

07-19-2001

FORM PTO - 1595

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To the Honorable Commissioner of Patents and Trademarks, Please record the attached original documents or copy thereof

1. Name of conveying party(ies):

(1) Sing-Pin Tay (2) Yao Zhi Hu
(3) Sagy Levy (4) Jeffrey GelpeyAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party (ies):

Name: Mattson Technology, Inc.

Internal

Address: Richard Sehr

Street

Address: 2800 Bayview Drive

City: Fremont State: CA Zip: 94538

Additional name(s) address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ OtherExecution Date: (1) (2) April 26, 2001; (3) April 27, 2001
(4) May 5, 2001

4. Application number (s) or patent number (s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s) 09/836,620

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Patricia Smink Rogowski

Internal Address: CONNOLLY BOVE LODGE & HUTZ LLP

Street Address: 1220 MARKET STREET
P.O. BOX 2207

City: WILMINGTON State: DE Zip: 19899

6. Total Number of applications and patents involved: (1)

7. Total Fee (37 CFR 3.41) \$40.00

☒ Enclosed

Authorized to be charged to deposit account

8. Deposit account number: 03-2775

(if any additional fee is required)

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Patricia Smink Rogowski, Reg. No. 33,791

Name of Person Signing & Reg. No.

Signature

Date

July 10, 2001

Attorney Docket #: 8574-30

Total number of pages including cover sheet, attachments and document: 3

OMB No 0651-0011 (exp. 4/94)

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Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks

40.00-01 Assignments

Washington, D.C. 20231

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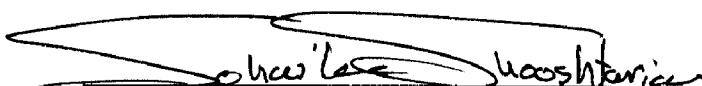
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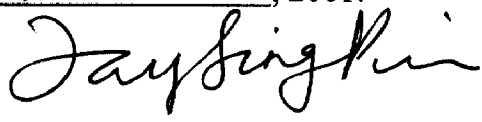
PATENT
REEL: 011980 FRAME: 0149

ASSIGNMENT

For valuable consideration, the receipt of and adequacy of which is hereby acknowledged, we, SING-PIN TAY, residing in Fremont, California, YAO ZHI HU, residing in San Jose, California, SAGY LEVY, residing in Sunnyvale, California, and JEFFREY GELPEY, residing in Peabody, Massachusetts, hereby sell, assign and transfer unto MATTSON TECHNOLOGY, INC., a corporation of the State of Delaware, located at 2800 BAYVIEW DRIVE, FREMONT, CA 94538, the entire right, title and interest in and to my/our application for United States Letters Patent, Serial Number _____, entitled UV PRETREATMENT PROCESS FOR ULTRA-THIN OXYNITRIDE FORMATION, Docket No. 8574-30, including all priority rights arising in other countries from said application and my/our entire right, title and interest in and to all inventions disclosed in said application for Letters Patent, and in and to all applications filed for Letters Patent for any of said inventions in any country, and in and to all patents granted on the foregoing applications, and I/we hereby agree, whenever requested to communicate to said assignee, its successors and assigns, any facts known to me/us respecting said inventions, to testify in any legal proceeding, and to execute all applications or papers necessary to obtain and maintain proper patent protection on said inventions in all countries.

Signed at San Jose, CA this 26th day of April, 2001.


WITNESS


INVENTOR-SING-PIN TAY

Signed at San Jose, CA this 26 day of April, 2001.


WITNESS


INVENTOR-YAO ZHI HU

Signed at San Jose, CA this 4-27-01 day of 4-27-01, 2001.

Leah Korch
WITNESS

Sagy Levy
INVENTOR-SAGY LEVY

Signed at Peabody, MA, ~~CA~~ this 5 day of May, 2001.

Robert A. Lively
WITNESS

Jeffrey Gelpey
INVENTOR-JEFFREY GELPEY