

07-17-2001

FORM PTO-1595
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OMB No. 0651-0011(exp. 4/94)

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U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

101778581

OUR FILE CCF-5802

To the Honorable Commissioner of Patents and Trademark: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Isador H. Lieberman

07/05/01

Additional name(s) of conveying party(ies) attached? ☐ Yes ☐ No

2. Name and address of receiving party(ies)

Name: The Cleveland Clinic Foundation

Internal Address: _____

Street Address: 9500 Euclid AvenueCity: Cleveland State: OH ZIP: 44195

Additional name(s) & address(es) attached? ☐ Yes ☐ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: June 27, 2001

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: June 25, 2001

A. Patent Application No.(s)

09/899469

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☐ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Richard S. WesorickInternal Address: Tarolli, Sundheim, Covell,Tummino & Szabo L.L.P.Street Address: 526 Superior Avenue1111 Leader BuildingCity: Cleveland State: Ohio ZIP: 44114-1400

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41).....\$40.00

- ☒ Enclosed
☐ Authorized to be charged to deposit account

8. Deposit Account number:

20-0090

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Richard S. Wesorick

Name of Person Signing

Signature

July 5, 2001

Date

Reg. No.: 40,871

Total Number of pages including cover sheet, attachments, and document

2

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents & Trademarks, Box Assignments

Washington, D.C. 20231

07/11/2001 HDEMSS1 00000013 09899469

01 FC:581

40.00 DP

PATENT
REEL: 011971 FRAME: 0889

ASSIGNMENT

WHEREAS, I, Isador H. Lieberman, of Pepper Pike, County of Cuyahoga, and State of Ohio, have made new and useful improvements in **METHOD AND APPARATUS FOR CORRECTING SPINAL DEFORMITY** (generally referred to as CCF Project No. 01116-1);

WHEREAS, The Cleveland Clinic Foundation (hereinafter "CCF"), a corporation duly organized and existing under the laws of the State of Ohio, having a place of business at Cleveland, County of Cuyahoga, State of Ohio, is desirous of acquiring the entire right, title and interest in and to the said improvements and all patents therefor to be obtained in the United States of America and countries foreign to the United States;

NOW THEREFORE, BE IT KNOWN that, pursuant to CCF's Invention and Discovery Policy as specified in the CCF "Yellow Book" as of this date and for valuable considerations to me paid, the receipt whereof is hereby acknowledged, I, the said Isador H. Lieberman, do hereby assign, sell and set over unto the said The Cleveland Clinic Foundation, its successors or assigns, the entire right, title and interest in and to the said improvements and any and all inventions pertaining thereto, the application for United States patent executed by me respectively on the 25th day of June 2001, all patents granted therefor in the United States of America and elsewhere, and the right to apply for patents therefor in all countries foreign to the United States and to claim for such applications the priority of United States applications, and I hereby request the Commissioner of Patents of the United States, and any official of any country or countries foreign to the United States whose duty it is to issue patents, to issue such patents to the said The Cleveland Clinic Foundation, its successors or assigns; and I further agree to execute such other applications and other instruments and do all other acts as may be deemed necessary by the said The Cleveland Clinic Foundation its successors or assigns in order to fully secure, protect and preserve its rights to the said improvements and to obtain patents therefor in the United States of America and all countries foreign to the United States, all without further consideration but at the expense of the said The Cleveland Clinic Foundation its successors or assigns.

Signed at Cleveland
County of Cuyahoga and State of Ohio
this 27 day of June 2001.

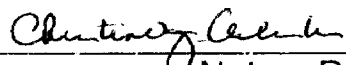

Isador H. Lieberman

STATE OF

} SS:

COUNTY OF

On this 27th day of June, 2001, personally appeared before me Isador H. Lieberman to me known to be the person named in and who executed the above instrument, and acknowledged to me that he executed the same for the uses and the purposes therein mentioned.



Notary Public

CHRISTINE J. ORLINKA

My Comm. expires Aug. 13, 2003

PATENT

RECORDED: 07/05/2001

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