

09-28-2001

Docket No.: AVAN-P035

RECORDATION C



MENT

7-1601

101859587

The Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:
Please record the attached original documents or copy thereof.

JUL 16 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

1. Name of conveying party:

Inventor (s): **CAO, XIAOFAN**
COONEY, THOMAS F.

Serial No: 09/773,224

Filed: 1/31/2001

Execution Date:

1/30/2001

1/30/2001

Group Art Unit: 2633

Examiner: (Not yet assigned)

2. Name and Address of

Receiving party: **AVANEX CORPORATION**
40919 Encyclopedia Circle
Fremont, CA 94538

3. Nature of Conveyance: Assignment

4. Patent Application(s) / Patent Number(s): (not yet assigned)

New Patent Application Entitled:
"BIDIRECTIONAL OPTICAL AMPLIFIER"

5. Name and address of party to whom correspondences concerning document should be mailed:

Name: **PETER C. SU, ESQ.**
Address: **FERNANDEZ & ASSOCIATES, LLP**
PATENT ATTORNEYS
PO BOX D
MENLO PARK, CA 94026-6204
PHONE: (650) 325-4999 FAX: (650) 325-1203
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6. Total Number of applications and patents involved: ONE

7. Fee Calculation

Assignment Recordation Fee \$40.00
Total Fees (37 CFR 3.41): \$40.00 X Enclosed
 Authorized to be charged to Deposit Account

8. Deposit Account Number:

In case Applicant inadvertently miscalculated any required fee, the Commissioner is hereby authorized to charge the necessary additional amount associated with this communication or credit any overpayment to **Deposit Account No: 500482**. A duplicate copy of this authorization is enclosed.

9. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

PETER C. SU, ESQ.
Reg. No. 43,939

Date: 7/11/2001

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