

07-26-2001

FORM PTO-1595
(Rev. 3/01)
OMD NO. 0651-0011 exp. 5/31/2002)

R



U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

101789090

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):
Prabhas Kejriwal and Chi Fai Ho

7-19-01

Additional name(s) of conveying party(ies) attached?

☒ No ☐ Yes

3. Nature of Conveyance

☐ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☒ Other: Nunc Pro Tunc

Execution Date(s): 12/13/2000

2. Name and address of receiving party(ies):

Name: Amber Networks, Inc.

A Corporation of Delaware

Internal Address:

JUL 19 2001

Street Address: 48664 Milmont Drive

City: Fremont State/Province: California Zip: 94538

Country: United States of America

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application Number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s): 09/418,683

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Lester J. Vincent, Reg. No. 31,460

Name: Blakely, Sokoloff, Taylor & Zafman LLP

Internal Address:

Street Address: 12400 Wilshire Boulevard, 7th Floor

City: Los Angeles State: California Zip: 90025

6. Total number of applications and patents involved: 1

7. Total Fee (37 CFR 3.41).....\$80.00

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit Account Number:

02-2666

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and believe, the foregoing is true and correct and any attached copy is a true copy of the original document

Lester J. Vincent, Reg. No. 31,460

Name of Person Signing

[Signature]
Signature

July 16, 2001
Date

Total number of pages including cover sheet, attachments, and document: 5

Mail documents to be recorded with required cover sheet information to:
Assistant Commissioner of Patents, Box Assignments
Washington, D.C. 20231

Atty Docket No.: 004073P004

07/25/2001 6TON11 00000174 09418683

01 FC:581

80.00 UP

PATENT
REEL: 011995 FRAME: 0662

**NUNC PRO TUNC
ASSIGNMENT**

Attorney's Docket

PATENT

No.: 004073.P004

This Nunc Pro Tunc Assignment is effective the 14th day of October 1999 by and between the below-identified Assignors and Assignee.

In consideration of good and valuable consideration, the receipt of which is hereby acknowledged, we

the undersigned, Prabhas Kejriwal and Chi Fai Ho

hereby sell, assign, and transfer to Amber Networks, Inc.

a corporation of Delaware, having a principal place of business at
48664 Millmont Drive, Fremont, CA 94538-7353, ("Assignee"),
and its successors, assigns, and legal representatives, the entire right, title, and interest for the
United States and all foreign countries, in and to any and all improvements that are disclosed in the
application for United States patent

☐ that has been executed by the undersigned concurrently herewith,

☒ that was filed October 14, 1999 and assigned Application No. 09/418,683

and is entitled METHOD AND APPARATUS FOR OUTPUT RATE REGULATION AND CONTROL
ASSOCIATED WITH A PACKET PIPELINE

effective October 14, 1999, and in and to said application and all divisional applications, continuation applications, continued prosecution applications, continuation-in-part applications, substitute applications, renewal applications, reissue applications, reexaminations, extensions, and all other patent applications that have been or shall be filed in the United States and all foreign countries on any of said improvements; and in and to all original patents, reissued patents, reexamination certificates, and extensions that have been or shall be issued in the United States and all foreign countries on said improvements; and in and to all rights of priority resulting from the filing of said United States application, effective October 14, 1999; and

agree that said Assignee may apply for and receive a patent or patents for said improvements in its own name; and that, when requested, without charge to, but at the expense of, said Assignee, its successors, assigns, and legal representatives, to carry out in good faith the intent and purpose of this Assignment, the undersigned will execute all divisional applications, continuation applications, continued prosecution applications, continuation-in-part applications, substitute applications, renewal applications, reissue applications, reexaminations, extensions, and all other patent applications on any and all said improvements; execute all rightful oaths, assignments, powers of attorney, and other papers; communicate to said Assignee, its successors, assigns, and representatives all facts known to the undersigned relating to said improvements and the history thereof; and generally assist said Assignee, its successors, assigns, or representatives in securing and maintaining proper patent protection for said improvements and for vesting title to said improvements, and all applications for patents and all patents on said improvements, in said Assignee, its successors, assigns, and legal representatives, effective October 14, 1999; and

covenant with said Assignee, its successors, assigns, and legal representatives that no assignment, grant, mortgage, license, or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned, effective October 14, 1999.

Date: Dec 13, 20 00 Prabhas Kejriwal
Name: Prabhas Kejriwal

Date: _____, 20 _____
Name: Chi Fai Ho

Date: _____, 20 _____
Name: _____

Date: _____, 20 _____
Name: _____

Date: _____, 20 _____
Name: _____

Date: _____, 20 _____
Name: _____

State of: _____ }
County of: _____ } SS. Assignment Document Return Address:
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
12400 Wilshire Blvd., Seventh Floor
Los Angeles, California 90025-1026
(408) 720-8300

On this _____ day of _____, 20 __, before me, _____,
the undersigned Notary Public, personally appeared _____

☐ personally known to me ☐ proved to me on the basis of satisfactory evidence to be the person(s)
whose name(s) subscribed to the within instrument, and acknowledged that _____ executed it.
WITNESS my hand and official seal.

Notary's Signature

**NUNC PRO TUNC
ASSIGNMENT**

Attorney's Docket

No.: 004073.P004

PATENT

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In consideration of good and valuable consideration, the receipt of which is hereby acknowledged, we

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agree that said Assignee may apply for and receive a patent or patents for said improvements in its own name; and that, when requested, without charge to, but at the expense of, said Assignee, its successors, assigns, and legal representatives, to carry out in good faith the intent and purpose of this Assignment, the undersigned will execute all divisional applications, continuation applications, continued prosecution applications, continuation-in-part applications, substitute applications, renewal applications, reissue applications, reexaminations, extensions, and all other patent applications on any and all said improvements; execute all rightful oaths, assignments, powers of attorney, and other papers; communicate to said Assignee, its successors, assigns, and representatives all facts known to the undersigned relating to said improvements and the history thereof; and generally assist said Assignee, its successors, assigns, or representatives in securing and maintaining proper patent protection for said improvements and for vesting title to said improvements, and all applications for patents and all patents on said improvements, in said Assignee, its successors, assigns, and legal representatives, effective October 14, 1999; and

covenant with said Assignee, its successors, assigns, and legal representatives that no assignment, grant, mortgage, license, or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned, effective October 14, 1999.

Date: _____, 20 ____ Name: Prabhas Kejriwal

Date: Dec 13, 20 00 Name: Chi Fai Ho

Date: _____, 20 ____ Name: _____

Date: _____, 20 ____ Name: _____

Date: _____, 20 ____ Name: _____

Date: _____, 20 ____ Name: _____

State of: _____ }
County of: _____ } SS. Assignment Document Return Address:
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
12400 Wilshire Blvd., Seventh Floor
Los Angeles, California 90025-1026
(408) 720-8300

On this _____ day of _____, 20 __, before me, _____,
the undersigned Notary Public, personally appeared _____

☐ personally known to me ☐ proved to me on the basis of satisfactory evidence to be the person(s)
whose name(s) subscribed to the within instrument, and acknowledged that _____ executed it.
WITNESS my hand and official seal.

Notary's Signature