

07-19-2001

**Case A-22165/P1/CGC 2055**

Attached original documents or copy thereof.

To the Honorable Commission

101782373

**A. John James McNamara**  
**B. Anunay Gupta**  
**C. David Devore**

7-90)

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

- ☒
- Assignment
- ☐
- Merger
- 
- ☐
- Security Agreement
- ☐
- Change of Name
- 
- ☐
- Other:

Execution Dates: **A. 3/22/2001; B. 3/22/2001;**  
**C. 3/29/2001**

## 2. Name and address of receiving party(ies)

Name: **Ciba Specialty Chemicals Corp.**Internal Address: **P.O. Box 2005**Street Address: **540 White Plains Road**City: **Tarrytown** State: **NY** ZIP: **10591**Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or Patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s) **09/778,194**

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **JoAnn Villamizar**  
**Ciba Specialty Chemicals Corp.**  
Internal Address: **Patent Department**  
**P.O. Box 2005**  
Street Address: **540 White Plains Road**  
City: **Tarrytown** State: **NY** ZIP: **10591**6. Total number of applications and patents involved ☐7. Total fee (37 CFR 3.41)..... **\$40.00**

- ☐
- Enclosed
- 
- ☒
- Authorized to be charged to deposit account and any other additional fees required.

## 8. Deposit account number:

**03-1935**

07/18/2001 LNUELLER 00000196 031935 09778194

01 FC:381 40.00 CH

DO NOT USE THIS SPACE

## 9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Tyler A. Stevenson

Name of Person Signing

Reg. No. **46,388**

Signature

Date

Total number of pages including cover sheet, attachments, and document:

Mail documents to be recorded with required cover sheet information to: **3**

Commissioner of Patents &amp; Trademarks, Box Assignments, Washington, D.C. 20231

ASSIGNMENT

I/we

A. John James McNamara	residing at	45 West Shore Drive Putnam Valley, New York 10579
B. Anunay Gupta	residing at	12 Painted Wagon Road Holmdel, New Jersey 07733-2726
C. David Devore	residing at	10 Forest Ridge Road Nyack, New York 10960

for good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, do hereby sell and assign to Ciba Specialty Chemicals Corporation, a Delaware corporation, of 540 White Plains Road, P.O. Box 2005, Tarrytown, NY 10591, its successors, assigns and legal representatives all my/our right, title and interest, in the United States, its territories and possessions, and in any and all countries foreign to the United States, in and to the invention entitled:

**HYPERBRANCHED AMPHIPHILIC POLYMERIC ADDITIVES AND POLYMER  
COMPOSITIONS WITH INCREASED SURFACE ENERGY**

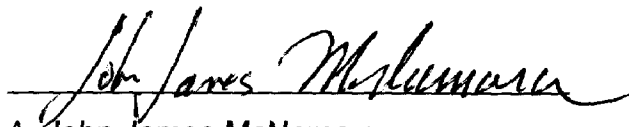
invented by me/us and described in the application for United States Letters Patent

- ☐ executed on even date herewith
- ☒ Serial No. 09/778,194, filed February 7, 2001

including (i) authorization to file corresponding applications for patents or other similar legal protection and continuations, continuations-in-part, supplementary disclosures, divisions or extensions thereof in any country foreign to the United States in which an assignee can apply for a patent or similar legal protection, (ii) any and all rights under international treaties, conventions, agreements, charters and laws in respect of the said invention, especially the right to claim priority based on the said application for United States Letters Patent, (iii) any and all Letters Patent or similar legal protection, which may be granted therefor, and all divisions, reissues, continuations and extensions thereof, said interest being the entire ownership of the

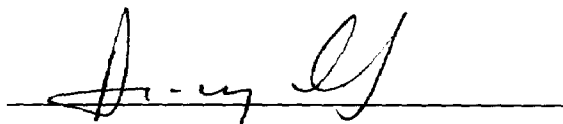
said Letters Patent or similar legal protection when granted, to be held and enjoyed by Ciba Specialty Chemicals Corporation, its successors, assigns or other legal representatives, to the full end of the term for which said Letter Patent or similar legal protection may be granted, and hereby further covenant and agree that I/we will at any time upon request, without charge to, but at the expense of Ciba Specialty Chemicals Corporation, execute such additional assignments and other lawful papers as Ciba Specialty Chemicals Corporation, may deem necessary or desirable, testify in any legal proceeding, execute all divisional, continuing, reissue and extension applications, make all rightful oaths, and generally do everything possible to aid Ciba Specialty Chemicals Corporation, its successors, assigns, and legal representatives, to obtain and enforce proper patent protection for said invention.

A. Signed at Tarrytown, New York this 22 day of March, 2001.



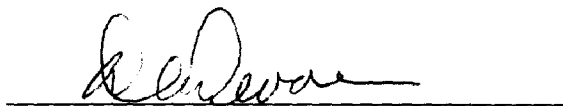
A. John James McNamara

B. Signed at Holmdel, NJ this 22 day of March, 2001.



B. Anunay Gupta

C. Signed at this 29 day of March, 2001.



C. David Devore