

FORM PTO-1596
1-31-92 Patent and Trademark Office

DOCKET NO.: 108137.309

08-01-2001



101794698

DEPARTMENT OF COMMERCE

To the Honorable Commissioner of Patents and Trademarks: Please receive _____ original documents or copy thereto:

1. Name of conveying party(ies):

Robert K. K. Lee and Richard J. Wurtman

7-2691

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: October 2, 2000

2. Name and address of receiving party(ies):

Name: Massachusetts Institute of Technology

Internal Address: _____

Street Address: Five Cambridge Center

Kendall Square, Room NE25-320

City: Cambridge State/Country: MA ZIP: 02142

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If the document is being filed together with a new application, the execution date of the application is:

A. Patent Application No(s).

09/775,809

B. Patent No(s).

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: PEPPER HAMILTON LLP

Internal Address: _____

Street Address: 600 Fourteenth Street, N.W.

City: Washington State: DC ZIP: 20005-2004

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41)

\$40.00

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit account number:

50-0436

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Corinne M. Pouliquen, Registration No. 35,753

Name and Registration No. of Person Signing

Signature

Date

July 26, 2001

Total number of pages comprising cover sheet: 1

CMB No. 0851-0011 (exp. 4/94)

DC: #193401 v1 (4589011.DOC)

08/01/2001 TBIAZ1 00000030 09775809

01 FC:581

40.00 EP

PATENT
REEL: 012015 FRAME: 0385

Docket No.: 108137-306

ASSIGNMENT

WHEREAS WE, Robert K. K. Lee of Boston, MA and Richard J. Wurtman of Boston, MA, respectively, have made a certain new and useful invention as set forth in an application for United States Letters Patent, entitled, **COMPOSITIONS AND METHODS FOR TREATMENT OF NEUROLOGICAL DISORDERS AND NEURODEGENERATIVE DISEASES** executed by us on the date of execution of this document, as shown below, for which an application for United States Letters Patent was filed on November 8, 1999, and identified by United States Serial No. 09/435,470;

AND WHEREAS, Massachusetts Institute of Technology, a corporation of the State of Massachusetts and having an address of Five Cambridge Center, Kendall Square, Room NE25-230, Cambridge MA 02142 is desirous of acquiring the entire right, title and interest in and to said invention and in and to any and all Letters Patent of the United States and foreign countries which may be obtained therefor;

NOW, THEREFORE, for good and valuable consideration, the receipt for and sufficiency of which is hereby acknowledged, We do hereby sell, assign, transfer and set over unto Massachusetts Institute of Technology, its legal representatives, successors, and assigns, the entire right, title and interest in and to said invention as set forth in the above-mentioned application, including any continuations, continuations-in-part, divisions, reissues, re-examinations or extensions thereof, and in and to any and all patents of the United States and foreign countries which may be issued for said invention;

UPON SAID CONSIDERATIONS, We hereby agree with the said assignee that We will not execute any writing or do any act whatsoever conflicting with these presents, and that We will, at any time upon request, without further or additional consideration but at the expense of said assignee, execute such additional assignments and other writings and do such additional acts as said assignee may deem necessary or desirable to perfect the assignee's enjoyment of this grant, and render all necessary assistance in making application for and obtaining original, divisional, continuations, continuations-in-part, reexamined, reissued, or extended Letters Patent of the United States or of any and all foreign countries on said invention, and in enforcing any rights or choses in action accruing as a result of such applications or patents, by giving testimony in any proceedings or transactions involving such applications or patents, and by executing preliminary statements and other affidavits, it being understood that the foregoing covenant and agreement shall bind, and inure to the benefit of the assigns and legal representatives of assignor and assignee;

AND We request the Commissioner of Patents and Trademarks to issue any Letters Patent of the United States which may be issued for said invention to said, its legal representatives, successors or assigns, as the sole owner of the entire right, title and interest in and to said patent and the invention covered thereby.

Oct 2, 2000

Date

Robert K. K. Lee

STATE OF Massachusetts
COUNTY OF Middlesex) SS:

On this 2nd day of October, 2000, before me personally appeared Robert K. Lee, to me known to be the person named in and who executed the above instrument, and acknowledged to me that he/she executed the same for the uses and purposes therein set forth.

SEAL

Leslie Stein
Notary Public

My commission expires

Leslie Stein Notary Public, Commonwealth of Massachusetts My Commission Expires October 28, 2005
--

10/2/00
Date

Richard J. Wurtman RAJ Wurtman

STATE OF MASS.
COUNTY OF Middlesex) SS:

On this 2nd day of October, 2000, before me personally appeared Richard J. Wurtman to me known to be the person named in and who executed the above instrument, and acknowledged to me that he/she executed the same for the uses and purposes therein set forth.

SEAL

Leslie Stein
Notary Public

My commission expires

<u>Leslie Stein</u> Notary Public, Commonwealth of Massachusetts My Commission Expires October 28, 2005

DC: #159584 v1 (3F4W011.WPD)

DOCKET NO.: 108137.309

PATENTS ONLY

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NOW, THEREFORE, for good and valuable consideration, the receipt for and sufficiency of which is hereby acknowledged, We do hereby sell, assign, transfer and set over unto Massachusetts Institute of Technology, its legal representatives, successors, and assigns, the entire right, title and interest in and to said invention as set forth in the above-mentioned application, including any continuations, continuations-in-part, divisions, reissues, re-examinations or extensions thereof, and in and to any and all patents of the United States and foreign countries which may be issued for said invention;

UPON SAID CONSIDERATIONS, We hereby agree with the said assignee that We will not execute any writing or do any act whatsoever conflicting with these presents, and that We will, at any time upon request, without further or additional consideration but at the expense of said assignee, execute such additional assignments and other writings and do such additional acts as said assignee may deem necessary or desirable to perfect the assignee's enjoyment of this grant, and render all necessary assistance in making application for and obtaining original, divisional, continuations, continuations-in-part, reexamined, reissued, or extended Letters Patent of the United States or of any and all foreign countries on said invention, and in enforcing any rights or choses in action accruing as a result of such applications or patents, by giving testimony in any proceedings or transactions involving such applications or patents, and by executing preliminary statements and other affidavits, it being understood that the foregoing covenant and agreement shall bind, and inure to the benefit of the assigns and legal representatives of assignor and assignee;

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Notary Public

My commission expires Notary Public, Commonwealth of Massachusetts
My Commission Expires October 28, 2005

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RA J Wurtman

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