

08-02-2001

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TO: The Commissioner of Patents and

**Submission Type**

**Conveyance Type**

☒ **New** *07/26/01*

☐ Resubmission (Non-Recordation)

☐ Document ID#

☐ Correction of PTO Error

☐ Reel #      Frame #

☐ Corrective Document

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☐ **Assignment**      ☐ **Security Agreement**

☐ **License**      ☒ **Change of Name**

☐ **Merger**      ☐ **Other**

☐ **U.S. Government**  
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☐ **Departmental File**      ☐ **Secret File**

**Conveying Party(ies)**

☐ Mark if additional names of conveying parties attached

Execution Date Month Day Year	
Name (line 1) <b>Allison Engine Company, Inc.</b>	<b>April 04, 2000</b>
Name (line 2)	
<b>Second Party</b>	Execution Date Month Day Year
Name (line 1)	
Name (line 2)	

**Receiving Party**

Mark if additional names of receiving party attached

Name (line 1) <b>Rolls-Royce Corporation</b>	<input type="checkbox"/> If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)
Name (line 2)	
Address (line 1) <b>P.O. Box 420</b>	
Address (line 2)	

Address (line 3) <b>Indianapolis,</b>		<b>IN</b>	<b>46206-0420</b>
City	State/Country	Zip Code	

**Domestic Representative Name and Address**

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

FOR OFFICE USE ONLY

Mail documents to be recorded with required cover sheet(s) information to:  
Commissioner of Patents and Trademarks, Box Assignments, Washington D.C., 20231

08/01/2001 GTON11 00000176 5775089  
01 FC:581 40.00 DP

**PATENT**  
**REEL: 012014 FRAME: 0574**

**Correspondent Name and Address**

Area Code and Telephone Number

**(317) 634-3456**Name **L. Scott Paynter**Address (line 1) **Woodard, Emhardt, Naughton, Moriarty & McNett**Address (line 2) **111 Monument Circle, Suite 3700**Address (line 3) **Bank One Center/Tower**Address (line 4) **Indianapolis, Indiana 46204-5137**

**Pages** Enter the total number of pages of the attached conveyance document including any attachments. **# 2**

**Application Number(s) or Patent Number(s)**☐

Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

**Patent Application Number(s)****Patent Number(s)****5,775,089**If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

**Patent Corporation Treaty**Enter a PCT application number only if a U.S. Application Number has not been assigned.**PCT****PCT****PCT****PCT****PCT****PCT****Number of properties**

Enter the total number of properties involved

#

**Fee Amount**

Fee Amount for Properties Listed (37 CFR 3.41):

**\$ 40.00**

Method of Payment:

Enclosed

☒

Deposit Account

☐

Deposit Account

(Enter for payment by deposit account or if additional fees can be charged to the account).

Deposit Account Number

#23-3030

Authorization to charge additional fees:

Yes

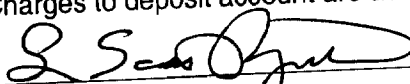
☒

No

☐**Statement and Signature**

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

L. Scott Paynter

**Name of Person Signing****Signature**

07/20/01

**Date**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 on:

Date of Deposit: 20 July 2001

Name of Registered Representative: L. Scott Paynter

Signature:



