

Express Mail No. EJ 599 471 085 US

Attorney's Docket No. P-838A

08-02-2001

Box Patent Application

Assistant Commissioner for Patents

Washington, D.C. 20231

7/17/01



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09/906861
07/17/01

**COVER SHEET FOR ASSIGNMENT (DOCUMENT) ACCOMPANYING
NEW PATENT APPLICATION (37 CFR 3.31)**

**IDENTIFICATION OF APPLICATION
(37 CFR 3.21 and 37 CFR 3.31(a)(4))**

1. The ☒ patent application ☐ provisional patent application filed herewith and, to which the attached assignment (document) refers, is identified as follows:

a. Type of application

- ☒ Application of patent (37 C.F.R. 1.51(a)(1))
☐ Provisional application (37 C.F.R. 1.51(a)(2))
☐ International application (37 C.F.R. 1.431)

09/906861

b. Date of execution: 2/27/98; 3/2/98; 3/10/98

NOTE: Leave this blank if assignment is for a provisional application.

c. Name of each inventor:

- 1: John F. Swartz
 2: Micahel C. Bednarek
 3: Richard E. Stehr

d. Title of invention: Ablation Catheter

NOTE: 37 C.F.R. § 3.21 states: "If an assignment of a patent application filed under § 1.53(b)(1) or § 1.62 is executed concurrently with, or subsequent to, the execution of the patent application, but before the patent application is filed, it must identify the patent application by its date of execution, name of each inventor, and title of the invention so that there can be no mistake as to the patent application intended. If an assignment of a provisional application is executed before the provisional application is filed, it must identify the provisional application by name of each inventor and title of the invention so that there can be no mistake as to the provisional application intended."

CERTIFICATION UNDER 37 CFR 1.10

I hereby certify that this "Cover Sheet For Assignment (Document) Accompanying New Patent Application", along with any document referred to, is being deposited with the United States Postal Service on this date 27 July 1999 in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number EJ599471085US addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231.

Diane M. Miles

(Type or print name of person mailing paper)

Diane M. Miles

Signature of person mailing paper

WARNING: Certificate of mailing (first class) or facsimile transmission procedures of 37 CFR 1.8 cannot be used to obtain a date of mailing or transmission for this correspondence.

(Cover Sheet For Assignment Accompanying New Patent Application [4-2.3]—page 1 of 4)

NAME OF PARTY(IES) CONVEYING INTEREST (37 CFR 3.31(a)(1))**2. The party(ies) conveying this interest is (are):**

Name 1: John F. Swartz
Name 2: Michael C. Bednarek
Name 3: Richard E. Stehr

**NAME AND ADDRESS OF PARTY(IES) RECEIVING INTEREST
(37 CFR 3.31(a)(2))****3. The rights are being conveyed to:**

Name: Daig Corporation
Address: 14901 DeVeau Place
Minnetonka, MN 55345-2126
U.S.A.
Telephone No.: ()

**DESCRIPTION OF INTEREST CONVEYED OR
TRANSACTION RECORDED (37 CFR 3.31(a)(3))****4. The accompanying document intends to accomplish:**

- ☒ an assignment.
☐ a security agreement.
☐ a license.
☐ a merger.
☐ a change of name.
☐ a change of address.
☐ other.

**NAME AND ADDRESS OF PARTY TO WHOM CORRESPONDENCE
SHOULD BE MAILED (37 CFR 3.31(a)(5))****5. Please address correspondence to:**

Name: Scott R. Cox
Address: LYNCH, COX, GILMAN & MAHAN PSC
462 South 4th Avenue, Suite 500
Louisville, KY 40202 U.S.A.

Telephone No.: (502) 589-4215

(Cover Sheet For Assignment Accompanying New Patent Application [4-2.3]—page 2 of 4)

DATE ASSIGNMENT (DOCUMENT) EXECUTED (37 CFR 3.31(a)(7))

6. The attached assignment (document) was executed on _____:
date

LANGUAGE OF ASSIGNMENT (DOCUMENT) TO BE RECORDED

NOTE: "The Office will accept and record non-English language documents only if accompanied by a verified English translation signed by the individual making the translation." 37 CFR 3.26.

