

6.22.01

07-19-2001

Docket Number  
ATM-326

RECORDA



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S AND TRADEMARKS:

original document or copy thereof.

1. Name of conveying party (ies):

Frank S. Hintermaier  
Jeffrey F. Roeder  
Bryan C. Hendrix  
Debra A. Desrochers  
Thomas H. Baum

2. Name and address of receiving party:

Advanced Technology Materials, Inc.  
7 Commerce Drive  
Danbury, Connecticut 06810 andInfineon Technologies Corporation  
1730 North First Street  
San Jose, CA 95112Additional name(s) of conveying  
party (ies) attached? ☐ Yes ☒ NoAdditional name(s) and addresses  
attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger ☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_Execution Date: June 12, 2001; June 8, 2001; June 8, 2001; June 6, 2001; June 8, 2001

4. Application number(s) or patent number(s): U.S. Serial No. 09/216,370

If this document is being filed together with a new application, the execution date of the  
application is:

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☐ No5. Name and address of party to whom  
correspondence concerning document  
should be mailed:Margaret Chappuis  
Advanced Technology Materials, Inc.  
7 Commerce Drive  
Danbury, Connecticut 06810  
Telephone: 203-794-11007. Total Fee (37 CFR 3.41): \$ 40☐ Enclosed☒ Authorized to be charged to deposit  
account6. Total number of applications and patents  
involved: 18. Deposit account number:  
50-0860

DO NOT USE THIS SPACE

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and  
any attached copy is a true copy.*June 22, 2001

Date

*Margaret Chappuis*  
Margaret Chappuis  
Reg. No. 45,735

07/18/2001 6TOM11

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PATENT  
REEL: 011977 FRAME: 0489

## ASSIGNMENT

Frank S. Hintermaier, Jeffrey F. Roeder, Bryan C. Hendrix, Debra A. Desrochers, and Thomas H. Baum have made an invention described in U.S. Application No. 09/216,370, filed December 18, 1999 in the United States Patent and Trademark Office entitled:

**"REDUCED DEGRADATION OF METAL OXIDE CERAMIC DUE TO DIFFUSION OF A MOBILE SPECIE THEREFROM"**

We Jeffrey F. Roeder, Bryan C. Hendrix, Debra A. Desrochers, and Thomas H. Baum hereby assign to Advanced Technology Materials, Inc., 7 Commerce Drive, Danbury, Connecticut 06810, and all of our ownership and rights in:

- (i) the invention and U.S. Patent Application;
- (ii) U.S. Patents on the invention; and
- (iii) corresponding foreign patent applications and patents.

I, Frank S. Hintermaier hereby assigns to Infineon Technologies Corporation, 1730 North First Street, San Jose, CA 95112 all of our ownership and rights in:

- (i) the invention and U.S. Patent Application;
- (ii) U.S. Patents on the invention; and
- (iv) corresponding foreign patent applications and patents.

We are making this assignment based on

In the case of Jeffrey F. Roeder, Bryan C. Hendrix, Debra A. Desrochers and Thomas H. Baum, our employment relationship with Advanced Technology Materials, Inc., and

In the case of Frank S. Hintermaier, our employment relationship with Infineon Technologies Corporation consistent with an Agreement between Infineon Technologies Corporation and Advanced Technology Materials, Inc. dated September 1, 1995.

We request the Commissioner of Patents and Trademarks of the United States and the appropriate officers of all foreign countries to issue patents on the invention to:

Advanced Technology Materials, Inc.

And

Infineon Technologies Corporation

as joint owners of the invention and aforementioned patent rights.

We agree that we will promptly communicate to Advanced Technology, Inc. and Infineon Technologies Corporation all facts which come to our attention affecting the invention or patent rights.

We agree that we will testify in any interference or litigation involving the invention or patent rights.

We declare that we have not done anything in conflict with this Assignment.

