

08-01-2001

**CORRECTED RECORD**



Docket Number  
379

TO THE HONORABLE COMI

101794950

REMARKS:

Please record the attached original document or copy thereof.

7-70-01

1. Name of conveying party (ies):

Bryan C. Hendrix  
Thomas H. Baum  
Debra Desrochers Christos  
Jeffrey F. Roeder

JUL 20 2001

2. Name and address of receiving party:

Advanced Technology Materials, Inc.  
7 Commerce Drive  
Danbury, Connecticut 06810

Additional name(s) of conveying party (ies) attached?  Yes  No

Additional name(s) and addresses attached?  Yes  No

3. Nature of conveyance:

Assignment  Merger  Security Agreement  Change of Name

Other New Assignment document to correct inventor's name. Original Assignment recorded on November 15, 1999 at Reel/Frame 010399/0649

Execution Date: July 16, 2001, July 16, 2001, July 12, 2001, July 16, 2001

4. Application number(s) or patent number(s): 09/440,235

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached?  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:

Robert A. McLauchlan  
ATMI, Inc.  
7 Commerce Drive  
Danbury, CT 06810  
Telephone: (203)794-1100

7. Total Fee (37 CFR 3.41): \$ 40

Enclosed

Authorized to be charged to deposit account

6. Total number of applications and patents involved: 1

8. Deposit account number: 50-0860

07/31/2001 6TOM11 00000080 500860 09440235

DO NOT USE THIS SPACE

01 FC:581

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy.*

July 18, 2001

Date

*Margaret Chappuis*  
Margaret Chappuis

Reg. No. 45,735

12-01-1999

11/15/99  
RECORDATI



Docket Number  
379

TO THE HONORABLE COMMISSIONER OF PATENTS AND TRADEMARKS:

101211633

Please record the attached original document or copy thereof.

1. Name of conveying party (ies):

Bryan C. Hendrix  
Thomas H. Baum  
Debra A. Desrochers  
Jeffrey F. Roeder

Additional name(s) of conveying party (ies) attached?  Yes  No

2. Name and address of receiving party:

Advanced Technology Materials, Inc.  
7 Commerce Drive  
Danbury, Connecticut 06810

Additional name(s) and addresses attached?  Yes  No

3c530 U.S. PTO  
09/440235



11/15/99

3. Nature of conveyance:

Assignment  Merger  Security Agreement  Change of Name

Other \_\_\_\_\_

Execution Date: ~~November 15, 1999, November 11, 1999, November 10, 1999~~

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: November 15, 1999, November 11, 1999, November 10, 1999

A. Patent Application No.(s)

B. Patent No.(s)

09/440235

Additional numbers attached?  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:

Oliver A. Zitzmann  
ATMI, Inc.  
7 Commerce Drive  
Danbury, CT 06810  
Telephone: (203)794-1100

7. Total Fee (37 CFR 3.41): \$ 40

Enclosed

Authorized to be charged to deposit account

6. Total number of applications and patents involved: 1

8. Deposit account number: 500860

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy.

11/15/99  
Date

Oliver A. Zitzmann

## ASSIGNMENT

We have made an invention described in the U.S. Patent Application 09/440,235, entitled **"MOCVD of SBT Using Toluene Based Solvent System for Precursor Delivery"** which was filed with the United States Patent and Trademark Office on November 15, 1999.

We assign to Advanced Technology Materials, Inc., 7 Commerce Drive, Danbury, Connecticut 06810, all of our ownership and rights in:

- (i) the invention and U.S. Patent Application;
- (ii) U.S. Patents on the invention; and
- (iii) corresponding foreign patent applications and patents.

We are making this assignment based on our relationship with Advanced Technology Materials, Inc.

We request the Commissioner of Patents and Trademarks of the United States and the appropriate officers of all foreign countries to issue patents on the invention to Advanced Technology Materials, Inc.

We agree to execute any other legal documents that may be required to effectuate this Assignment.

We agree that we will promptly communicate to Advanced Technology Materials, Inc. all facts that come to our attention affecting the invention or patent rights.

We agree that we will testify in any interference or litigation involving the invention or patent rights.

We state that we have not previously taken any action in conflict with this Assignment.

