

07-18-2001

TR SHEET

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To the Honorable Commissioner c



the attached original documents or copy thereof.

1. Name of conveying party(ies):

Zhimin Liu, Mark S. Wang, and
Jingyu Xu

2. Name and address of receiving party(ies):

Name: Oplink Communications, Inc.

Internal Address: _____

Street Address: 3469 North First Street

City: San Jose State: CA ZIP: 95134

Additional name(s) & address(es) attached? ☐ Yes ☒ No

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: July 3, 2001

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: July 3, 2001

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Bo-In Lin

Internal Address: _____

Street Address: 13445 Mandoli Drive

City: Los Altos Hills State: CA ZIP: 94022

6. Total number of applications and patents involved: One

7. Total fee (37 CFR 3.41):..... \$ 40.00

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit account number:

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Ching-lu Lin
Name of Person Signing

Signature

Date

Total number of pages comprising cover sheet:

Four

Docket No. OPLINK-0106

ASSIGNMENT

INVENTOR
AND CITYWhereas, I, Zhimin Liu of 4052 Robin Drive, San Jose,
CA 95130

have invented:

TITLE:

LOOP OPTICAL CIRCULATOR

DATE INVENTOR
SIGNED THE
DECLARATIONand executed a United States patent application therefor
on 6/15, 2001;

Whereas, Oplink Communications, Inc. , having a place of business at San Jose, California, (hereinafter called OPLINK), desires to acquire the entire right, title and interest of said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, I, the above named, hereby sell, assign, and transfer to OPLINK, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing said United States application, and I request the Commissioner of Patents to issue any Letters Patent granted upon the inventions set forth in said application to OPLINK successors and assigns; and I hereby agree that OPLINK apply for foreign Letters Patent on said invention and I will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by

CITY AND
DATESigned and Sealed at San Jose, CA
on 6/15, 2001.

SIGNATURE OF INVENTOR

Docket No. OPLINK-0106

ASSIGNMENT

INVENTOR
AND CITYWhereas, I, Mark S. Wang of 2412 Lagoon Way, San Jose
CA 95132

have invented:

TITLE:

LOOP OPTICAL CIRCULATOR

DATE INVENTOR
SIGNED THE
DECLARATION

and executed a United States patent application therefor

on 6/15, 2001;

Whereas, Oplink Communications, Inc. , having a place of business at San Jose, California, (hereinafter called OPLINK), desires to acquire the entire right, title and interest of said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, I, the above named, hereby sell, assign, and transfer to OPLINK, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing said United States application, and I request the Commissioner of Patents to issue any Letters Patent granted upon the inventions set forth in said application to OPLINK successors and assigns; and I hereby agree that OPLINK apply for foreign Letters Patent on said invention and I will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by

CITY AND
DATESigned and Sealed at San Jose, CA
on 6/15, 2001.

SIGNATURE OF INVENTOR

PATENT

REEL: 011974 FRAME: 0712

Docket No. OPLINK-0106

ASSIGNMENT

INVENTOR
AND CITYWhereas, I, Jingyu Xu of 5492 Livorno Court
San Jose, CA 95138

have invented:

TITLE:

LOOP OPTICAL CIRCULATOR

DATE INVENTOR
SIGNED THE
DECLARATION

and executed a United States patent application therefor

on 07/03/01, 2001;

Whereas, Oplink Communications, Inc., having a place of business at San Jose, California, (hereinafter called OPLINK), desires to acquire the entire right, title and interest of said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, I, the above named, hereby sell, assign, and transfer to OPLINK, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing said United States application, and I request the Commissioner of Patents to issue any Letters Patent granted upon the inventions set forth in said application to OPLINK successors and assigns; and I hereby agree that OPLINK apply for foreign Letters Patent on said invention and I will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by

CITY AND
DATESigned and Sealed at San Jose, California
on 07/23/01, 2001.

SIGNATURE OF INVENTOR