIGNMENT

WHEREAS, WE, JOHN L. CASEY, BRENT E. KORBA, PAUL J. COTE, JOHN L. GERIN, BUD C. TENNANT AND CHUNG K. CHU have invented certain improvements in "*Method of Treating HDV Infection with L-FMAU*" for which we have executed United States provisional application no. 60/193,135 which was filed on March 29, 2000; and

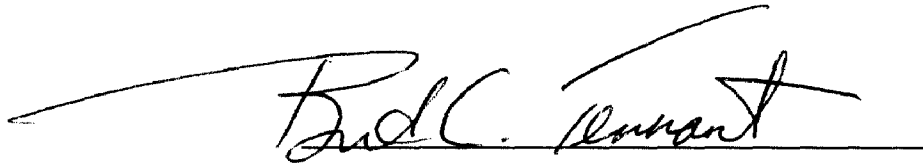
WHEREAS, CORNELL RESEARCH FOUNDATION, INC., a New York corporation having an office at 20 Thornwood Drive, Suite 105, Ithaca, NY 14850, desires to purchase same;

NOW, THEREFORE, in consideration of the sum of Five Dollars (\$5.00) and other good and valuable consideration paid by CORNELL RESEARCH FOUNDATION, INC., the receipt and sufficiency of which are hereby acknowledged, I, BUD C. TENNANT, have sold, assigned, transferred and conveyed and by these presents do hereby sell, assign, transfer and convey unto CORNELL RESEARCH FOUNDATION, INC., in and for the United States and its territories and for foreign countries, the entire right, title and interest in and to said provisional application and said application for United States Letters Patent, in and to the invention therein set forth and in and to any patent which may issue on said application for United States Letters Patent or any application, reissue, renewal, division, continuation or continuation-in-part thereof; or any foreign or international (PCT) counterpart thereof or any other application that claims priority to the above-application, and I hereby bind myself, my heirs, legal representatives, administrators and assigns properly to execute without further consideration, any and all applications, petitions, oaths and assignments or other papers and instruments which may be necessary in order to carry into full force and effect the sale, assignment, transfer and conveyance hereby made or intended to be made.

IN WITNESS WHEREOF, I have hereunto set my hand and seal this 18 day of

May, 2001

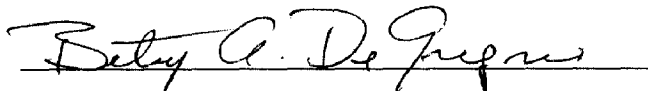
18 May 01



BUD C. TENNANT

On this 18<sup>th</sup> day of May, 2001, before me, a notary public, came Bud C. Tennant, to me known and known to be the individual described in and who executed the foregoing assignment, and he duly acknowledged the same to be his free act and deed.

18 May 01



Notary Public

(SEAL)

My commission expires: 11/01/01

**BETSY A. DEGREGORIO**  
Notary Public, State of New York  
No. 01DE5019887  
Qualified in Tioga County  
Commission Expires November 1, 2001

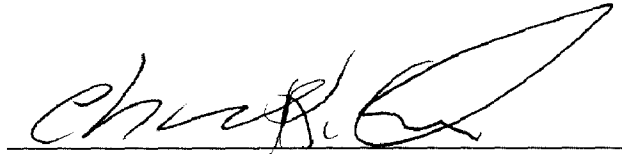
ASSIGNMENT

WHEREAS, WE, JOHN L. CASEY, BRENT E. KORBA, PAUL J. COTE, JOHN L. GERIN, BUD C. TENNANT AND CHUNG K. CHU have invented certain improvements in "*Method of Treating HDV Infection with L-FMAU*" for which we have executed United States provisional application no. 60/193,135 which was filed on March 29, 2000; and

WHEREAS, THE UNIVERSITY OF GEORGIA RESEARCH FOUNDATION, INC., a Georgia corporation having an office at Boyd Graduates Studies Research Center, Athens, Georgia 30602-7411, desires to purchase same;

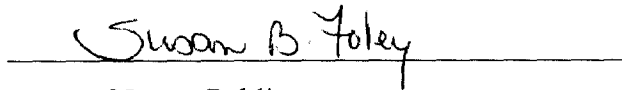
NOW, THEREFORE, in consideration of the sum of Five Dollars (\$5.00) and other good and valuable consideration paid by THE UNIVERSITY OF GEORGIA RESEARCH FOUNDATION, INC., the receipt and sufficiency of which are hereby acknowledged, I, CHUNG K. CHU, have sold, assigned, transferred and conveyed and by these presents do hereby sell, assign, transfer and convey unto THE UNIVERSITY OF GEORGIA RESEARCH FOUNDATION, INC., in and for the United States and its territories and for foreign countries, the entire right, title and interest in and to said provisional application and said application for United States Letters Patent, in and to the invention therein set forth and in and to any patent which may issue on said application for United States Letters Patent or any application, reissue, renewal, division, continuation or continuation-in-part thereof; or any foreign or international (PCT) counterpart thereof or any other application that claims priority to the above-application, and I hereby bind myself, my heirs, legal representatives, administrators and assigns properly to execute without further consideration, any and all applications, petitions, oaths and assignments or other papers and instruments which may be necessary in order to carry into full force and effect the sale, assignment, transfer and conveyance hereby made or intended to be made.

IN WITNESS WHEREOF, I have hereunto set my hand and seal this 26<sup>th</sup> day of January, 2001.



CHUNG K. CHU

On this 26 day of January, 2000, before me, a notary public, came Chung K. Chu, to me known and known to be the individual described in and who executed the foregoing assignment, and he duly acknowledged the same to be his free act and deed.



Notary Public

**SUSAN B. FOLEY**

**Notary Public, Athens- Clarke County, Georgia**

My commission expires May 27, 2004

(SEAL)