Full Name of first inventor: Frank S. Hintermaier

Inventor's Signature Frank S. Hintermaier Date 6/12/01

Residence: Suedtiroler Str. 5, D-81547, Muenchen, Germany

Citizenship: Germany

Post Office Address: Suedtiroler Str. 5, D-81547, Muenchen, Germany

Full Name of second inventor: Jeffrey F. Roeder

Inventor's Signature \_\_\_\_\_ Date \_\_\_\_\_

Residence: 4 Longmeadow Hill Road, Brookfield, Connecticut 06804

Citizenship: USA

Post Office Address: 4 Longmeadow Hill Road, Brookfield, Connecticut 06804

Full Name of third inventor: Bryan C. Hendrix

Inventor's Signature \_\_\_\_\_ Date \_\_\_\_\_

Residence: 12 Peace Street, Danbury, Connecticut 06810

Citizenship: USA

Post Office Address: 12 Peace Street, Danbury, Connecticut 06810

Full Name of fourth inventor: Debra A. Desrochers

Inventor's Signature \_\_\_\_\_ Date \_\_\_\_\_

Residence: 1392 Monroe Turnpike, Monroe, Connecticut 06468

Citizenship: USA

Post Office Address: 1392 Monroe Turnpike, Monroe, Connecticut 06468

Full Name of fifth inventor: Thomas H. Baum

Inventor's Signature \_\_\_\_\_ Date \_\_\_\_\_

Residence: 2 Handol Lane, New Fairfield, Connecticut 06812

Citizenship: USA

Post Office Address: 2 Handol Lane, New Fairfield, Connecticut 06812

Full Name of first inventor: Frank S. Hintermaier

Inventor's Signature \_\_\_\_\_ Date \_\_\_\_\_

Residence: Suedtiroler Str. 5, D-81547, Muenchen, Germany

Citizenship: Germany

Post Office Address: Suedtiroler Str. 5, D-81547, Muenchen, Germany

Full Name of second inventor: Jeffrey F. Roeder

Inventor's Signature  \_\_\_\_\_ Date 6/8/01

Residence: 4 Longmeadow Hill Road, Brookfield, Connecticut 06804

Citizenship: USA

Post Office Address: 4 Longmeadow Hill Road, Brookfield, Connecticut 06804

Full Name of third inventor: Bryan C. Hendrix

Inventor's Signature  \_\_\_\_\_ Date 8 Jan - 01

Residence: 12 Peace Street, Danbury, Connecticut 06810

Citizenship: USA

Post Office Address: 12 Peace Street, Danbury, Connecticut 06810

Full Name of fourth inventor: Debra A. Desrochers

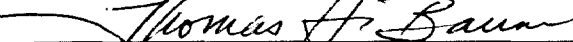
Inventor's Signature \_\_\_\_\_ Date \_\_\_\_\_

Residence: 1392 Monroe Turnpike, Monroe, Connecticut 06468

Citizenship: USA

Post Office Address: 1392 Monroe Turnpike, Monroe, Connecticut 06468

Full Name of fifth inventor: Thomas H. Baum

Inventor's Signature  \_\_\_\_\_ Date 6-8-01

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Post Office Address: 2 Handol Lane, New Fairfield, Connecticut 06812

Full Name of first inventor: Frank S. Hintermaier

Inventor's Signature \_\_\_\_\_

Date

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Post Office Address: Suedtiroler Str. 5, D-81547, Muenchen, Germany

Full Name of second inventor: Jeffrey F. Roeder

Inventor's Signature \_\_\_\_\_

Date

Residence: 4 Longmeadow Hill Road, Brookfield, Connecticut 06804

Citizenship: USA

Post Office Address: 4 Longmeadow Hill Road, Brookfield, Connecticut 06804

Full Name of third inventor: Bryan C. Hendrix

Inventor's Signature \_\_\_\_\_

Date

Residence: 12 Peace Street, Danbury, Connecticut 06810

Citizenship: USA

Post Office Address: 12 Peace Street, Danbury, Connecticut 06810

Full Name of fourth inventor: Debra A. Desrochers <sup>Christos</sup>

Inventor's Signature Debra Desrochers Christos

Date

6/6/2001

Residence: 1392 Monroe Turnpike, Monroe, Connecticut 06468

Citizenship: USA

Post Office Address: 1392 Monroe Turnpike, Monroe, Connecticut 06468

Full Name of fifth inventor: Thomas H. Baum

Inventor's Signature \_\_\_\_\_

Date

Residence: 2 Handol Lane, New Fairfield, Connecticut 06812

Citizenship: USA

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