

07-18-2001



To the Assistant Commissioner for Patents.

101781279

ents or copy thereof.

1. Name of conveying party(ies):

Aldrich, Craig S. Owen, Russ
Anderson, Mark John Parrott, Richard Donald
Brettin, Kevin Robert Rolfe, Randy Keith
Euler, Theresa Renee Sadecki, Wayne Christopher
Heimer, Scott Joseph Saxman, Renee Kristin
Hoeschen, Steven Virgil Steinbrink, Kathryn Rose
Nyland, Ritchie Lee Triebenbach, Jonathan Lee

Additional names of conveying party(ies) attached?

☐ yes ☒ no

3. Nature of Conveyance

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: March 9, 2001; March 13, 2001;
March 14, 2001; March 15, 2001;
March 22, 2001; June 29, 2001

2. Name and address of receiving party(ies):

Name: International Business Machines Corporation

Internal Address:

Street Address: New Orchard Road

City Armonk

State New York Zip 10504

Additional name(s) & address(es) attached? ☐ yes ☒ no

Handwritten: NY 2/12/01

4. Application number(s) or patent number(s):

TITLE: METHOD AND SYSTEM FOR MONITORING AND SECURING DATA ACCESS IN A DATABASE SYSTEM

If this document is being filed together with a new application, the execution date of the application is _____.

A. Patent Application No(s).

B. Patent No(s).

Docket No. ROC920000325US1
Serial No. 09/817,627

Additional numbers attached? ☐ Yes ☒ No

Name and address of party to whom correspondence concerning document should be mailed:

Gero G. McClellan
Thomason, Moser & Patterson, L.L.P.
3040 Post Oak Boulevard, Suite 1500
Houston, Texas 77056-6582

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$ 40.00

☐ Enclosed
☒ Authorized to be charged to deposit account
☒ Total fee due
☒ Any deficiencies in the enclosed fees

8. Deposit account number:

09-0465

(Attach duplicate copy of this page if paying by deposit account)

07/18/2001 00000050 090465 09817627

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40.00 CH

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9. Statement and signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Steven W. Roth
Name of Person Signing

Signature

July 10, 2001
Date

Total number of pages comprising cover sheet: 1

ASSIGNMENT

Whereas, We

- | | |
|---|---|
| (1) CRAIG S. ALDRICH,
County of Olmsted, | City of Rochester,
and State of Minnesota, |
| (2) MARK JOHN ANDERSON
County of Olmsted, | City of Oronoco,
and State of Minnesota, |
| (3) KEVIN ROBERT BRETTIN,
County of Olmsted, | City of Rochester,
and State of Minnesota, |
| (4) THERESA RENEE EULER,
County of Olmsted, | City of Oronoco,
and State of Minnesota, |
| (5) SCOTT JOSEPH HEIMER,
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| (6) STEVEN VIRGIL HOESCHEN,
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| (11) WAYNE CHRISTOPHER SADECKI,
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and State of Minnesota, |
| (12) RENEE KRISTEN SAXMAN,
County of Olmsted, | City of Rochester,
and State of Minnesota, |
| (13) KATHRYN ROSE STEINBRINK,
County of Fillmore, | City of Chatfield,
and State of Minnesota, |
| (14) JONATHAN LEE TRIEBENBACH,
County of Olmsted, | City of Rochester,
and State of Minnesota, |

have invented certain improvements in

**METHOD AND SYSTEM FOR MONITORING AND
SECURING DATA ACCESS IN A DATABASE SYSTEM**

and have executed, respectively, a United States patent application therefor on

Dates
Inventors (1) March 22, 2001, (2) March 22, 2001, (3) March 14, 2001,
Signed
Declaration

Dates
Inventors (4) March 13, 2001, (5) March 9, 2001, (6) March 9, 2001,
Signed
Declaration

Dates
Inventors (7) March 14, 2001, (8) June 29, 2001, (9) March 14, 2001,
Signed
Declaration

Dates
Inventors (10) March 15, 2001, (11) March 9, 2001, (12) March 14, 2001,
Signed
Declaration

Dates
Inventors (13) March 13, 2001, and (14) March 13, 2001.
Signed
Declaration

And whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we the above named hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

Signed

Inventor at Rochester, MN
(1) on 3/22/01, 2001.


(CRAIG S. ALDRICH)

Inventor
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Inventor
(2) at Rochester, MN
on 3-22, 2001.

Inventor
(3) at Rochester, MN
on 3-14, 2001.

Inventor
(4) at Rochester, MN
on 3-13, 2001.

Inventor
(5) at ~~XXXXX~~ Rochester, MN
on 3-9, 2001.

Inventor
(6) at Rochester, MN
on 3-9, 2001.

Inventor
(7) at Rochester, MN
on 3/14, 2001.

Inventor
(8) at _____
on _____, 2001.

Inventor
(9) at Rochester, MN
on 3-14, 2001.

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(10) at Rochester, MN
on 3-15-, 2001.

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(11) at Rochester, MN
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Mark John Anderson
(MARK JOHN ANDERSON)

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on 3-13, 2001.

Inventor (5) at ~~XXXX~~ Rochester, MN
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