

07-27-2001

FORM PTO-1619A


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101790944

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Conveying Party(ies)

Name (line 1) UNIVERSITY OF UTAH
 Name (line 2)
Second Party
 Name (line 1)
 Name (line 2)

 Execution Date
 06/21/2001

Execution Date

Receiving Party UNIVERSITY OF UTAH RESEARCH FOUNDATION

Name (line 1)
 Name (line 2)
 Address (line 1) 615 Arapeen Drive
 Address (line 2) Suite 110
 Address (line 3) Salt Lake City, Utah 84108

☐ If document to be recorded
 is an assignment and the
 receiving party is not
 domiciled in the U.S.,
 an appointment of domestic
 representative is attached.

Domestic Representative Name and Address

Enter for the First Receiving Party only.

Name:
 Address (line 1): Rothwell, Figg, Ernst & Manbeck
 Address (line 2): 555 13th St., N.W.
 Address (line 3): Suite 701-East
 Address (line 4): Washington, D.C. 20004

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 01 FC:581 80.00 CH 40.00 DP

PATENT
REEL: 011996 FRAME: 0807

Correspondent Name and Address

Area Code and Telephone Number: 202/783-6040

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PagesEnter the total number of pages of the attached conveyance document
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one (1)

Application Number(s) or Patent Number(s)

[] Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property)

Patent Application Number(s):

Patent Number(s):

09/120,579
09/607,159

5,844,077

If this document is being filed together with a new Patent Application, enter the date of the patent
application was signed by the first named executing inventor.

Patent Cooperation Treaty (PCT)

Enter PCT application Number
only if a U.S. Application Number
has not been assigned

PCT

Number of Properties

Enter the total number of properties involved. # three (3)

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$

Method of Payment: ☒ Enclosed ☐ Deposit Account ☐ Credit Card
Deposit Account

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*To the best of my knowledge and belief, the foregoing information is true and correct and any
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as indicated herein.*

JEFFREY L. IHNEN

Name of Person Signing

Registration No. 28,957

Docket No. 2314-212/213/214

Signature

20 JULY 2001
Date

ASSIGNMENT

WHEREAS, UNIVERSITY OF UTAH, a non-profit organization duly organized under the laws of the State of Utah, with a place of business at 615 Arapeen Drive, Suite 110, Salt Lake City, Utah 84108 (hereinafter referred to as "Assignor") is the owner, by virtue of an assignment executed by co-inventors Baldomero M. OLIVERA and J. Michael McINTOSH, who, along with Joel SAYDOFF, are the inventors of an invention in USE OF CONANTOKINS FOR PRODUCING ANALGESIA OR FOR NEUROPROTECTION, with regard to the following:

U.S. Patent 5,844,077, issued 01 December 1998;
 U.S. Application Serial No. 09/120,759, filed 22 July 1998; and
 U.S. Application Serial No. 09/607,159, filed 29 June 2000,

WHEREAS, UNIVERSITY OF UTAH RESEARCH FOUNDATION, incorporated in the state of Utah, with a place of business at 615 Arapeen Drive, Suite 110, Salt Lake City, Utah 84108, is desirous of obtaining the entire right, title and interest in, to and under the said inventions and the said applications;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to it in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, Assignor has sold, assigned, transferred and set over, and by these presents does hereby sell, assign, transfer and set over, unto the said UNIVERSITY OF UTAH RESEARCH FOUNDATION, its successors, legal representatives and assigns, the entire right, title and interest in, to and under the said invention, and the said patent and applications and all divisions, renewals and continuations thereof, and all Letters Patent of the United States which may be granted thereon and all reissues and extensions thereof, and all applications for Letters Patent which may hereafter be filed for said invention in any country or countries foreign to the United States, and all Letters Patent which may be granted for said invention in any country or countries foreign to the United States and all extensions, renewals and reissues thereof and all rights of priority in any such country or countries based upon the filing of the said patent and applications for Letters Patent of the United States which are created by any law, treaty or international convention; and Assignor hereby authorizes and requests the Commissioner of Patents of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for said invention to the said UNIVERSITY OF UTAH RESEARCH FOUNDATION, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND ASSIGNOR HEREBY covenants that it has full right to convey the entire interest herein assigned, and that it has not executed, and will not execute, any agreement in conflict herewith.

AND ASSIGNOR HEREBY further covenants and agrees that it will communicate to the said UNIVERSITY OF UTAH RESEARCH FOUNDATION, its successors, legal representatives and assigns, any facts known to it respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid the said UNIVERSITY OF UTAH RESEARCH FOUNDATION, its successors, legal representatives and assigns, to obtain and enforce proper patent protection for said invention in all countries.

IN TESTIMONY WHEREOF, Assignor sets its hand and seal the day and year set opposite its signature.

Date 6/21/01

 L.S.
 Brent K. Brown, Technology Manager
 Technology Transfer Office