

07-31-2001

FORM PTO-1595
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U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

Docket No. 256/126

101794030

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies): <u>David R. Chase and Glenn A. Sanderson</u> <i>07/23/01</i> Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		2. Name and address of receiving party: Name: <u>Superconductor Technologies, Inc.</u> Internal Address: <u>460 Ward Drive, Suite F</u> City: <u>Santa Barbara</u> State: <u>CA</u> Zip: <u>93111-2310</u> Street Address: <u>same as above</u> City: _____ State: _____ Zip: _____ Additional name(s) & address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
3. Nature of conveyance: <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other _____ Execution Date: <u>July 11, 2001</u>		4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is: A. Patent Application No(s): _____ B. Patent No(s): <u>6,256,999</u> Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
5. Name and address of party to whom correspondence concerning document should be mailed: Name: <u>Michael S. Davidson</u> Internal Address: <u>LYON & LYON LLP</u> <u>633 West Fifth Street, Suite 4700</u> <u>Los Angeles, CA 90071-2066</u>		6. Total number of applications and patents involved: <u>1</u> 7. Total fee (37 CFR 3.41): \$ <u>40.00</u> <input checked="" type="checkbox"/> Enclosed <input type="checkbox"/> Charge this Deposit Account if any additional fee is required 8. Deposit Account Number: <u>12-2475</u>	

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9. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Michael S. Davidson Date: July 17, 2001

Michael S. Davidson, Reg No. 43,577

Total number of pages including cover sheet: 5

OMB No. 0651-0011 (exp. 4/94)

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ASSIGNMENT OF PATENT

WHEREAS, WE, DAVID R. CHASE, a citizen of the United States, residing at 3220 Laurel Canyon Road, Santa Barbara, California 93105, and GLENN A. SANDERSON, a citizen of the United States, residing at 2211 Alameda Padre Serra, Santa Barbara, California 93103 (hereinafter referred to as "ASSIGNORS"), have invented a certain invention entitled TEMPERATURE CONTROL OF HIGH TEMPERATURE SUPERCONDUCTING THIN FILM FILTER SUBSYSTEMS for which application for Letters Patent of the United States of America has been filed on August 8, 2000, assigned Serial No. 09/634,695 now issued as U.S. Patent No. 6,256,999; and

WHEREAS, **SUPERCONDUCTOR TECHNOLOGIES, INC.**, a corporation organized and existing under and by virtue of the laws of the **Delaware** and having its place of business at **460 Ward Drive, Suite F, Santa Barbara, California 93111-2310** (hereinafter referred to as "ASSIGNEE"), is desirous of acquiring the exclusive right, title and interest in, to and under said invention and in, to and under any Patent or similar legal protection to be obtained therefor in the United States of America, its territorial possessions and in any and all countries foreign thereto.

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNORS hereby sell, assign, transfer and set over unto the said ASSIGNEE, its successors and assigns, the full and exclusive right, title and interest to said invention and to all Letters Patent or application or similar legal protection, not only in the United States and its territorial possessions, but in all countries foreign thereto to be obtained for said invention by said application, and to any continuation, division, renewal, substitute or reissue thereof or any legal equivalent thereof in the United States or a foreign country for the

full term or terms for which the same may be granted, including all priority rights under the International Convention; and ASSIGNORS hereby authorize and request the United States Commissioner of Patents and Trademarks and any officials of foreign countries whose duty it is to issue patents or any legal equivalent thereof to said ASSIGNEE, its successors and assigns, in accordance with this Assignment.

ASSIGNORS hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this Agreement;

ASSIGNORS further covenant that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNORS and will testify as to the same in any interference or litigation related thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents in the United States or in any foreign country, which may be necessary or desirable to carry out the purposes thereof.

WITNESS my hand at Santa Barbara

California, this 11th day of July, 2001.

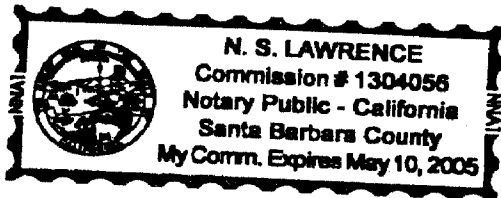
David R. Chase
DAVID R. CHASE

STATE OF CALIFORNIA)
COUNTY OF Santa Barbara) ss

On July 11, 2001 before me, Natalie S Lawrence, personally appeared
DAVID R. CHASE

☒ personally known to me - OR - ☐ proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.



N. S. Lawrence
Notary Public in and for said County and State

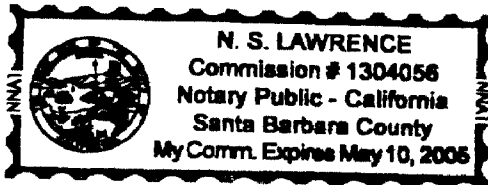
WITNESS my hand at SANTA BARBARA
California, this 11 day of JULY 2001, 2001.
Glenn A. Sanderson
GLENN A. SANDERSON

STATE OF CALIFORNIA)
COUNTY OF Santa Barbara) ss

On 7-11-01 before me, Glenn So Natalie Lawrence, personally appeared
GLENN A. SANDERSON

☒ personally known to me - OR - ☐ proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.



N. S. Lawrence
Notary Public in and for said County and State