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US010273

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(Rev. 6-93)RECORDAT  
P.

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09/872422  
05/31/01

To the Commissioner for Patents: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Eric Shen

## 2. Name and address of receiving party(ies):

Name: Koninklijke Philips Electronics N.V.

Internal Address: \_\_\_\_\_

Street Address: Groenewoudseweg 1,

5621 BA Eindhoven, Netherlands

Additional name(s) &amp; address(es) attached?

☐

Yes

☒

No

## 3. Nature of conveyance:

☒

Assignment

☐

Merger

☐

Security Agreement

☐

Change of Terms

☐

Other \_\_\_\_\_

Execution Date: May 30, 2001

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: 5/31/01.

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached?

☐

Yes

☒

No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Philips Electronics North America Corp.

Internal Address: \_\_\_\_\_

Street Address: 580 White Plains Road

City: Tarrytown State: NY Zip: 10591

## 6. Total number of applications and patents involved:

1

## 7. Total fee (37 CFR 3.41) ...\$40.00

☒

Authorized to Deposit Account

## 8. Deposit Account Number: 14-1270

(Attach duplicate copy of this page paying by deposit account)

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## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Aaron S. Haleva

Name of Person Signing

Signature

May 31, 2001

Date

Total number of pages including cover sheet, attachments, and document: 2

Mail documents to be recorded with required cover sheet information to:

Commissioner for Patents  
Box Assignments  
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09/19/2001 GTOW11 00000126 141270 09872422

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PATENT  
REEL: 011976 FRAME: 0413

USA - Domestic 4/87

SOLE/JOINT ASSIGNMENTDocket No. US010273

For good and valuable consideration, I, as a below-named Assignor, hereby sell, assign, and transfer the entire right, title, and interest in the following to Koninklijke Philips Electronics N.V., having a place of business at Groenewoudseweg 1, 5621 BA Eindhoven, NL, its successors, assigns, and legal representatives, including any nominees (collectively "the Assignee"):

(1) my/our invention relating to HIGH EFFICIENCY HIGH POWER FACTOR ELECTRONIC BALLAST as described in the U.S. patent application

[X] executed concurrently herewith,  
[ ] executed on \_\_\_\_\_,  
[ ] filed in the United States Patent and Trademark Office  
on \_\_\_\_\_ as Serial Number \_\_\_\_\_.

(2) the foregoing application and all other U.S. and foreign patent applications based thereon, including divisions, continuations, reissues, and extensions, and

(3) all patents granted on these applications.

I will provide my cooperation to enable the Assignee to enjoy the foregoing right, title, and interest to the fullest extent. Upon request at the expense of the Assignee, I agree to execute all papers, take all rightful oaths, testify in all legal proceedings including patent prosecutorial actions and infringement actions, and do all other such acts which may be necessary, desirable, or convenient for securing and maintaining patents on the foregoing invention or for perfecting title thereto in the Assignee. I authorize and request that these patents be issued to the Assignee.

I certify that I have the full right to convey the above rights.

5/30/01 Eric Shen \_\_\_\_\_, Assignor  
Date Eric Shen  
\_\_\_\_\_, Assignor  
Date  
\_\_\_\_\_, Assignor  
Date

State of New York )  
County of Westchester )

On this 30<sup>th</sup> day of May, 2001 before me personally appeared Eric Shen

known to me to be the person(s) who executed the foregoing assignment and acknowledged to me that he/they executed this assignment of his/their own free will.

ARLINE HAY-OBRIEN  
Notary Public, State of New York  
No. 01HA5078262  
Qualified In Dutchess County  
Commission Expires April 21, 1999

Arline Hay O'Brien  
Notary Public