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07-18-2001

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Application Number	UNASSIGNED
Confirmation Number	UNASSIGNED
Filing Date	HEREWITH (JULY 6, 2001)
First Named Inventor	DAIBER et al.
Examiner	UNASSIGNED
Group Art	UNASSIGNED
Attorney Docket No.	NUFO008

ADDRESS TO:

Commissioner of Patents and Trademarks
Box Assignment
Washington, D.C. 20231

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

ANDREW DAIBER

2. Name and address of receiving party(ies):

Name: NEW FOCUS, INCORPORATED

Internal Address:

Street Address: 5215 HELLYER AVENUE

City: San Jose State: CA Zip: 95138

Additional name(s) & address(es) attached?

Additional name(s) of conveying party(ies) attached? ☐ Yes
☐ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other: _____

Execution Date(s): 07-06-2001

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: 07-06-2001

A. Patent Application Number(s):

09/900474

B. Patent Number(s):

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: ROBERT C. HALL
Street Address: BOZICEVIC, FIELD & FRANCIS, LLP
200 Middlefield Road, Suite 200
Menlo Park, CA 94025

Telephone: (650) 327-3400

Facsimile: (650) 327-3231

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$40.00

- ☐ Enclosed
☒ Authorized to be charged to deposit account

8. The Commissioner is authorized to charge any fees which may be required, or credit any overpayment to Deposit Account 50-0815. If additional fees are required, including extensions of time, please consider this a petition therefore.

07/17/2001 LNUELLER 00000298 500815 09900474

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9. Statement and Signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

ROBERT C. HALL 39,209

Name of Person Signing

Signature

07-06-2001

Date

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

ASSIGNMENT OF APPLICATION

Docket Number (Optional)

NUFO008

Whereas, I, ANDREW DAIBER of PALO ALTO, CALIFORNIA, hereafter referred to as applicant, have invented certain new and useful improvements in EXTERNAL CAVITY LASER WITH CONTINUOUS TUNING OF GRID GENERATOR

☐ for which an application for a United States Patent was filed on _____
Application Number _____ / _____.

☒ for which an application for a United States Patent was executed on JULY 6, 2001, and

Whereas, NEW FOCUS, INCORPORATED of DELAWARE herein referred to "assignee" whose post office address is 5215 HELLYER AVENUE, SAN JOSE, CALIFORNIA 95138 is desirous of acquiring the entire right, title and interest in the same;

Now, therefore, in consideration of the sum of ONE dollars (\$ 1.00), the receipt whereof is acknowledged, and other good and valuable consideration, I, the applicant, by these presents do sell, assign and transfer unto said assignee the full and exclusive right to the said invention in the United States and the entire right, title and interest in and to any and all Patents which may be granted therefor in the United States, I hereby authorize and request the Commissioner of Patents and Trademarks to issue said United States Patent to said assignee, of the entire right, title, and interest in and to the same, for his sole use and behoof; and for the use and behoof of his legal representatives, to the full end of the term for which said Patent may be granted, as fully and entirely as the same would have been held by me had this assignment and sale not been made.

Executed this 6th day of July, 2001
at Santa Clara

[Signature] 7/6/01
(Signature)

State of CALIFORNIA) SS:
County of SANTA CLARA)

Before me personally appeared said _____
and acknowledged the foregoing instrument to be his free act and deed this _____
day of _____.

Seal

(Notary Public)

Burden Hour Statement: This form is estimated to take 0.1 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner of Patents and Trademarks, Washington, DC 20231.

RECORDED: 07/06/2001

PATENT
REEL: 011974 FRAME: 0875