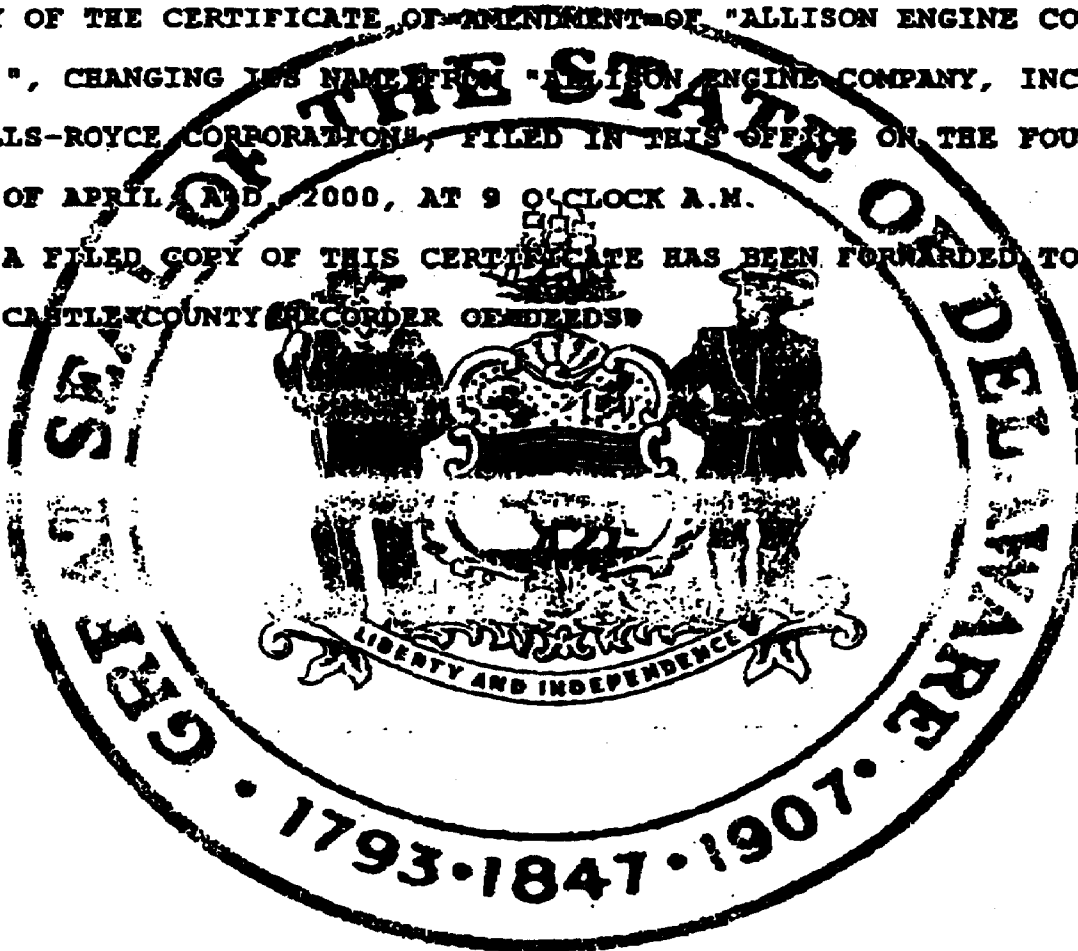
Date of Signature: 20 July 2001

State of Delaware  
Office of the Secretary of State

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I, EDWARD J. FREEL, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF AMENDMENT OF "ALLISON ENGINE COMPANY, INC.", CHANGING ITS NAME FROM "ALLISON ENGINE COMPANY, INC." TO "ROLLS-ROYCE CORPORATION", FILED IN THIS OFFICE ON THE FOURTH DAY OF APRIL, A.D. 2000, AT 9 O'CLOCK A.M.

A FILED COPY OF THIS CERTIFICATE HAS BEEN FORWARDED TO THE NEW CASTLE COUNTY RECORDER OF DEEDS.



  
Edward J. Freel, Secretary of State

2335555 8100  
001170880

AUTHENTICATION: 0359661  
DATE: 04-04-00

PATENT  
REEL: 012014 FRAME: 0576

**CERTIFICATE OF AMENDMENT OF CERTIFICATE OF INCORPORATION  
OF  
ALLISON ENGINE COMPANY, INC.**

**IT IS HEREBY CERTIFIED THAT:**

1. The name of the corporation (hereinafter called the "Corporation") is Allison Engine Company, Inc.
2. The certificate of incorporation of the Corporation is hereby amended by striking out "Article First" thereof and by substituting in lieu of said Article the following new Article:

~~"First:~~ The name of the corporation is Rolls-Royce Corporation.

3. The amendment of the certificate of incorporation herein certified has been duly adopted in accordance with the provisions of Sections 228 and 242 of the General Corporation Law of the State of Delaware.

The effective time of the amendment herein certified shall be upon filing with the Delaware Secretary of State.

Signed and attested to on April 4, 2000.



Thomas P. Dale  
Vice President - Business  
Development & General Counsel &  
Secretary

Attest:

  
Assistant Secretary

STATE OF DELAWARE  
SECRETARY OF STATE  
DIVISION OF CORPORATIONS  
FILED 09:00 AM 04/04/2000  
001170880 - 2335555