

07-30-2001

U.S. DEPARTMENT OF COMMERCE
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Attorney Docket No. UCSF97-066-5

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Allan S. Lau

07/16/01

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name

Other: _____

2. Name and address of receiving party(ies):

Name: The Regents of the University of CaliforniaAddress: 1111 Franklin St., 12th FloorOakland CA 94607-5200Additional name(s) & address(es) attached? ☐ Yes ☒ NoExecution Date: November 6, 1996

4. Application number(s) or patent number(s): Filed herewith

09/906326

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s) Filed herewith

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning documents should be mailed:

Name: Richard Aron Osman, Ph.D.Address: Science & Technology Law Group75 Denise DriveHillsborough, CA 94010

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$40.00☒ Enclosed☒ Authorized to be charged to deposit account, if necessary

8. Deposit account number:

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

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July 16, 2001

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PATENT
REEL: 012003 FRAME: 0724

ASSIGNMENT

U.C. Case No. 95 328 1P

For good and valuable consideration, the receipt of which is hereby acknowledged, Assignor(s),

1. Allan S. Lau

hereby sells, assigns and transfers to Assignee, the Regents of the University of California, a California Corporation, having its statewide administrative offices located 300 Lakeside Drive, 21st Floor, Oakland, CA 94612-3550, and the successors, assigns and legal representatives of the Assignee all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

METHODS FOR ENHANCING THE PRODUCTION OF INTERFERON IN CELL CULTURE

and which is found in

- (a) _____ U.S. patent application executed on _____, entitled as above and listing the above named persons as inventors
- (b) X U.S. application serial no. 08/701,136, filed on August 21, 1996.
- (c) _____ U.S. Patent No.: _____, issued _____

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property; the term "application" includes both provisional and non-provisional applications;

Assignor(s) hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

Assignor(s) further covenants that Assignee will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to Assignor and will testify as to the same in any interference, litigation or proceeding relating thereto and will promptly execute and deliver to Assignee or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

And the Assignor(s) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the Assignee, the Regents of the University of California.

Executed this

Signature of Inventor(s)

6th day of November, 1996



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