

07-19-2001



101782927

Docket No.: 014951/0279

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

Tab settings → → →

7.10.01

To the Honorable Commissioner of Patent

Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):
ENTERPRISE MEDICAL TECHNOLOGIES, INC.

2. Name and address of receiving party(ies):

Name: **ABLECO FINANCE LLC, As Agent**

Internal Address:

Additional names(s) of conveying party(ies) ☐ Yes ☒ No

3. Nature of conveyance:

☐ Assignment

☐ Merger

☒ Security Agreement

☐ Change of Name

☐ Other

Street Address: **450 PARK AVENUE**

City: **NEW YORK** State: **NY** ZIP: **10022**

Execution Date: **JUNE 11, 2001**

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

NONE

**SEE ATTACHED
SCHEDULE**

Additional numbers attached? ☒ Yes ☐ No

5. Name and address of party to whom correspondence concerning document should be mailed:

6. Total number of applications and patents involved: **5**

Name: **PAUL A. JUERGENSEN**

7. Total fee (37 CFR 3.41):\$ **200.00**

Internal Address: **SCHULTE ROTH & ZABEL LLP**

☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account

☒ Authorized to be charged to deposit account

Street Address: **919 THIRD AVENUE**

8. Deposit account number:

SCHULTE ROTH & ZABEL LLP - 500675

07/19/2001 AMED1 00000019 500675 4821731

01 FC:581 200.00 CH

City: **NEW YORK** State: **NY** ZIP: **10022**

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

PAUL A. JUERGENSEN

Name of Person Signing

Signature

JULY 10, 2001

Date

Total number of pages including cover sheet, attachments, and document: **6**

PATENT

REEL: 011967 FRAME: 0879

Acoustic Image System and Method	US	4821731			Enterprise Medical Technologies, Inc.
Instrument and method for intraluminally relieving stenosis	US	5207672	641,457	PCT/US90/02285	Enterprise Medical Technologies, Inc.
Method of Making a Transducer	US	4977655	340383		Enterprise Medical Technologies, Inc.
Ultrasonic Transducer	US	4862893	151,394		Enterprise Medical Technologies, Inc.
Ultrasonic Transducer	US	5002058	340050		Enterprise Medical Technologies, Inc.

ASSIGNMENT FOR SECURITY

(PATENTS)

WHEREAS, ENTERPRISE MEDICAL TECHNOLOGIES, INC. (the "Assignor") holds all right, title and interest in the letter patents, design patents and utility patents listed on the annexed Schedule 1A, which patents are issued or applied for in the United States Patent and Trademark Office (the "Patents");

WHEREAS, the Assignor, has entered into a Security Agreement dated June 11, 2001 (the "Security Agreement") in favor of ABLECO FINANCE LLC, as agent for certain lenders (the "Assignee");

WHEREAS, pursuant to the Security Agreement, the Assignor has pledged and assigned to the Assignee and granted to the Assignee for the benefit of the lenders a continuing security interest in all right, title and interest of the Assignor in, to and under the Patents and the applications and registrations thereof, and all proceeds thereof, including, without limitation, any and all causes of action which may exist by reason of infringement thereof (the "Collateral"), to secure the payment, performance and observance of the Obligations (as defined in the Security Agreement);

NOW, THEREFORE, for good and valuable consideration, receipt of which is hereby acknowledged, the Assignor does hereby pledge and assign unto the Assignee and grant to the Assignee for the benefit of the lenders a continuing security interest in the Collateral to secure the prompt payment, performance and observance of the Obligations.

The Assignor does hereby further acknowledge and affirm that the rights and remedies of the Assignee with respect to the Collateral are more fully set forth in the Security Agreement, the terms and provisions of which are hereby incorporated herein by reference as if fully set forth herein.

IN WITNESS WHEREOF, the Assignor has caused this Assignment to be duly executed by its officer thereunto duly authorized as of June 11, 2001.

