

07-18-2001

UNUS #Y2-R225-EDG

Docket #J6689(C)



## RECORDATION FORM COVER SHEET

DEPARTMENT OF COMMERCE - PATENT

101781690

To the Honorable Commissioner of Patents, please return the attached original documents or copy thereof.

## 1. NAME OF CONVEYING PARTY(IES):

7-1201

Georgia SHAFER  
Michael MASSARO  
Yury YAROVY  
William LANZA

ADDITIONAL NAME(S) OF CONVEYING PARTY(IES)  
ATTACHED? YES [☐] NO [☒]

## 2. NAME &amp; ADDRESS OF RECEIVING PARTY(IES):

NAME: Unilever Home & Personal Care USA, Division of  
Conopco, Inc.  
STREET ADDRESS: 33 Benedict Place  
CITY: Greenwich STATE: Connecticut ZIP: 06830

## 3. NATURE OF CONVEYANCE:

[☒] ASSIGNMENT [☐] SECURITY AGREEMENT [☐] MERGER [☐] CHANGE OF NAME [☐] OTHER  
EXECUTION DATE : 4/23/01, 4/23/01, 4/24/01, 4/23/01

4. APPLICATION NUMBER(S) OR PATENT NUMBER(S): 09/845,109 FILED April 26, 2001  
IF THIS DOCUMENT IS BEING FILED TOGETHER WITH A NEW APPLICATION, THE EXECUTION DATE OF THE APPLICATION IS: <>

A. PATENT APPLICATION NO.(S) 09/845,109  
ADDITIONAL NUMBERS ATTACHED? [☐] YES [☒] NO

B. PATENT NO.(S) <>  
ADDITIONAL NUMBERS ATTACHED? [☐] YES [☒] NO

5. NAME AND ADDRESS OF PARTY TO WHOM CORRESPONDENCE CONCERNING DOCUMENT SHOULD BE  
MAILED: UNILEVER PATENT DEPARTMENT, 45 RIVER ROAD, EDGEWATER, NJ 07020

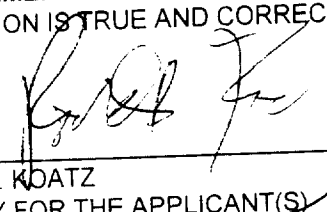
6. TOTAL NUMBER OF APPLICATIONS AND PATENTS INVOLVED: 1

7. TOTAL FEE (37 CFR 3.41): \$40.00 [☒] AUTHORIZED TO BE CHARGED TO DEPOSIT ACCOUNT  
[☐] ANY DEFICIENCY OR OVERPAYMENT SHOULD BE CHARGED OR CREDITED TO DEPOSIT  
ACCOUNT 12-1155.

8. DEPOSIT ACCOUNT NUMBER: 12-1155

DO NOT USE THIS SPACE

9. STATEMENT AND SIGNATURE: TO THE BEST OF MY KNOWLEDGE AND BELIEF, THE FOREGOING  
INFORMATION IS TRUE AND CORRECT AND ANY ATTACHED COPY IS A TRUE COPY OF THE ORIGINAL DOCUMENT

  
RONALD A. KOATZ  
ATTORNEY FOR THE APPLICANT(S)  
REGISTRATION NO. 31,774

DATE

July 9, 2001

TOTAL NUMBER OF PAGES INCLUDING COVER SHEET, ATTACHMENTS AND DOCUMENT: 4

OMB NO. 0651-0011 (EXP. 4/94)

DO NOT DETACH THIS PORTION

MAIL DOCUMENTS TO BE RECORDED WITH REQUIRED COVER SHEET INFORMATION TO  
COMMISSIONER OF PATENTS AND TRADEMARKS, BOX ASSIGNMENTS, WASHINGTON D.C. 20231

PUBLIC BURDEN REPORTING FOR THIS SAMPLE COVER SHEET IS ESTIMATED TO AVERAGE ABOVE 30 MINUTES PER DOCUMENTS TO BE RECORDED, INCLUDING  
TIME FOR REVIEWING THE DOCUMENT AND GATHERING THE DATA NEEDED, AND COMPLETING AND REVIEWING THE SAMPLE COVER SHEET. SEND COMMENTS  
REGARDING THIS BURDEN ESTIMATE TO THE U.S. PATENT AND TRADEMARK OFFICE, OFFICE OF INFORMATION SYSTEMS, P2-1000C,  
WASHINGTON, D.C. 20231 AND TO THE OFFICE OF MANAGEMENT AND BUDGET, PAPERWORK REDUCTION PROJECT (0651-0011), WASHINGTON, D.C. 20231

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PATENT  
REEL: 011973 FRAME: 0315

**ASSIGNMENT**

WHEREAS, Georgia SHAFER, a citizen of The United States, residing at 75 Steinberg Avenue, Garfield, New Jersey 07026;

Michael MASSARO, a citizen of The United States, residing at 39 Dover Road, Congers, New York 10920;

Yury YAROVY, a citizen of The Ukraine, residing at 82 Baker Avenue, Berkeley Heights, New Jersey 07922;

William LANZA, a citizen of The United States, residing at 3 Hollister Road, Towaco, New Jersey 07082, invented certain improvements in

**PROCESS FOR MAKING SOAP BAR COMPRISING ABOUT 6% AND GREATER TRIGLYCERIDES**

bearing Attorney's Docket Number J6689(C) (01-R225-EDG), which is found in:

- (a) ☐ U.S. patent application executed on \_\_\_\_\_
- (b) ☒ To comply with 37 CFR 3.21 for recordal of this assignment, I/WE an ASSIGNOR(S) signing below, hereby authorize and request my/our attorney, as named in the Declaration and Power of Attorney to insert below the filing date and application number when it becomes known.

