

RECOR

07-18-2001

EET



101780581

To the Honorable Commissioner of Patents and Trademarks

original documents or copy thereof.

1. Name of conveying party(ies)

Derek D. Mahoney
John M. Margicin
Frederick J. Fritz
Walter P. Sjursen

7-1221

2. Name and address of receiving party(ies)

Name: Sarnoff Corporation

Internal Address:

Room 12

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

Execution Date: 04-04-01, 04-04-01, 04-03-01, 04-03-01

Street Address: 201 Washington Road

City: Princeton

State: NJ

ZIP: 08540-6449

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)
09/804,981

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Leo R. Reynolds, Esq.

Internal Address:

Hamilton, Brook, Smith & Reynolds, P.C.

Street Address: Two Militia Drive

City: Lexington State: MA ZIP: 02421-4799

6. Total number of applications and patents involved: ☒ 1

7. Total Fee (37 C.F.R. 3.41)..... \$ 40.00

☒ Enclosed

☒ Authorized to charge any deficiencies or credit any overpayment to deposit account

☐ Authorized to be charged to deposit account

8. Deposit account number:

08-0380

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Jeffrey J. Duquette
Name of Person Signing

Jeffrey J. Duquette
Signature

July 10, 2001
Date

Total number of pages including cover sheet, attachments, and document: ☒ 9

07/17/2001 670N11 00000085 09804981

01 FC:581

40.00 DP

PATENT
REEL: 011975 FRAME: 0677

JointASSIGNMENT

WHEREAS, we, **Derek D. Mahoney, John M. Margicin, Frederick J. Fritz and Walter P. Sjursen**, have invented a certain improvement in **A FLEXIBLE HEARING AID TIP WITH AN INTEGRAL RECEIVER** described in an application for Letters Patent of the United States, the specification of which:

[] is being executed on even date herewith and is about to be filed in the United States Patent Office;

[X] was filed on **March 13, 2001** as Application No. **09/804,981**;

[] was patented under U.S. Patent No. [] on [].

WHEREAS, **Sarnoff Corporation** (hereinafter "ASSIGNEE"), a corporation organized and existing under the laws of the **State of New Jersey**, and having a usual place of business at **201 Washington Road, Princeton, New Jersey 08540-6449** desires to acquire an interest therein in accordance with agreements duly entered into with us;

NOW, THEREFORE, to all whom it may concern be it known that for and in consideration of said agreements and of other good and valuable consideration, the receipt of which is hereby acknowledged, we have sold, assigned and transferred and by these presents do hereby sell, assign and transfer unto said ASSIGNEE, its successors, assigns and legal representatives, the entire right, title and interest in and throughout the United States of America, its territories and all foreign countries, in and to said invention as described in said application, together with the entire right, title and interest in and to said application and such Letters Patent as may issue thereon; said invention, application and Letters Patent to be held and enjoyed by said ASSIGNEE for its own use and behalf and for its successors, assigns and legal representatives, to the full end of the term for which said Letters Patent may be granted as fully and entirely as the same would have been held by us had this assignment and sale not been made; we hereby convey all rights arising under or pursuant to any and all international agreements, treaties or laws relating to the protection of industrial property by filing any such applications for Letters Patent. We hereby acknowledge that this assignment, being of the entire right, title and interest in and to said invention, carries with it the right in ASSIGNEE to apply for and obtain from competent authorities in all countries of the world any and all Letters Patent by attorneys and agents of ASSIGNEE's selection and the right to procure the grant of all such Letters Patent to ASSIGNEE for its own name as assignee of the entire right, title and interest therein;

AND, we hereby further agree for ourselves and our executors and administrators to execute upon request any other lawful documents and likewise to perform any other lawful acts which may be deemed necessary to secure fully the aforesaid invention to said ASSIGNEE, its successors, assigns and legal representatives, but at its or their expense and charges, including the execution of applications for patents in foreign countries, and the execution of substitution, reissue, divisional or continuation applications and preliminary or other statements and the giving of testimony in any interference or other proceeding in which said invention or any application or patent directed thereto may be involved;

AND, we do hereby authorize and request the Commissioner of Patents of the United States to issue such Letters Patent as shall be granted upon said application or applications based thereon to said ASSIGNEE, its successors, assigns, and legal representatives.

IN TESTIMONY WHEREOF, we have hereunto set our hands and affixed our seals the date set forth below.

Inventor's Signature: Derek D. Mahoney
Derek D. Mahoney

State/Commonwealth
of New Jersey
County of Merick

Then personally appeared before me the above-named **Derek D. Mahoney** and acknowledged that he/she executed the foregoing instrument as his/her free act and deed this 4th day of April, 2001.

(SEAL)

Tami DeVitis Notary Public
Tami DeVitis (print name)

My Commission expires 7 / 17 / 2005

TAMMY L. DEVITIS
Notary Public of New Jersey
My Commission Expires July 17, 2005

Inventor's Signature: _____

John M. Margicin
John M. Margicin

State/Commonwealth

of New Jersey

County of Merger

Then personally appeared before me the above-named **John M. Margicin** and acknowledged that he/she executed the foregoing instrument as his/her free act and deed this 4th day of April, 2001.

