



07-26-2001

REC



101788839
PATENT IS ONLY

ET

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

Submission Type

- ☒ New
- ☐ Resubmission (Non-Recordation)
Document ID#
- ☐ Correction of PTO Error
Reel # Frame #
- ☐ Corrective Document
Reel # Frame #

Conveyance Type

- ☐ Assignment ☐ Security Agreement
- ☐ License ☐ Change of Name
- ☐ Merger ☒ Other Confirmatory License
- U.S. Government**
(For Use ONLY by U.S. Government Agencies)
- ☒ Departmental File ☐ Secret File

Conveying Party(ies)

☐ Mark if additional names of conveying parties attached

Name (line 1) Allan M. Konrad

Execution Date
Month Day Year
 4/10/00

Name (line 2)

Second Party

Name (line 1)

Execution Date
Month Day Year

Name (line 2)

Receiving Party

☐ Mark if additional names of receiving parties attached

Name (line 1) U.S. Department of Energy

Name (line 2)

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Address (line 1) P. O. Box 808 (L-376)

Address (line 2)

Address (line 3) Livermore

CA

94550

City

State/Country

Zip Code

Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

FOR OFFICE USE ONLY

Correspondent Name and Address

Area Code and Telephone Number

(925) 422-4367

Name William C. Daubenspeck

Address (line 1) U.S. Department of Energy

Address (line 2) Lawrence Livermore National Laboratory

Address (line 3) P. O. Box 808 (MS L-376)

Address (line 4) Livermore, CA 94550

Pages

Enter the total number of pages of the attached conveyance document including any attachments.

1

Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

			5696901		

If this document is being filed together with a new Patent Application, enter the date the patent application was

Month Day Year

Patent Cooperation Treaty (PCT)

Enter PCT application number only if a U.S. Application Number has not been assigned.

PCT PCT PCT
PCT PCT PCT

Number of Properties

Enter the total number of properties involved.

1

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$ 0.00

Method of Payment:

Enclosed ☐

Deposit Account ☐

Deposit Account

(Enter for payment by deposit account or if additional fees can be charged to the

Deposit Account Number:

#

Authorization to charge additional fees:

Yes ☐

No ☒

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Janet L. Rego

Name of Person Signing

Janet L. Rego
Signature

7-9-00
Date

CONFIRMATORY LICENSE

Title: Remote Information Service Access
System Based on a Client-Server-
Service Model
Inventor(s): Allan M. Konrad
Contract Number: DE-AC03-76SF00098
Contractor: Regents of the University of
California (Lawrence Berkeley
National Laboratory

DOE Docket No.: S-93, 003
U.S. Patent Application: S.N. 08/653,556
Filing Date: May 24, 1996
U.S. Patent No.: 5,696,901
Issue Date: December 9, 1997
Waiver Number: W(I) 92-037 SAN 609

Foreign Application filed or intended to be filed at Inventor's expense in (countries):

The Contractor, a nonprofit organization having the right to retain title to Subject Inventions as a result of Public Law 96-157, has reported the above-identified Invention as a Subject Invention to DOE along with its determination not to retain rights thereto. The inventor has requested and been granted the right to retain title to the invention by DOE based on the inventor's status as an employee of the Contractor, agreement by the Contractor to the inventor's request to retain rights, and agreement by the inventor to accept the provisions of 35 U.S.C. 202-204 as a condition of his retaining title.

Accordingly, this document is confirmatory of the nonexclusive, non-transferable, irrevocable, paid-up license in this invention, patent application (including all divisions, continuations and continuations-in-part), any resulting patent (including reissues) and of all other rights acquired by the Government in accordance with the provisions of 35 U.S.C. 202-204. It is understood and agreed that this license does not preclude the Government from asserting rights under the provisions of the above-identified contract or of any other agreement between the Government and the Contractor, or from asserting any other rights of the Government with respect to the above-identified invention.

The Government is hereby granted an irrevocable power to inspect and make copies of the above-identified patent application.

Witness: Carol D. Backhus
(Signature)

Carol D. Backhus
(Print/Type Witness's Name)

By: Allan M. Konrad 10 April 2000
(Inventor's Signature) (Date)

Allan M. KONRAD
(Print/Type Inventor's Name)

Box 4023
(Print/Type Inventor's Address)

Berkeley, CA 94704