U.S. application Serial No. 09/845,109 filed on April 26, 2001.

- (c) ☐ International Application No. PCT \_\_\_\_\_/\_\_\_\_\_.

AND WHEREAS, **UNILEVER HOME & PERSONAL CARE USA, DIVISION OF CONOPCO, INC.**, a corporation of New York having a principal place of business at 33 Benedict Place, Greenwich, Connecticut 06830, desires to acquire the entire right, title and interest in the said application and invention, and improvements and to any United States and foreign patents to be obtained therefor;

NOW, THEREFORE, in consideration of one dollar (\$1.00) and other good and valuable consideration in hand paid, the receipt and sufficiency of which is hereby acknowledged, I/We, the undersigned, hereby sell, assign and transfer to the aforesaid, **UNILEVER HOME & PERSONAL CARE USA, DIVISION OF CONOPCO, INC.**, its successors and assigns, the entire right, title and interest in and to the said application and invention therein disclosed for the United States and all foreign countries, and all rights of priority under the International Convention resulting from the filing of said application, and in and to any and all divisional, continuation, continuation-in-part, reissue, or other applications describing said invention or improvements thereon, and in and to any and all Letters Patent of the United States which may issue from said applications or any of them, and in and to any and all applications for patents describing said invention to countries foreign to the United States and in and to any and all patents which may be granted for said invention in said foreign countries, the same to be held by and for the sole and exclusive use and benefit of the said, **UNILEVER HOME & PERSONAL CARE USA, DIVISION OF CONOPCO, INC.**, its successors and assigns.

AND I/We do hereby authorize and request the Commissioner of Patents to issue the said Letters Patent of the United States to said, **UNILEVER HOME & PERSONAL CARE USA, DIVISION OF CONOPCO, INC.**, as the assignee of the entire right, title and interest in and to the same.

AND I/We do hereby agree to assist said, **UNILEVER HOME & PERSONAL CARE USA, DIVISION OF CONOPCO, INC.**, in the prosecution of any and all said applications and in the prosecution of any and all interferences or other proceedings which may arise involving said invention, and to execute and deliver any powers of attorney, affidavits, assignments, applications, reissues, documents, or other written instruments which, in the opinion of counsel for said, **UNILEVER HOME & PERSONAL CARE USA, DIVISION OF CONOPCO, INC.**, its successors and assigns, may be necessary in this or any foreign country fully to secure to said, **UNILEVER HOME & PERSONAL CARE USA, DIVISION OF CONOPCO, INC.**, its successors and assigns, any and all said applications, inventions, improvements, Letters Patent, rights, titles and interests hereby sold, assigned, transferred and conveyed.

IN WITNESS WHEREOF, I have hereunto set my hand and seal this 23 day of April, 2001.

STATE OF New Jersey )  
COUNTY OF Bergen )

Georgia SHAFER (Seal)  
Georgia SHAFER

On this 23 day of April, 2001 before me came **Georgia SHAFER** to me known to be the individual described in and who executed the foregoing instrument, and acknowledged that he/she executed the same.

Patricia O'Donnell  
Notary Public PATRICIA O'DONNELL  
NOTARY PUBLIC OF NEW JERSEY  
My Commission Expires Dec. 15, 2005

IN WITNESS WHEREOF, I have hereunto set my hand and seal this 23 day of April, 2001.

STATE OF New Jersey )  
COUNTY OF Bergen )

Michael MASSARO (Seal)  
Michael MASSARO

On this 23 day of April, 2001 before me came **Michael MASSARO** to me known to be the individual described in and who executed the foregoing instrument, and acknowledged that he/she executed the same.

Patricia O'Donnell  
Notary Public PATRICIA O'DONNELL  
NOTARY PUBLIC OF NEW JERSEY  
My Commission Expires Dec. 15, 2005

IN WITNESS WHEREOF, I have hereunto set my hand and seal this 24 day of April, 2001.

STATE OF New Jersey )  
COUNTY OF Bergen )

Yury YAROVY (Seal)  
YURY YAROVY

On this 24 day of April, 2001 before me came **Yury YAROVY** to me known to be the individual described in and who executed the foregoing instrument, and acknowledged that he/she executed the same.

Patricia O'Donnell  
Notary Public PATRICIA O'DONNELL  
NOTARY PUBLIC OF NEW JERSEY  
My Commission Expires Dec. 15, 2005

IN WITNESS WHEREOF, I have hereunto set my hand and seal this 23 day of April, 2001.

STATE OF New Jersey )  
COUNTY OF Bergen )

William LANZA (Seal)  
William LANZA

On this 23 day of April, 2001 before me came **William LANZA** to me known to be the individual described in and who executed the foregoing instrument, and acknowledged that he/she executed the same.

Patricia O'Donnell  
Notary Public PATRICIA O'DONNELL  
NOTARY PUBLIC OF NEW JERSEY  
My Commission Expires Dec. 15, 2005