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Customer No. 002779

08-01-2001



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U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

To the Honorable Commissioner of Patents, please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Zhong CHEN, Jianhui ZHONG and Wingchi Edmund KWOK

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of Conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: July 23, 2001

2. Name and address of receiving party(ies):

Name: University of Rochester

Address: 518 Hylan Building
Rochester, New York 14627-0140 USA

Additional name(s) and address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)
09/573,940

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

BLANK ROME COMISKY & MCCAULEY LLP
THE FARRAGUT BUILDING
SUITE 1000
900 17TH STREET, NW
WASHINGTON, DC 20006

6. Total applications and patents involved:

7. Total fee (37 CFR 3.41): \$40.00

- ☐ Enclosed
☒ Authorized to be charged to deposit account

8. Deposit account number: 23-2185
(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Michael C. Greenbaum

Name of Person Signing

Registration Number: 28,419

Signature

Date

July 23, 2001

Total number of pages including this cover sheet: 5

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PATENT
REEL: 012017 FRAME: 0210

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A S S I G N M E N T

THIS ASSIGNMENT, made on the date set forth below by and Zhong CHEN, Jianhui ZHONG and Wingchi Edmund KWOK, (hereinafter referred to as the Assignors), residing at UPMC Elmwood Avenue, Rochester, New York 14642; 2 Sutton Point, Pittsford, New York 14534 and 938 Goler House, Rochester, New York 14620 respectively, witnesseth:

WHEREAS, said Assignors have invented certain new and useful improvements in **OPTIMIZED ORTHOGONAL GRADIENT TECHNIQUE FOR FAST DIFFUSION-WEIGHTED MRI ON A CLINICAL SCANNER** set forth in an application for Letters Patent of the United States, filed on May 19, 2000, as U.S. Application No. 09/573,940; and

WHEREAS, UNIVERSITY OF ROCHESTER, an educational institution duly organized under and pursuant to the laws of the State of New York, having its principal place of business at 518 Hylan Building, Rochester, New York 14627 (hereinafter referred to as the Assignee) is desirous of acquiring the entire right, title and interest in and to said inventions and said application for Letters Patent of the United States, and in and to any Letters Patent or Patents, United States or foreign, to be obtained therefor and thereon.

NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other good and sufficient consideration, the receipt of which is hereby acknowledged, the said Assignors have sold, assigned, transferred and set over, and by these presents do sell, transfer and set over, unto the assignee, its successors, legal representatives and assigns, the entire right, title and interest in and to the above-mentioned inventions, application for Letters Patent, and any and all Letters Patent or Patents in the United States of America and all foreign countries which may be granted therefor and thereon, and in and to any and all divisions, continuations, and continuations-in-part

of said application, or reissues or extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by the said Assignee, for its own use and behoof and the use and behoof of its successors, legal representatives and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted, as fully and entirely as the same would have been held and enjoyed by the Assignors, had this sale and Assignment not been made.

AND for the same consideration, the said Assignors hereby covenant and agree to and with the said Assignee, its successors, legal representatives and assigns, that, at the time of execution and delivery of these presents, the said Assignors are the sole and lawful owners of the entire right, title and interest in and to the said inventions and the application for Letters Patent above-mentioned, and that the same are unencumbered and that the said Assignors have good and full right and lawful authority to sell and convey the same in the manner herein set forth.

AND for the same consideration, the said Assignors hereby covenant and agree to and with the said Assignee, its successors, legal representatives and assigns, that the said Assignors will, whenever counsel of the said Assignee or the counsel of its successors, legal representatives and assigns, shall advise that any proceeding in connection with said inventions, or said application for Letters Patent, or any proceeding in connection with Letters Patent for said inventions in any country, including interference proceedings, is lawful and desirable, that any division, continuation or continuation-in-part of any application for Letters Patent, or reissue or extension of any Letters Patent, to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of Letters Patent for said inventions,

without charge to the said Assignee, its successors, legal representatives and assigns, but at the cost and expense of the said Assignee, its successors, legal representatives and assigns.

AND said Assignors hereby request the Commissioner of Patent and Trademarks to issue said Letters Patent of the United States to the said Assignee, as the Assignee of said inventions and the Letters Patent to be issued thereon for the sole use and behoof of the said Assignee, its successors, legal representatives and assigns.

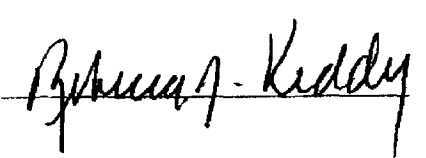
The undersigned hereby grant the firm of BLANK ROME COMISKY & McCAULEY LLP power to insert on this Assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

Date: July 23, 2001


Zhong CHEN

STATE OF New York)
COUNTY OF Monroe)

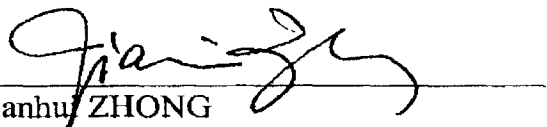
On this 23rd day of July 2001, personally before me came and Zhong CHEN, known to me, and known to me to be the person described in and who signed the annexed Assignment, and being duly sworn, acknowledged that he executed the same.



NOTARY PUBLIC
My Commission Expires:

REBECCA J. KEDDY
NOTARY PUBLIC - STATE OF NEW YORK
NO. 01KE6048859
QUALIFIED IN MONROE COUNTY
MY COMMISSION EXPIRES 10-02-2002

Date: July 23, 2001


Jianhui ZHONG

STATE OF New York)
COUNTY OF Monroe)

On this 23rd day of July 2001, personally before me came Jianhui ZHONG, known to me, and known to me to be the person described in and who signed the annexed Assignment, and being duly sworn, acknowledged that he executed the same.

Rebecca J. Keddy

NOTARY PUBLIC

REBECCA J. KEDDY
NOTARY PUBLIC - STATE OF NEW YORK
NO. 01KE6048859
QUALIFIED IN MONROE COUNTY
MY COMMISSION EXPIRES 10-02-2002

My Commission Expires:

Date: July 23, 2001

Edmund Kwok
Wingchi Edmund KWOK

STATE OF New York)
COUNTY OF Monroe)

On this 23rd day of July 2001, personally before me came Wingchi Edmund KWOK, known to me, and know to me to be the person described in and who signed the annexed Assignment, and being duly sworn, acknowledged that she executed the same.

Rebecca J. Keddy

NOTARY PUBLIC

REBECCA J. KEDDY
NOTARY PUBLIC - STATE OF NEW YORK
NO. 01KE6048859
QUALIFIED IN MONROE COUNTY
MY COMMISSION EXPIRES 10-02-2002

My Commission Expires: