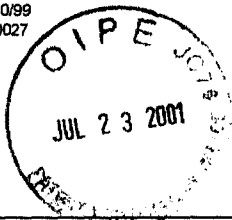


08-03-2001

FORM PTO-1619A

Expires 06/30/99
OMB 0651-0027

101799485

U.S. Department of Commerce
Patent and Trademark Office
PATENT**RECORDATION FORM COVER SHEET
PATENTS ONLY**

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

Submission Type

- ☒ New *07/23/01*
- ☐ Resubmission (Non-Recordation)
- ☐ Document ID#
- ☐ Correction of PTO Error
- ☐ Reel # Frame #
- ☐ Corrective Document
- ☐ Reel # Frame #

Conveyance Type

- ☒ Assignment ☐ Security Agreement
- ☐ License ☐ Change of Name
- ☐ Merger ☐ Other
- U.S. Government**
(For Use ONLY by U.S. Government Agencies)
- ☐ Departmental File ☐ Secret File

Conveying Party(ies)

- ☐ Mark if additional names of conveying parties attached
- Name (line 1) **NIRAJ VASISHTHA** Execution Date **6/11/01**
Month Day Year

Second Party

- Name (line 1) **DARREN EUGENE BARLOW** Execution Date **6/11/01**
Month Day Year
- Name (line 2)

Receiving Party

- ☐ Mark if additional names of receiving parties attached

- Name (line 1) **Southwest Research Institute**
- Name (line 2)
- Address (line 1) **6220 Culebra Road**
- Address (line 2)
- Address (line 3) **San Antonio** **Texas** **78228**
City State/Country Zip Code
- ☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Domestic Representative Name and Address

Enter for the first Receiving Party only.

- Name
- Address (line 1)
- Address (line 2)
- Address (line 3)
- Address (line 4)

FOR OFFICE USE ONLY

08/02/2001 GTOW11 00000137 020429 6126872

01 FC:581 40.00 CH

Public burden reporting for this collection of information is estimated to average approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and gathering the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Chief Information Officer, Washington, D.C. 20231 and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (0651-0027), Washington, D.C. 20503. See OMB Information Collection Budget Package 0651-0027, Patent and Trademark Assignment Practice. DO NOT SEND REQUESTS TO RECORD ASSIGNMENT DOCUMENTS TO THIS ADDRESS.

Mail documents to be recorded with required cover sheet(s) information to:
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

PATENT
REEL: 012014 FRAME: 0827

Correspondent Name and Address

Area Code and Telephone Number **713/266-1130**

Name **David L. Mossman**

Address (line 1) **Madan, Mossman & Sriram, P.C.**

Address (line 2) **2603 Augusta, Suite 700**

Address (line 3) **Houston, Texas 77057**

Address (line 4)

Pages

Enter the total number of pages of the attached conveyance document including any attachments.

5

Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

6,126,872

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

Patent Cooperation Treaty (PCT)

Enter PCT application number
only if a U.S. Application Number
has not been assigned.

PCT PCT PCT
PCT PCT PCT

Number of Properties

Enter the total number of properties involved.

1

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$ **40.00**

Method of Payment:
Deposit Account

Enclosed ☐ Deposit Account ☒

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

02-0429 (194-8520)

Authorization to charge additional fees:

Yes ☒ No ☐

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

W. Allen Marcontell, Reg. No. 22,925

July 20, 2001

Name of Person Signing

Signature

Date



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of §
Nagesh S. Kommareddi, et al §
§
Serial No.: 09/014,218 § **Action:** Assignment
§
Filed: January 27, 1998 §