Full Name of first inventor: Bryan C. Hendrix  
Inventor's Signature *Bryan C. Hendrix* Date 16 Jul 01  
Residence: 12 Peace Street, Danbury, CT 06810  
Citizenship: USA  
Post Office Address: 12 Peace Street, Danbury, CT 06810

Full Name of second inventor: Thomas H. Baum  
Inventor's Signature *Thomas H. Baum* Date 7-16-01  
Residence: 2 Handol Lane, New Fairfield, CT 06812  
Citizenship: USA  
Post Office Address: 2 Handol Lane, New Fairfield, CT 06812

Full Name of third inventor: Debra Desrochers Christos  
Inventor's Signature *Debra Desrochers Christos* Date 7/12/01  
Residence: 1392 Monroe Turnpike, Monroe, CT 06468  
Citizenship: USA  
Post Office Address: 1392 Monroe Turnpike, Monroe, CT 06468

Full Name of fourth inventor: Jeffrey F. Roeder  
Inventor's Signature *Jeffrey F. Roeder* Date 7/16/01  
Residence: 4 Longmeadow Hill, Brookfield, CT 06804  
Citizenship: USA  
Post Office Address: 4 Longmeadow Hill, Brookfield, CT 06804

**APPENDIX A**

# ASSIGNMENT

We have made an invention described in the U.S. Patent Application entitled "**MOCVD of SBT using Toluene Based Solvent System for Precursor Delivery**" which has been executed by us on the same date as this Assignment for filed in the United States Patent and Trademark Office.

We assign to Advanced Technology Materials, Inc., 7 Commerce Drive, Danbury, Connecticut 06810, all of our ownership and rights in:

- (i) the invention and U.S. Patent Application;
- (ii) U.S. Patents on the invention; and
- (iii) corresponding foreign patent applications and patents.

We are making this assignment based on our relationship with Advanced Technology Materials, Inc.

We request the Commissioner of Patents and Trademarks of the United States and the appropriate officers of all foreign countries to issue patents on the invention to Advanced Technology Materials, Inc.

We agree to execute any other legal documents that may be required to effectuate this Assignment.

We agree that we will promptly communicate to Advanced Technology Materials, Inc. all facts that come to our attention affecting the invention or patent rights.

We agree that we will testify in any interference or litigation involving the invention or patent rights.

We state that we have not previously taken any action in conflict with this Assignment.

Bryan C. Hendrix  
Bryan C. Hendrix

15 Nov 99  
Date

State: Connecticut  
County: Fairfield

Bryan C. Hendrix appeared before me on November 15, 1999. He declared to me that he is the person described in this Assignment. He executed this Assignment before me, and declared that his execution was completely voluntary.

Lynda-Jo Pixley  
Notary Public

**LYNDA-JO PIXLEY**  
**NOTARY PUBLIC**  
MY COMMISSION EXPIRES FEB. 28, 2004

(SEAL)

My commission expires on:  
2/28/04

Thomas H. Baum  
Thomas H. Baum

11-11-99  
Date

State: Connecticut  
County: Fairfield

Thomas H. Baum appeared before me on November 11, 1999. He declared to me that he is the person described in this Assignment. He executed this Assignment before me, and declared that his execution was completely voluntary.

Lynda-Jo Pixley  
Notary Public

**LYNDA-JO PIXLEY**  
**NOTARY PUBLIC**  
MY COMMISSION EXPIRES FEB. 28, 2004

(SEAL)

My commission expires on:  
2/28/04

*Debra A. Desrochers*

Debra A. Desrochers

*11/11/99*

Date

State: Connecticut

County: Fairfield

Debra A. Desrochers appeared before me on November 11, 1999. She declared to me that she is the person described in this Assignment. She executed this Assignment before me, and declared that her execution was completely voluntary.

*Lynda-Jo Pixley*  
Notary Public

**LYNDA-JO PIXLEY**  
**NOTARY PUBLIC**  
MY COMMISSION EXPIRES FEB. 28, 2004

*Jeffrey F. Roeder*

Jeffrey F. Roeder

*11/10/99*

Date

State: Connecticut

County: Fairfield

Jeffrey F. Roeder appeared before me on November 10, 1999. He declared to me that he is the person described in this Assignment. He executed this Assignment before me, and declared that his execution was completely voluntary.

*Lynda-Jo Pixley*  
Notary Public

**LYNDA-JO PIXLEY**  
**NOTARY PUBLIC**  
MY COMMISSION EXPIRES FEB. 28, 2004