

07-23-2001

FORM PTO-1595

REC

(Rev. 6-93)

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

To the Honorable Commissioner of Patents

all documents or copy thereof.

## 1. Name of conveying party(ies)

William C. Stumphauzer, II

07/07/01

101786363

Name: Sirius Satellite Radio

Internal Address:

Street Address: 1221 Avenue of the Americas

City: New York State: NY Zip: 10020

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

## 3. Nature of conveyance:

- ☒ Assignment  
☐ Security Agreement  
☐ Merger  
☐ Change of Name  
☐ Other

Execution Date: July 9, 2001

Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: July 9, 2001

A. Patent Application No.(s)

09/911935

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Jonathan S. Caplan, Esq.

Internal Address: Kramer Levin Naftalis &amp; Frankel LLP

Street Address: 919 Third Avenue

City: New York State: New York Zip: 10022

## 6. Total number of applications and patents involved

1

## 7. Total fee (37 CFR 3.41)

\$40.00

- ☐ Enclosed  
☐ Authorized to be charged to deposit account # 50-0540

## 8. Deposit account number: 50-0540

(Attach duplicate copy of this page if paying by deposit account)

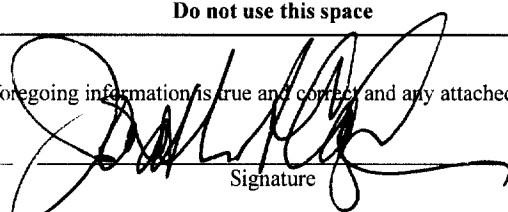
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## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Jonathan S. Caplan

Name of Person Signing



Signature

July 9, 2001

Date

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RFCSP.PTO

Attorney Docket No. 099908.00020

PATENT

**ASSIGNMENT**

WHEREAS I, **William C. Stumphauzer, II**, residing at 9820 Timothy Lane, South Lyon, Michigan 48178, citizen of the United States (hereinafter referred to as the "ASSIGNOR"), has invented certain new and useful improvements in a **SYSTEM AND METHOD FOR CREATING AND RECEIVING PERSONALIZED BROADCASTS** for which I have executed an application for Letters Patent of the United States filed herewith, and **SIRIUS SATELLITE RADIO** having an office and principal place of business at 1221 Avenue of the Americas, New York, New York 10020 (hereinafter referred to as the "ASSIGNEE"), is desirous of obtaining the entire right, title and interest in, to and under the improvements and the application;

NOW, THEREFORE, for good and valuable consideration received, the receipt of which is hereby acknowledged, ASSIGNOR has sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto ASSIGNEE, its successors, legal representatives and assigns, the entire right, title and interest in, to and under the improvements, and the application and all divisions, renewals, substitutes and continuations thereof, and all Letters Patent of the United States that may be granted thereon and all reissues and extensions thereof, and all applications for Letters Patent and any legal equivalent thereof, including the right to claim priority, that has been or may hereafter be filed for the improvements in any country or countries foreign to the United States, and all Letters Patent and any legal equivalent thereof that may be granted for the improvements in any country or countries foreign to the United States and all extensions, renewals and reissues thereof, the same to be held and enjoyed by ASSIGNEE for its own use and benefit and for the use and benefit of its successors, assigns or other legal representatives fully and entirely as if the same would have been held and enjoyed by ASSIGNOR if this Assignment had not been made, to the end of the term or terms for which any Letters Patent and any legal equivalent thereof may be granted;

AND ASSIGNOR HEREBY authorizes and requests the Commissioner of Patents and Trademarks of the United States, and any Official of any country or countries foreign to the United States whose duty it is to issue patents on applications as aforesaid, to record this Assignment, and to issue all Letters Patent for the improvements to ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument;

AND ASSIGNOR HEREBY covenants that ASSIGNOR has the full right to convey the entire interest herein assigned, and that ASSIGNOR has not executed, and will not execute, any agreement, assignment, sale or encumbrance in conflict herewith;

AND ASSIGNOR HEREBY further covenants and agrees that ASSIGNOR will communicate to ASSIGNEE, its successors, legal representatives and assigns, any facts known to ASSIGNOR respecting the improvements, and will testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper patent protection for the improvements in all countries.

KL3:2117632.1

EXPRESS MAIL LABEL NO. EL416581264US  
DATE OF DEPOSIT: July 9, 2001

**PATENT**  
**REEL: 011986 FRAME: 0591**

IN WITNESS WHEREOF, ASSIGNOR has set his hand and seal to this  
Assignment.

William C. Stumphauzer, II  
WILLIAM C. STUMPHAUZER, II

STATE OF )

) ss.:

COUNTY OF )

On this 9<sup>th</sup> day of July, 2001, before me appeared  
William C. Stumphauzer, II, to me personally known, who being by me duly sworn did say that  
he is one of the ASSIGNOR named in the foregoing instrument of Assignment and he signed this  
instrument of Assignment in my presence.

Carey C. Clark  
Notary Public

CAREY C. CLARK  
Notary Public, Oakland County, MI  
My Commission Expires Sept 28, 2003