The attached document:

- ☒ is in the English language.
☐ is not in the English language. A verified English translation signed by the individual making the translation is attached.

ORIGINAL DOCUMENT OR TRUE COPY SUBMITTED

NOTE: "Either the original document or a true copy of the original document may be submitted for recording. Only one side of each page shall be used. The paper used should be flexible, strong, white, non-shiny, durable, and preferably no larger than 21.6 x 33.1 cm. (8½ x 14 inches) with a 2.5 cm. (one-inch) margin on all sides." 37 CFR 3.24.

7. Submitted herewith is:

- ☐ the original document.
☒ a true copy of the original document.

NOTE: "If the original [assignment] document is two-sided or the wrong size, the practitioner can comply with the requirement [set out in 37 C.F.R. § 3.24] by providing a true copy of the original document using only one side of each page on the correct size paper." Notice of June 24, 1992, 1140 O.G. 63-76, at 67.

**NUMBER OF APPLICATIONS IDENTIFIED IN THIS COVER SHEET
AND THE FEE (37 CFR 3.31 (a)(6))**

8. A. This cover sheet identifies only one application:
B. The fee for recordal (37 CFR 1.21(h)) is \$40.00.
☒ Attached is a check for \$40.00.
☐ Please charge Account _____ \$40.00.
A duplicate of this cover sheet is attached.

07/20/2001 AB00NE1 00000042 09906861

03 FC:581

40.00 DP

STATEMENT (37 CFR 3.31(a)(9))
AND SIGNATURE (37 CFR 3.31 (a)(10))

9. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

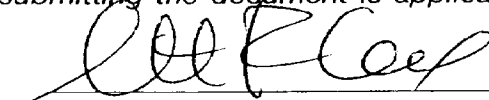
Date: _____

Name of party submitting document

Signature of party submitting document

(complete the following, if the party submitting the document is applicant's attorney)

Reg. No. 31,945



Signature of Attorney

Tel. No. (502) 589-4215

Scott R. Cox
(type or print name of Attorney)
462 South 4th Avenue, Suite 500
P.O. Address
Louisville, KY 40202

TOTAL NUMBER OF PAGES BEING SUBMITTED

10. The total number of pages being submitted, including cover sheet, attachment(s), and document(s) are:

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Total number of pages submitted

03-23-1998

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

John F. Swartz
Michael C. Bednarek
Richard E. StehrAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name: Daig Corporation

Internal Address: 14901 DeVeau Place

Minnetonka, MN 55345-2126

Street Address: 14901 DeVeau Place

City: Minnetonka State: MN ZIP: 55345-2126

Additional name(s) & address(es) attached? ☐ Yes ☐ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
- ☐ Security Agreement ☐ Change of Name
- ☐ Other _____

Execution Date: Feb. 27, 1998; March 2, 1998;
March 10, 1998

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

(08/897,300)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Scott R. Cox

LYNCH, COX, GILMAN & MAHAN, PSC
Internal Address: 500 Meidinger Tower

462 South 4th Ave.

Louisville, KY 40202

Street Address: 426 South 4th Ave.

500 Meidinger Tower

City: Louisville State: KY ZIP: 40202

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

☒ Enclosed☐ Authorized to be charged to deposit account

8. Deposit account number:

03-3420

(Attach duplicate copy of this page if paying by deposit account)

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Scott R. Cox

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document: 4

Mail documents to be recorded with required cover sheet information to:
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For: ☐ U.S. Patent

For: ☐ PCT Application

By: Multiple Inventors

ASSIGNMENT OF INVENTION (MULTIPLE INVENTORS)

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

ASSIGNORS (Inventors):

John F. Swartz

(type or print name of inventors)

Michael C. Bednarek

Rural Route #3, Box 1026

Afton, Oklahoma 74331

Address

Nationality

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Richard E. Stehr