

RECORD

07-25-2001



101787825

Application Number

Confirmation Number

Filing Date

First Named Inventor

Examiner

Group Art

Attorney Docket No.

J1036 9-8-PTD
09/901865
07/09/01

ADDRESS TO:

Commissioner of Patents and Trademarks

Box Assignment

Washington, D.C. 20231

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Stanley B. Prusiner

07/09/01

Additional name(s) of conveying party(ies) attached?

☒ Yes☐ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other: _____

Execution Date(s): 10-16-1998

2. Name and address of receiving party(ies):

Name: The Regents of the University of California

12th Floor

Internal Address:

Street Address: 1111 Franklin Street

City: Oakland State: California Zip: 94607

Additional name(s) & address(es) attached?

☐ Yes☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

10/16/98 10/16/98 10/18/98

09/901865

A. Patent Application Number(s):
To Be Assigned

B. Patent Number(s):

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Karl Bozicevic

Street Address: BOZICEVIC, FIELD & FRANCIS, LLP
200 Middlefield Road, Suite 200
Menlo Park, CA 94025

Telephone: (650) 327-3400

Facsimile: (650) 327-3231

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$40.00

☐ Enclosed☒ Authorized to be charged to deposit account**8. The Commissioner is authorized to charge any fees which may be required, or credit any overpayment to Deposit Account 50-0815. If additional fees are required, including extensions of time, please consider this a petition therefore.**

07/24/2001 LMUELLER 00000073 500815 09901865

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9. Statement and Signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Karl Bozicevic, Reg. No. 28,807

Name of Person Signing

Signature

07-09-2001

Date

ADDITIONAL NAMES AND ADDRESSES

1. Name of conveying party(ies): Jiri G. Safar	2. Name and address of receiving party(ies): Name:
Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input type="checkbox"/> No	Internal Address:
3. Nature of conveyance: <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other: _____ Execution Date(s): 10-16-1998	Street Address: City: State: Zip:

1. Name of conveying party(ies): Fred E. Cohen	2. Name and address of receiving party(ies): Name:
Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input type="checkbox"/> No	Internal Address:
3. Nature of conveyance: <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other: _____ Execution Date(s): 10-19-1998	Street Address: City: State: Zip:

1. Name of conveying party(ies):	2. Name and address of receiving party(ies): Name:
Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input type="checkbox"/> No	Internal Address:
3. Nature of conveyance: <input type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other: _____ Execution Date(s):	Street Address: City: State: Zip:

Total number of pages including cover sheet, attachments and document:	<div style="border: 1px solid black; display: inline-block; width: 40px; height: 20px; line-height: 20px;">4</div>
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ASSIGNMENT

For good and valuable consideration, the receipt of which is hereby acknowledged,
ASSIGNOR(S),

1. Stanley B. Prusiner
2. Jiri G. Safar
3. Fred E. Cohen

hereby sell, assign and transfer to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located 1111 Franklin Street, 12th Floor, Oakland, CA 94607-5200, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

ASSAY FOR SPECIFIC STRAINS OF MULTIPLE DISEASE RELATED CONFORMATIONS OF A PROTEIN

and which is found in

- (a) U.S. provisional application filed herewith and listing the above named persons as inventors
- (b) U.S. patent application filed herewith and listing the above named persons as inventors
- (c) U.S. Application Serial No. 09/151,057; filed on September 10, 1998
- (d) U.S. Patent No.: _____ issued _____

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC III (a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenant that no assignment sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further covenant that ASSIGNEE will, upon its request be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) request the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

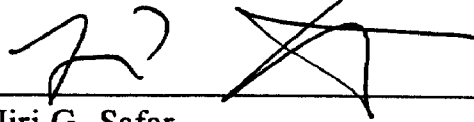
executed this

Signature of Inventor(s)

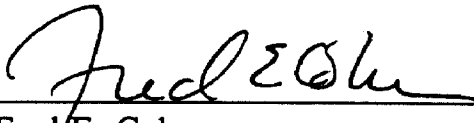
16 day of Oct, 1998


Stanley B. Prusiner

16 day of Oct, 1998


Jiri G. Safar

19 day of November, 1998


Fred E. Cohen