

08-02-2001

ATTORNEY DOCKET NO. 21011.003402

SERIAL NO. 09/825,299

PAGE 1 OF 2

FORM PTO-1595  
(Revised 6-93)

101797287

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeRECORDATION FORM COVER SHEET  
PATENTS ONLY

TO THE HONORABLE COMMISSIONER OF PATENTS AND TRADEMARKS:

Please record the attached original documents or copy thereof.

ATTORNEY DOCKET NO.: 21011.003402

## 1. Name of conveying party(ies):

Mark Sheplak  
Louis N. Cattafesta III  
Toshikazu Nishida  
Stephen Brian Horowitz

07/26/01

Additional names of conveying party(ies)  
attached?☐ YES☒ NO

## 2. Name and address of receiving party(ies):

Name: University of Florida

Internal Address:

Street Address: P.O. Box 115500

City: Gainesville

State: Florida

Zip: 32611-8929

Additional name(s) and address(es) attached?

☐ YES☒ NO

## 3. Nature of conveyance:

☒

Assignment

☐ Merger☐ Other☐ Security Agreement☐ Change of Name

Execution Date: June 12, 2001, June 15, 2001, June 28, 2001 and June 29, 2001

## 4. Application number(s) or patent number(s): 09/825,299

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s):

B. Patent No.(s):

Additional numbers attached? ☐ YES ☒ NO5. Name and address of party to whom correspondence  
concerning document should be mailed:Tim Tingkang Xia, Esq.  
NEEDLE & ROSENBERG, P.C.  
Suite 1200, The Candler Building  
127 Peachtree Street, N.E.  
Atlanta, Georgia 30303-1811  
(404) 688-0770

## 6. Total number of applications and patents involved: 1

## 7. Total fee (37 CFR 3.41):

\$40.00

☒ Enclosed☐ Authorized to be charged to Deposit Account.

\*\*\*\*\*

The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any  
overpayment, to Deposit Account No. 14-0629.

\*\*\*\*\*

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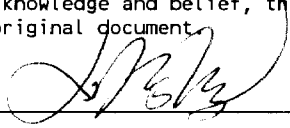
40.00 DP

PATENT  
REEL: 012013 FRAME: 0061

8. Deposit account number: 14-0629  
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(Attach duplicate copy of this form if paying by deposit account)

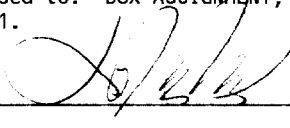
9. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

  
\_\_\_\_\_  
Tim Tingkang Xia  
Reg. No. 45,242

7/27/01  
\_\_\_\_\_  
Date

Total Number of Pages Including Cover Sheet, Attachments, and Document: 7

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: BOX ASSIGNMENT, Commissioner of Patents and Trademarks, Washington, D.C. 20231, on this 27th day of July, 2001.

  
\_\_\_\_\_  
Tim Tingkang Xia

7/27/01  
\_\_\_\_\_  
Date

**ASSIGNMENT**

**WHEREAS**, we, Mark Sheplak, Louis N. Cattafesta III, Toshikazu Nishida, and Stephen Brian Horowitz of 8600 SW 103rd Avenue, Gainesville, Florida 32608, U.S.A., 5004 NW 60th Terrace, Gainesville, Florida 32653, U.S.A., 2256 NW 5th Place, Gainesville, Florida 32603, U.S.A., and 840 SW, 58th Terrace, Gainesville, Florida 32607, U.S.A., respectively, have invented new and useful improvements in a **“ELECTROMECHANICAL ACOUSTIC LINER”** for which a provisional patent application for United States Letters Patent was filed on April 3, 2001, Serial No. 09/825,299; and

**WHEREAS**, **University of Florida**, a University of higher education of the State of Florida, having an office at University of Florida, P.O. Box 115500, Gainesville, Florida 32611-8929, U.S.A., hereinafter called the ASSIGNEE, is desirous of acquiring the entire right, title and interest in the application and invention and to any Letters Patent that may be granted therefor in the United States of America and in any and all foreign countries.