Title: Microencapsulated Drag Reducing Agents

**TO: Commissioner of Patents
and Trademarks
Washington, D. C. 20231**

WHEREAS, we, Niraj Vasishtha, a citizen of India, residing at 7006 Almond Green Drive, San Antonio, Bexar County, Texas, and Darren Eugene Barlow, a citizen of the United States of America, residing at 7602 Stagecoach, San Antonio, Bexar County, Texas, together with Nagesh S. Kommareddi and Lawrence J. Rzeznik are joint inventors of new and useful improvements in **Microencapsulated Drag Reducing Agents** for which we have executed an application for Letters Patent which was filed on January 27, 1998; and WHEREAS, we represent that we are joint owners of said invention and application for Letters Patent; and,

WHEREAS, SOUTHWEST RESEARCH INSTITUTE, hereinafter called "ASSIGNEE," a Texas Corporation having its principal office at 6220 Culebra Road, San Antonio, Bexar County, Texas, is desirous of acquiring our right, title, and interest in and to the said application and the invention therein described and claimed and any Letters Patent that may be issued upon said application or for the invention therein contained;

NOW, THEREFORE, for and in consideration of the sum of Sixty-Five Dollars (\$65.00) lawful money of the United States, and other valuable consideration, to us in hand paid, the receipt and sufficiency whereof we hereby acknowledge, we, the said Niraj Vasishtha and Darren Eugene Barlow have sold, assigned and transferred, and do hereby sell, assign and transfer unto the said ASSIGNEE, its successors and assigns, our entire right, title and interest in and to (a) said invention and worldwide rights therein, including the right to claim the priority date of said application and all other rights arising under the International Convention for the Protection of Industrial Property; (b) said application, including all divisions, continuations, continuations-in-part and substitutions

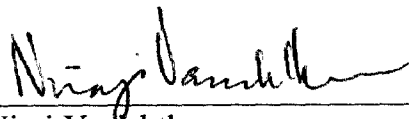
thereof; and (c) all United States and foreign patents which shall issue on said invention, including all reissues, renewals, divisions and extensions thereof, for the United States, its territories and possessions and all foreign countries, including the right to file applications for Letters Patent on said invention in any and all foreign countries, the same to be held and enjoyed by said ASSIGNEE, its assigns and successors, as fully and entirely as the same would have been held and enjoyed by us, had this Assignment and sale not been made.


We request that any and all such Letters Patent for said invention be issued to ASSIGNEE, its successors, assigns and legal representatives, or to such nominees as it may designate.

We covenant and agree that we and our executors or administrators will, at any time upon the request and at the expense of said ASSIGNEE, execute and deliver any and all papers and to perform all lawful acts that may be necessary or desirable, in the opinion of said ASSIGNEE, to enable and assist said ASSIGNEE to (a) obtain Letters Patent, both domestic and foreign, on said invention; (b) establish, maintain and secure title in said ASSIGNEE, its successors and assigns, to said invention, application and Letters Patent, including making such title of lawful and public record; and (c) defend, establish or otherwise preserve the validity of said Letters Patent against any and all infringers, and perform such other acts as are necessary to give full force and effect to this Assignment.

ASSIGNORS further covenant with ASSIGNEE that the rights and property herein conveyed are free and clear of any encumbrances, and that ASSIGNORS have the full right to convey the same as herein expressed.

IN TESTIMONY WHEREOF, we have fully executed this Assignment this 11th day of June, 2001.


Niraj Vasishtha


Darren Eugene Barlow

STATE OF TEXAS §
 §
COUNTY OF BEXAR §

BEFORE ME, the undersigned authority, on this day personally appeared Niraj Vasishta known to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he executed the same for the purposes and consideration therein expressed.

GIVEN under my hand and seal of office this 11th day of June, 2001.

Shirley E. McDonald
Notary Public, State of Texas



STATE OF TEXAS §
 §
COUNTY OF BEXAR §

BEFORE ME, the undersigned authority, on this day personally appeared Darren Eugene Barlow known to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he executed the same for the purposes and consideration therein expressed.

GIVEN under my hand and seal of office this 25th day of May, 2001.

Shirley E. McDonald
Notary Public, State of Texas

