

07-25-2001

Docket No. RD-26.864

FORM PTO 1595  
1-31-92U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

101787730

To the Honorable Commissioner of Patents and Trademarks: Please receive

original documents or copy thereof.

## 1. Name of conveying party(ies):

Lowell Scott Smith  
Nim Hak Tea  
Theodore Lauer Rhyne  
Xuan-Ming Lu

07/05/01

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

## 2. Name and address of receiving party(ies):

Name: General Electric Company

Internal Address:

Street Address: One River Road

City: Schenectady State: NY ZIP: 12345

Additional name(s) &amp; address(es) attached?

☐ Yes ☒ No

## 3. Nature of conveyance:

☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other

Execution Date: Jun 27, 2001 Jun 9, 2001

May 3, 2001 May 22, 2001

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

Jun 27, 2001 Jun 9, 2001 May 3, 2001 May 22, 2001

A. Patent Application No.(s)

09/898811

B. Patent No.(s)

Additional numbers attached?

☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: General Electric Company  
Internal Address: CRD Patent Docket Rm. 4A59  
Bldg. K-1, Salamone  
P.O. Box 8

Street Address: One Research Circle

City: Schenectady State: NY ZIP: 12301

## 6. Total number of applications and patents involved:

1

## 7. Total fee (37 CFR 3.41).....\$40.00

☐ Enclosed☒ Authorized to be charged to deposit account

## 8. Deposit account number:

07-0868

DO NOT USE THIS SPACE

## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Jill M. Breedlove  
Name of Person Signing

Signature

Total number of pages including cover sheet, attachments and document

4

OMB No. 0651-0011 (exp 4/94)

Do Not detach this portion

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks  
Box Assignments  
Washington, D.C. 20231

07/24/2001 AMWED1 00000029 070868 09898811

01 FC:581 8/93 40.00 CH

PATENT  
REEL: 011992 FRAME: 0103

# ASSIGNMENT

Docket Number  
RD-26,864

In consideration of One Dollar and Other good and valuable consideration, of which I acknowledge receipt, I (we)

Lowell Scott Smith

of Niskayuna, New York

Nim Hak Tea

of Los Angeles, California

Theodore Lauer Rhyne

of Whitefish Bay, Wisconsin

Xuan-Ming Lu

of San Jose, California

of

of

of

of

of

of

of

of

of

of

of

of

sell and assign to General Electric Company, a New York Corporation, its successors and assigns the entire right, title and interest in and to the improvements in:

ULTRASOUND TRANSDUCER FOR IMPROVING RESOLUTION IN IMAGING SYSTEM

invented by me (us), as described in the application for United States patent

☒ executed concurrently herewith, or

☐ U.S. Serial No.: \_\_\_\_\_, filed \_\_\_\_\_

and any and all applications for patent and patents therefor in any and all countries, including all divisions, reissues, continuations and extensions thereof, and all rights of priority resulting from the filing of said United States application, and authorize and request any official whose duty it is to issue patents, to issue any patents on said improvements or resulting therefrom to said General Electric Company, or its successors or assigns and agree that on request and without further consideration, but at the expense of said Company, I will communicate to said Company or its representatives or nominees any facts known to me respecting said improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid said Company, its successors, assigns, and nominees to obtain and enforce proper patent protection for said improvements in all countries.

June 27, 2001  
Date

Lowell Scott Smith  
Lowell Scott Smith

State of New York ) SS:

County of Schenectady )

This 27<sup>th</sup> day of June, 2001, before me personally came the

above-named Lowell Scott Smith to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal

STEPHANIE R. ROSS  
Notary Public, State of New York  
Residing in Albany County  
My Commission Expires 7/31/01

Stephanie R. Ross  
(Notary Public)

**PATENT**

# ASSIGNMENT

Docket Number  
RD-26,864

6/9/2001  
Date

Nim Hak Tea  
Nim Hak Tea

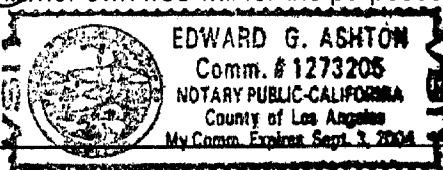
State of California ) SS:

County of Los Angeles )

This 18<sup>th</sup> day of June, 2001, before me personally came

the above-named Nim Hak Tea to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal



[Signature]  
(Notary Public)

May 3, 2001  
Date

Theodore Lauer Rhyne  
Theodore Lauer Rhyne

State of Wisconsin ) SS:

County of Milwaukee )

This 3rd day of May, 2001, before me personally came

the above-named Theodore Lauer Rhyne to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal

Judy R. Umbreit  
(Notary Public)

May 22, 2001  
Date

Xuan-Ming Lu  
Xuan-Ming Lu

State of California ) SS:

County of \_\_\_\_\_ )

This \_\_\_\_\_ day of May-22-01, 20\_\_\_\_, before me personally came

the above-named Xuan-Ming Lu to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal

see attached Acknowledgment  
(Notary Public)

**PATENT**

# CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

State of California

County of

Santa Clara

ss.

On May-22-2001

Date

, before me, Hae R Choi - Notary Public

Name and Title of Officer (e.g., "Jane Doe, Notary Public")

personally appeared

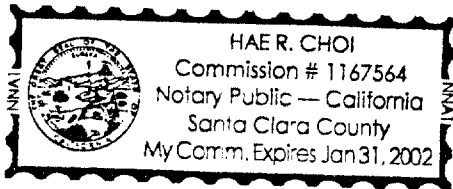
Xuan - Ming Lu

Name(s) of Signer(s)

☐ personally known to me

☒ proved to me on the basis of satisfactory evidence

to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.



Place Notary Seal Above

WITNESS my hand and official seal

[Signature]

Signature of Notary Public

## OPTIONAL

Though the information below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent removal and reattachment of this form to another document.

### Description of Attached Document

Title or Type of Document: Assignment

Document Date: No Date

Number of Pages: 2

Signer(s) Other Than Named Above: Lowell Scott Smith / Nim Halk Tea / Theodore Lawer Rhyme

### Capacity(ies) Claimed by Signer

Signer's Name: Xuan - Ming Lu

☒ Individual

☐ Corporate Officer — Title(s): \_\_\_\_\_

☐ Partner — ☐ Limited ☐ General

☐ Attorney in Fact

☐ Trustee

☐ Guardian or Conservator

☐ Other: \_\_\_\_\_

Signer Is Representing: \_\_\_\_\_

RIGHT THUMBPRINT  
OF SIGNER  
Top of thumb here