

08-02-2001

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To the Honorable Commissioner of Patents

1. Name of conveying party(ies):

Edwin C. Kan

Zengtao Liu

Venkatasubraman Narayanan

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:



Assignment



Merger



Security Agreement



Change of Name



Other

Execution Date: July 16, 2001

Name: Cornell Research Foundation, Inc.

Internal Address:

Street Address: Suite 105

20 Thornwood Drive

City: Ithaca State: NY ZIP: 14850

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: July 16, 2001

A. Patent Application No.(s)

07/27/2001 EHAILE1 00000006 09911378

02 FC:581

40.00 DP

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: George M. Cooper

Internal Address:

Street Address: JONES, TULLAR & COOPER, P.C.

P.O. Box 2266 Eads Station

City: Arlington State: VA ZIP: 22202

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41)..... \$ 40.00



Enclosed



Authorized to be charged to deposit account

8. Deposit account number:

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

George M. Cooper

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document.

3

For: U.S. Rights and Foreign Rights
For: U.S. Application
By: Inventors

ASSIGNMENT OF INVENTION

In consideration of the payment by ASSIGNEE to ASSIGNORS of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

ASSIGNORS:

Edwin C. Kan
22 Beckett Way
Ithaca, NY 14850
Nationality: Taiwan, ROC

Zengtao Liu
150 West Village Place
Ithaca, NY 14850
Nationality: China

Venkatasubraman Narayanan
301 Maple Avenue, #h-6
Ithaca, NY 14850
Nationality: India

hereby sell, assign and transfer to

ASSIGNEE:

Cornell Research Foundation, Inc.
Suite 105
20 Thornwood Drive
Ithaca, NY 14850
State or Country of Formation: New York

and the successors, assigns and legal representatives of the ASSIGNEE the entire right, title and interest for the United States and its territorial possessions and in all foreign countries, including all rights to claim priority, in and to any and all improvements which are disclosed in the invention entitled:

Embedded Metal Nanocrystals

and which is found in (37 C.F.R. Section 3.21) U.S. patent application executed on even date herewith and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to letters patent any reissue or re-examination thereof.

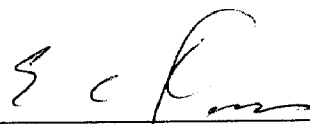
(Assignment of Invention--page 1)

ASSIGNORS hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNORS further covenant that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNORS and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

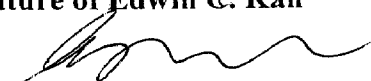
IN WITNESS WHEREOF, We have hereunto set hand and seal this _____ day of _____.

Date: 7/16/2001



Signature of Edwin C. Kan

Date: 7/16/2001



Signature of Zengtao Liu

Date: 7/16/2001



Signature of Venkatasubraman Narayanan

(Assignment of Invention--page 2)