

FORM PTO-1595

(Rev. 6-93)

OMB No. 0651-0011 (exp. 4/94)

REC

08-02-2001

HEET

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeTab settings ☐ ☐ ☐ ☐ ▼

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To the Honorable Commissioner of Patents and Trademarks: Please return the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Gordon M. Smith  
Hashimali Merchant

7-26-01

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other \_\_\_\_\_

Execution Date: July 18, 2000

## 2. Name and address of receiving party(ies)

Name: General Valve, Inc.

Internal Address: 800 Koomey Road

Brookshire, Texas 77423

Street Address: same as above

City: \_\_\_\_\_ State: \_\_\_\_\_ ZIP: \_\_\_\_\_

Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

09/624,434

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: LEONARD TACHNER

Internal Address: 17961 Sky Park Circle

Suite 38-E

Irvine, California 92614-6364

Street Address: SAME AS ABOVE

City: \_\_\_\_\_ State: \_\_\_\_\_ ZIP: \_\_\_\_\_

## 6. Total number of applications and patents involved:

one

7. Total fee (37 CFR 3.41).....\$ 40.00

☒ Enclosed☐ Authorized to be charged to deposit account

## 8. Deposit account number:

06-0930

(Attach duplicate copy of this page if paying by deposit account)

08/01/2001 LMEILLER 00000230 09624434

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01 FEB 2001

40.00 DP

## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Janis Foreman

Name of Person Signing

Signature

7-23-01

Date

Total number of pages including cover sheet, attachments, and document: 5

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments

PATENT

REEL: 012018 FRAME: 0509

1                                   **ASSIGNMENT OF INVENTION**  
2                                   **(NOT ACCOMPANYING APPLICATION)**  
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5       **WHEREAS, Gordon M. Smith and Hashimali Merchant**, hereinafter sometimes  
6 referred to as "said Inventors", citizens of the United States of America, whose post  
7 office address is: No. 7 Beaver Creek Estates, Brookshire, Texas 77423, 3502 Aspen  
8 Glen Lane, Spring, Texas 77388, respectively, have invented certain new and useful  
9 improvements in: "**LIVE LOADED VALVE STEM PACKING WITH SHAPE MEMORY**  
10 **ALLOY OPTION**", for which we have executed an application for United States Letters  
11 Patent the same day we have executed this assignment, which invention (hereinafter  
12 referred to as "Invention") has been disclosed to and filed, under Docket No. **GV99-2**,  
13 and which invention is covered by patent application Serial No. 09/624,434 filed July  
14 24, 2000;

15  
16       **WHEREAS, General Valve, Inc.**, a corporation of the State of California, having a  
17 business address of 800 Koomey Road, Brookshire, Texas 77423, is desirous of  
18 acquiring the entire right, title and interest in, to and under the said improvements, and  
19 the said application;

20  
21       **NOW, THEREFORE**, in consideration of the sum of One Dollar (\$1.00), and for other  
22 good and valuable consideration, the receipt and sufficiency of which are hereby  
23 acknowledged, we, **Gordon M. Smith and Hashimali Merchant**, have sold, assigned,  
24 transferred and set over, and by these presents do sell, assign, transfer and set over,  
25 unto the said **General Valve, Inc.**, its successors, legal representatives and assigns,  
26 the entire right, title and interest in, to and under the said improvements, and all rights  
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1 of priority thereto pursuant to the International Convention for the Protection of  
2 Industrial Property, and the said applications and all divisions, renewals and  
3 continuations thereof, and all Letters Patents which may be granted for said  
4 improvements in any country or countries foreign to the United States and all  
5 extensions, renewals and reissues thereof; and we hereby authorize and request the  
6 Commissioner of Patents of the United States, and any Official of any country or  
7 countries foreign to the United States, whose duty it is to issue patents on applications  
8 as aforesaid to the said **General Valve, Inc.**, its successors, legal representatives and  
9 assigns, in accordance with the terms of this instrument.

11 **AND**, we hereby covenant that we have full right to convey the entire interest herein  
12 assigned, and that we have not executed and will not execute, any agreement in  
13 conflict herewith.

15 **AND**, we hereby further covenant and agree that we will communicate to the said  
16 **General Valve, Inc.**, its successors, legal representatives and assigns, any facts  
17 known to us respecting said improvements, and testify in any legal proceeding, sign all  
18 lawful papers, execute all divisional, continuing and reissue applications, make all  
19 rightful oaths, and generally do everything possible to aid said **General Valve, Inc.**, its  
20 successors, legal representatives and assigns, to obtain and enforce proper patent  
21 protection for said improvements in all countries.

1 IN TESTIMONY WHEREOF, we hereunto set our hands and seals this 19<sup>th</sup> day  
2 of July, 2000.

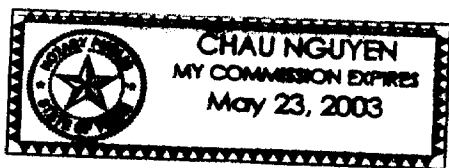
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5  
6 Gordon M. Smith  
7

8 State of Texas )  
9 County of Waller ) ss.  
10

11 On this 19<sup>th</sup> day of July, 2000 before me Gordon M. Smith  
12 (Notary Public) personally appeared **Gordon M. Smith**, personally known to me or  
13 proved to me on the basis of satisfactory evidence to be the person whose name is  
14 subscribed to the within instrument and acknowledged to me that he executed the same  
15 in his authorized capacity, and that by his signature on the instrument the person or the  
16 entity upon behalf of which the person acted, executed the instrument. WITNESS my  
17 hand and official seal.

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Chau Nguyen  
(Notary Public)



(SEAL)

1 Hashimali Merchant  
2 Hashimali Merchant

3  
4 State of Texas )  
5 County of Waller ) ss.

6 On this 18<sup>th</sup> day of July, 2000 before me Hashimali Merchant  
7 (Notary Public) personally appeared **Hashimali Merchant**, personally known to me or  
8 proved to me on the basis of satisfactory evidence to be the person whose name is  
9 subscribed to the within instrument and acknowledged to me that he executed the same  
10 in his authorized capacity, and that by his signature on the instrument the person or the  
11 entity upon behalf of which the person acted, executed the instrument. WITNESS my  
hand and official seal.

12 Chau Nguyen  
13 (Notary Public)



16 (SEAL)  
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