

Resub

08-01-2001



Form PTO-1595  
(Rev. 03/01)  
OMB No. 0651-0027 (exp. 5/31/2002)

101795165

U.S. DEPARTMENT OF COMMERCE  
U.S. Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof

<p>1. Name of conveying party(ies): Frank M. Orson, Berma M. Kinsey, and Balbir S. Bhogal <i>07/20/01</i></p> <p>Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>	<p>2. Name and address of receiving party(ies) Name: <u>Baylor College of Medicine</u> Internal Address: _____ Street Address: _____ Baylor College of Medicine One Baylor Plaza BCMD 600-D</p> <p>City: <u>Houston</u> State: <u>Texas</u> Zip: <u>77030</u> Additional name(s) &amp; address(es) attached: <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>
<p>3. Nature of Conveyance:  <input checked="" type="checkbox"/> Assignment                      <input type="checkbox"/> Merger  <input type="checkbox"/> Security Agreement              <input type="checkbox"/> Change of Name  <input type="checkbox"/> Other _____            Execution Date: _____         </p>	
<p>4. Application number(s) or patent number(s):            If this document is being filed together with a new application, the execution date of the new application is: _____            A. Patent Application No.(s):                09/827,688            B. Patent No.(s): _____            Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No         </p>	
<p>5. Name and address of party to whom correspondence concerning document should be mailed:            Name: <u>Melissa W. Acosta</u>                  <u>FULBRIGHT &amp; JAWORSKI L.L.P.</u>            Internal Address: <u>Atty. Dkt.: HO-P01949US1</u>            Street Address: <u>1301 McKinney, Suite 5100</u>            City: <u>Houston</u> State: <u>TX</u> Zip: <u>77010-3095</u> </p>	<p>6. Total number of applications and patents involved: <u>1</u></p> <p>7. Total fee (37 CFR 3.41) \$ <u>40.00</u>  <input type="checkbox"/> Enclosed  <input checked="" type="checkbox"/> Authorized to be charged to deposit account         </p> <p>8. Deposit account number:  <u>06-2375</u>            (Attach duplicate copy of this page if paying by deposit account)         </p>
<p><b>DO NOT USE THIS SPACE</b></p>	
<p>9. Statement and signature.  <i>To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.</i>  <div style="display: flex; justify-content: space-between; align-items: flex-end; margin-top: 20px;"> <div style="text-align: center;"> <u>Melissa W. Acosta</u>              Name of Person Signing           </div> <div style="text-align: center;">               Signature           </div> <div style="text-align: center;"> <u>July 20, 2001</u>              Date           </div> </div> <p style="margin-top: 10px;">Total number of pages including cover sheet, attachments, and documents: _____</p> </p>	

<p><b>Recordation Form Cover Sheet</b></p>	
<p>I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail, Airbill No. EH244916480US, in an envelope addressed to: Box Assignments, Commissioner for Patents, Washington, DC 20231, on the date shown below.</p>	
<p>Dated: <u>July 20, 2001</u> Signature: <u>Staci Harris</u> (Staci Harris)</p>	<p>07/31/2001 DEPT. OF COMMERCE 00000046 062375 09827588</p>

01 FC:581

40.00 CH

Form PTO-1619C

Expires 06/30/99  
OMB 0651-0027**RECORDATION FORM COVER SHEET  
CONTINUATION  
PATENTS ONLY**U.S. Department of Commerce  
Patent and Trademark Office  
**PATENT****Conveying Party(ies)**

Enter additional Conveying Party(ies)

☐ Mark if additional names of conveying parties attached

Name (line 1) Bhogal, Balbir S.

Execution Date  
Month Day Year

04/04/2001

Name (line 2)

Execution Date  
Month Day Year

Name (line 1)

Name (line 2)

Execution Date  
Month Day Year

Name (line 1)

Name (line 2)

**Receiving Party(ies)**

Enter additional Receiving Party(ies)

☐ Mark if additional names of receiving parties attached

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)**Application Number(s) or Patent Number(s)**☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

**Patent Application Number(s)****Patent Number(s)**



## PATENT ASSIGNMENT

WHEREAS, we Frank M. Orson, a citizen of the USA, residing at Houston, Texas; Berma M. Kinsey, a citizen of the USA, residing at Houston, Texas; and Balbir S. Bhogal, a citizen of USA, residing at The Woodlands, Texas; (herein called "Assignors"), are the inventors and owners of a certain new and useful invention(s) (herein "Invention") and improvement(s) entitled MACROAGGREGATED PROTEIN CONJUGATES AS ORAL GENETIC IMMUNIZATION DELIVERY AGENTS; and

WHEREAS, Baylor College of Medicine, a corporation duly organized and existing under and by virtue of the laws of the United States, and having a principal place of business at Houston, Texas United States (herein called "Assignee"), is desirous of acquiring and Assignors are desirous of assigning to Assignee all right, title and interest in and to said Invention, improvement and any patent and patent application thereon in MACROAGGREGATED PROTEIN CONJUGATES AS ORAL GENETIC IMMUNIZATION DELIVERY AGENTS;

NOW, THEREFORE, Assignors, for and in consideration of One Dollar (\$1.00) cash in hand paid to them and for other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, do hereby SELL, ASSIGN and CONVEY unto Assignee all our right, title, and interest throughout the world in and to:

1. Said Invention and improvements in MACROAGGREGATED PROTEIN CONJUGATES AS ORAL GENETIC IMMUNIZATION DELIVERY AGENTS;

2. United States of America patent application on said Invention to be filed on or before April 6, 2001.

3. All applications for patent or like protection on said Invention that have now been or may in the future be made by Assignors or their legal representatives, whether in the United States of America or any other country or place anywhere in the world;

4. All patents and like protection that have now been or may in the future be granted on said Invention to Assignors or their legal representatives, whether in the United States of America or in any other country or place anywhere in the world;

5. All substitutions for and divisions, continuations, continuations-in-part, renewals, reissues, extensions, and the like of said applications and patents and like grants, including without limitation, those obtained or permissible under past, present and future law or statutes;

6. All rights of action on account of past, present and future unauthorized use of said Invention and for infringement of said patents and like protection;

7. The right to Assignee to file in its name applications for patents and like protection for said Invention in any country or countries foreign to the United States; and

8. All international rights or priority associated with said Invention, applications, patents and like protection.

Assignors covenant that Assignors, their heirs, legal representatives, assigns, administrators, and executors will, at the expense of Assignee, its successors and assigns, execute all papers and perform such other acts as may be reasonably necessary to give Assignee, its successors and assigns, the full benefit of this Assignment.

The U.S. Commissioner of Patents and Trademarks is hereby requested to issue U.S. Letters Patent in accordance with this Assignment.

WITNESS, the hand and seal of the assignor on the date(s) indicated.


DATE: 4/3/01

  
FRANK M. ORSON

DATE: 7/4/01

  
BERMA M. KINSEY

DATE: 4/4/01

  
BALBIR S. BHOGAL