ENTERPRISE MEDICAL TECHNOLOGIES, INC.

By: F. T. McElligott
Name: Frederick T. McElligott
Title: Treasurer

STATE OF NEW YORK

SS.:

COUNTY OF NEW YORK

On this 8th day of June, 2001, before me personally came Frederick T. McEligott, to me known to be the person who executed the foregoing instrument, and who, being duly sworn by me, did depose and say that he is the Treasurer of Enterprise Medical Technologies, Inc., a Massachusetts corporation, and that he executed the foregoing instrument in the firm name of Enterprise Medical Technologies, Inc., and that he had authority to sign the same, and he acknowledged to me that he executed the same as the act and deed of said firm for the uses and purposes therein mentioned.

Denise Maria Mc Dermott

DENISE MARIA McDERMOTT
Notary Public, State of New York
No. 01MC5018503
Qualified in New York County 2001
Commission Expires Sept. 27, 2001

SCHEDULE 1A TO ASSIGNMENT FOR SECURITY

(PATENTS AND PATENT APPLICATIONS)

Acoustic Image System and Method	JP	2765738	500493/1989	Active	Enterprise Medical Technologies, Inc.
Acoustic Image System and Method	CA	1293048	580043	Active	Enterprise Medical Technologies, Inc.
Acoustic Image System and Method	US	4821731		Active	Enterprise Medical Technologies, Inc.
Instrument and method for intraluminally relieving stenosis	JP	4504972T	PCT/US90/02285	Active	Enterprise Medical Technologies, Inc.
Instrument and method for intraluminally relieving stenosis	AU	5534090	PCT/US90/02285	Active	Enterprise Medical Technologies, Inc.
Instrument and method for intraluminally relieving stenosis	US	5207672	641,457	Active	Enterprise Medical Technologies, Inc.
Instrument and method for intraluminally relieving stenosis	CA	2053909	PCT/US90/02285	Active	Enterprise Medical Technologies, Inc.
Method of Making a Transducer	US	4977655	340383	Active	Enterprise Medical Technologies, Inc.
Ultrasonic Transducer	US	4862893	151,394	Active	Enterprise Medical Technologies, Inc.
Ultrasonic Transducer	US	5002058	340050	Active	Enterprise Medical Technologies, Inc.

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July 10, 2001

VIA EXPRESS MAIL

Commissioner of Patents and Trademarks
Box Assignments
Washington, DC 20231

Re: Recordation of
Assignment for Security (Patents)
Enterprise Medical Technologies – Ableco Finance LLC
Our Ref. No. 014951/0279

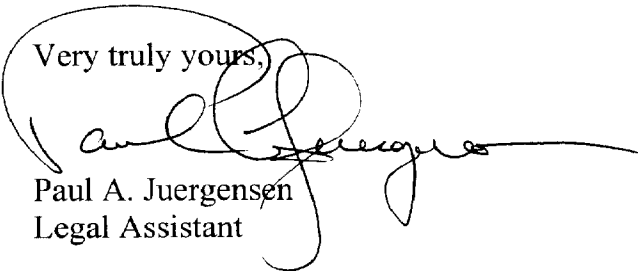
Dear Sir or Madam:

Please record the above-referenced document against the U.S. patent filings identified in the accompanying Document Cover Sheet.

We authorize the deduction from Schulte Roth & Zabel LLP's Deposit Account No. 500675 of \$200.00, plus any deficiencies therein, to cover recordation fees, and we enclose an extra copy of the Document Cover Sheet for this purpose.

Also enclosed is a self-addressed postage prepaid postcard, which we request that you date stamp and return to acknowledge your receipt of this filing.

Very truly yours,


Paul A. Juergensen
Legal Assistant

Enclosure

cc: Joanne Rosello

EXPRESS MAIL LABEL NO. EL752609856US

9073014.1

RECORDED: 07/10/2001

**PATENT
REEL: 011967 FRAME: 0885**