**NOW, THEREFORE**, in consideration of the sum of Ten Dollars (\$10.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration, we, Mark Sheplak, Louis Cattafesta, Toshikazu Nishida and Stephen Brian Horowitz, hereby do sell, assign and transfer unto said University of Florida, the entire right, title and interest in and to said invention and application throughout the world, including, without limitation, any Letters Patent which may issue thereon, and any subsequent application claiming priority to the above-identified application under 35 U.S.C. § 119(e), reissue, reexamination, division, continuation-in-part, extension or continuation

thereof and all rights of priority under the International Convention arising from said application; the same for its legal representatives and assigns, as fully and entirely as the same would have been held by us had this assignment and sale not been made;

AND, we hereby bind ourselves, our heirs, legal representatives, administrators and assigns properly to execute without further consideration any and all applications, petitions, oaths and assignments or other papers and instruments which may be necessary in order to carry into full force and effect, the sale, assignment, transfer and conveyance hereby made or intended to be made and generally do everything possible to aid University of Florida, its legal representatives and assigns, to obtain and enforce proper protection for said invention in all countries throughout the world.

We also authorize counsel for University of Florida to enter above the filing date and serial number of the application when they become available.

IN WITNESS WHEREOF, I, Mark Sheplak, have executed this Assignment this 12 day of June, 2001.

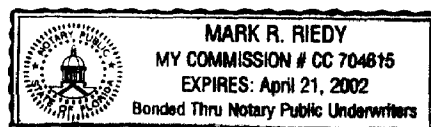
 (L.S.)  
Mark Sheplak

State of Florida

County of Alachua

On this 12<sup>th</sup> day of JUNE, 2001, before me, a Notary Public, came Mark Sheplak, to me known and known to be the individual described in and who executed the foregoing assignment, and he duly acknowledged the same to be his free act and deed.





Notary Public

My Commission Expires: \_\_\_\_\_

IN WITNESS WHEREOF, I, Louis N. Cattafesta III, have executed this Assignment this 28<sup>th</sup> day of June, 2001.

Louis N. Cattafesta III (L.S.)  
Louis N. Cattafesta III

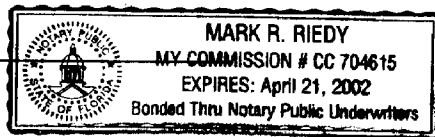
State of Florida

County of Alachua

On this 28<sup>th</sup> day of JUNE, 2001, before me, a Notary Public, came Louis Cattafesta, to me known and known to be the individual described in and who executed the foregoing assignment, and he duly acknowledged the same to be his free act and deed.

Mark R. Riedy  
Notary Public

My Commission Expires: \_\_\_\_\_



IN WITNESS WHEREOF, I, Toshikazu Nishida, have executed this Assignment this 29<sup>th</sup> day of June, 2001.

Toshikazu Nishida

(L.S.)

Toshikazu Nishida

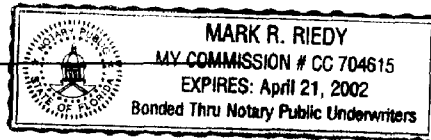
State of Florida

County of \_\_\_\_\_

On this 29<sup>th</sup> day of June, 2001, before me, a Notary Public, came Toshikazu Nishida, to me known and known to be the individual described in and who executed the foregoing assignment, and he duly acknowledged the same to be his free act and deed.

Mark R. Riedy  
Notary Public

My Commission Expires: \_\_\_\_\_



IN WITNESS WHEREOF, I, Stephen Brian Horowitz, have executed this Assignment this 15  
day of June, 2001.

State of Florida

Stephen Brian Horowitz (L.S.)  
Stephen Brian Horowitz

County of Alachua

On this 15<sup>th</sup> day of June, 2001, before me, a Notary Public, came  
Stephen Brian Horowitz, to me known and known to be the individual described in and who executed  
the foregoing assignment, and he duly acknowledged the same to be his free act and deed.

Mark R. Riedy  
Notary Public

My Commission Expires: \_\_\_\_\_

