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1 SHEET

Attorney Docket Number

9842-272-999

101781718

TO THE HONORABLE COMMISSIONER OF PATENTS AND TRADEMARKS

Box Assignment
Washington, DC 20231

Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Ramsay Chang and Sharon Sjostrom

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ OtherExecution Date: June 5, 2001 and June 25, 2001

2. Name and address of receiving party(ies):

Name: Electric Power Research Institute, Inc.Address: 3412 Hillview Avenue, Palo Alto,
California 94304-1395

Country (if other than USA):

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s) 09/795,343

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

PENNIE & EDMONDS LLP
1155 Avenue of the Americas
New York, NY 100366. Number of applications and patents involved: 17. Total fee (37 CFR 3.41): \$ 40.00
Please charge to the deposit account listed in Section 8.8. Deposit account number:
16-1150

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Richard G.A. Bone

Limited Recognition Under 37 C.F.R. § 10.9(b)

(Copy of Certificate Enclosed)

for Gary S. Williams 31,066

Name of Person Signing Reg. No.

Signature

Date

July 5, 2001

Total number of pages including cover sheet:

3

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignment
Washington, D.C. 20231PATENT
REEL: 011975 FRAME: 0008

CA1 - 279050.1

ASSIGNMENT

WHEREAS WE, (1) RAMSAY CHANG of Los Altos, California and (2) SHARON SJOSTROM of Denver, Colorado have invented certain new and useful improvements in an **Apparatus and Method for Removal of Vapor Phase Contaminants from a Gas Stream by *in situ* Activation of Carbon-Based Sorbents**, for which invention we have executed an application for Letters Patent of the United States and which application may be identified in the United States Patent and Trademark Office as Serial No. 09/795,343, filed February 27, 2001; and

Whereas, **ELECTRIC POWER RESEARCH INSTITUTE, INC.**, a corporation of the **District of Columbia**, and having its principal place of business at **3412 Hillview Avenue, Palo Alto, California 94304-1395** (hereinafter referred to as assignee) is desirous of acquiring the entire right, title, and interest in and to said invention, said application and the Letters Patent to be obtained therefore;

Now, therefore, for and in consideration of One Dollar and other good and valuable considerations, to us in hand paid, the receipt and sufficiency whereof are hereby acknowledged, we have sold, assigned, and set over and by these presents do hereby sell, assign, and set over unto said assignee and said assignee's legal representatives, successors and assigns, the entire right, title, and interest in and to said invention, said application, and the Letters Patent, both foreign and domestic, that may or shall issue thereon; and we do hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to the above-mentioned assignee agreeably with the terms of this assignment.

We hereby authorize the above-mentioned assignee or its legal representative to insert in this instrument the filing date and serial number of our said application or any other information that may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

Upon said consideration, we convey to said assignee the right to make application in its own behalf for protection of said invention in countries foreign to the United States and where expedient to claim under the International Convention or other international arrangement for any such application the date of said United States application (or other application if any there be) in priority to other applications; and we do hereby covenant and agree with the said assignee that we will not execute any writing or do any act whatsoever conflicting with these presents, and that we will at any time upon request, without further or additional consideration, but at the expense of the said assignee, execute such additional assignments and other writings and do such additional acts as said assignee may deem necessary or desirable to perfect the assignee's enjoyment of this grant, and render all necessary assistance in making application for and obtaining original, divisional renewal, reissued or extended Letters Patent of the United States or of any and all foreign countries on said invention, and in enforcing any rights or chose in action accruing as a result of such application or patents, by giving testimony in any proceedings or transactions involving such applications or patents, and by executing preliminary statements and other affidavits, it being understood that the foregoing covenant and agreement shall bind, and inure to the benefit of the assigns and legal representatives of both parties.

IN WITNESS WHEREOF, the said Inventor has executed and delivered this instrument to said Assignee this

(1) 25th day of June, 2001

(1) 
RAMSAY CHANG

County of)

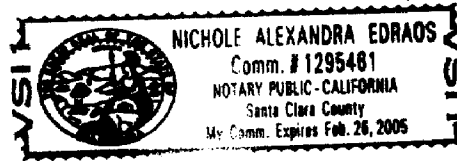
) ss.
)

State of)

On this 25th day of June, in the year 2001, before me, Nichole Alexandra Edraos,
Notary Public of the State of California, personally appeared (1) Ramsay Chang,
personally known to me (or proved to me on the basis of satisfactory evidence) to be the person
whose name is subscribed to the within instrument, and acknowledged that he/she executed the
same in his/her authorized capacity(ies), and that by his/her signature on the instrument the
person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Signature  (Seal)



(2) 5 day of June, 2001

(2) 
SHARON SJOSTROM

County of)

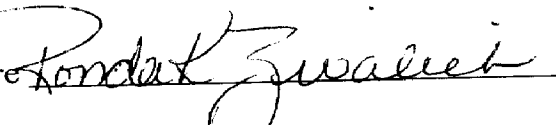
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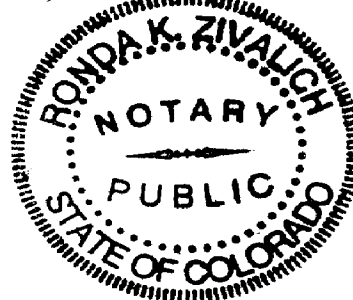
Jefferson
Colorado

On this 5 day of June, in the year 2001, before me, Ronda K Zivalich,
Notary Public of the State of Colorado, personally appeared (2) Sharon Sjostrom,
personally known to me (or proved to me on the basis of satisfactory evidence) to be the person
whose name is subscribed to the within instrument, and acknowledged that he/she executed the
same in his/her authorized capacity(ies), and that by his/her signature on the instrument the
person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Signature 

MY COMMISSION EXPIRES 4/9/2003



(Seal)