(SEAL)

Tammi DeVitis Notary Public
Tammi DeVitis (print name)
My Commission expires 7 / 17 / 2005

TAMMY L. DEVITIS
Notary Public of New Jersey
My Commission Expires July 17, 2005

Inventor's Signature: _____

Frederick J. Fritz

State/Commonwealth

of _____

County of _____

Then personally appeared before me the above-named **Frederick J. Fritz** and acknowledged that he/she executed the foregoing instrument as his/her free act and deed this _____ day of _____, 20____.

(SEAL)

My Commission expires ____/____/____

Notary Public
(print name)

Inventor's Signature: _____

Walter P. Sjursen

State/Commonwealth

of _____

County of _____

Then personally appeared before me the above-named **Walter P. Sjursen** and acknowledged that he/she executed the foregoing instrument as his/her free act and deed this _____ day of _____ 20____.

(SEAL)

Notary Public

(print name)

My Commission expires ____/____/____

::ODMA\MHODMA\IMANAGE;211936;1

JointASSIGNMENT

WHEREAS, we, **Derek D. Mahoney, John M. Margicin, Frederick J. Fritz and Walter P. Sjursen**, have invented a certain improvement in **A FLEXIBLE HEARING AID TIP WITH AN INTEGRAL RECEIVER** described in an application for Letters Patent of the United States, the specification of which:

☐ is being executed on even date herewith and is about to be filed in the United States Patent Office;

☒ was filed on **March 13, 2001** as Application No. **09/804,981**;

☐ was patented under U.S. Patent No. ☐ on ☐.

WHEREAS, **Sarnoff Corporation** (hereinafter "ASSIGNEE"), a corporation organized and existing under the laws of the **State of New Jersey**, and having a usual place of business at **201 Washington Road, Princeton, New Jersey 08540-6449** desires to acquire an interest therein in accordance with agreements duly entered into with us;

NOW, THEREFORE, to all whom it may concern be it known that for and in consideration of said agreements and of other good and valuable consideration, the receipt of which is hereby acknowledged, we have sold, assigned and transferred and by these presents do hereby sell, assign and transfer unto said ASSIGNEE, its successors, assigns and legal representatives, the entire right, title and interest in and throughout the United States of America, its territories and all foreign countries, in and to said invention as described in said application, together with the entire right, title and interest in and to said application and such Letters Patent as may issue thereon; said invention, application and Letters Patent to be held and enjoyed by said ASSIGNEE for its own use and behalf and for its successors, assigns and legal representatives, to the full end of the term for which said Letters Patent may be granted as fully and entirely as the same would have been held by us had this assignment and sale not been made; we hereby convey all rights arising under or pursuant to any and all international agreements, treaties or laws relating to the protection of industrial property by filing any such applications for Letters Patent. We hereby acknowledge that this assignment, being of the entire right, title and interest in and to said invention, carries with it the right in ASSIGNEE to apply for and obtain from competent authorities in all countries of the world any and all Letters Patent by attorneys and agents of ASSIGNEE's selection and the right to procure the grant of all such Letters Patent to ASSIGNEE for its own name as assignee of the entire right, title and interest therein;

AND, we hereby further agree for ourselves and our executors and administrators to execute upon request any other lawful documents and likewise to perform any other lawful acts which may be deemed necessary to secure fully the aforesaid invention to said ASSIGNEE, its successors, assigns and legal representatives, but at its or their expense and charges, including the execution of applications for patents in foreign countries, and the execution of substitution, reissue, divisional or continuation applications and preliminary or other statements and the giving of testimony in any interference or other proceeding in which said invention or any application or patent directed thereto may be involved;

AND, we do hereby authorize and request the Commissioner of Patents of the United States to issue such Letters Patent as shall be granted upon said application or applications based thereon to said ASSIGNEE, its successors, assigns, and legal representatives.

IN TESTIMONY WHEREOF, we have hereunto set our hands and affixed our seals the date set forth below.

Inventor's Signature: _____
Derek D. Mahoney

State/Commonwealth _____
of _____
County of _____

Then personally appeared before me the above-named **Derek D. Mahoney** and acknowledged that he/she executed the foregoing instrument as his/her free act and deed this _____ day of _____, 20____.

(SEAL) _____ Notary Public

(print name)
My Commission expires ____/____/____

Inventor's Signature: _____

John M. Margicin

State/Commonwealth

of _____

County of _____

Then personally appeared before me the above-named **John M. Margicin** and acknowledged that he/she executed the foregoing instrument as his/her free act and deed this _____ day of _____, 20____.

(SEAL)

Notary Public

(print name)

My Commission expires ____/____/____

Inventor's Signature: _____

Frederick J. Fritz

State/Commonwealth

of New Jersey

County of Middlebury

Then personally appeared before me the above-named **Frederick J. Fritz** and acknowledged that he/she executed the foregoing instrument as his/her free act and deed this third day of April, 2001.

(SEAL)

Catherine P. Bravakis
Notary Public

CATHERINE P. BRAVAKIS
(print name)

My Commission expires 05 123 103

Inventor's Signature: _____

Walter P. Sjursen

Walter P. Sjursen

State/Commonwealth

of New Jersey

County of

Middlesex

Then personally appeared before me the above-named **Walter P. Sjursen** and acknowledged that he/she executed the foregoing instrument as his/her free act and deed this Third day of April 2001.

(SEAL)

Catherine P. Bravakis

Notary Public

CATHERINE P. BRAVAKIS

(print name)

My Commission expires 05 126 12003

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