

08-02-2001



To the Honorable Commissioner of P

101797204

1 original documents or copy thereof

## 1. Name(s) of conveying party/parties:

Yongcheng Li  
Sajan Sankaran  
Spencer H. Shepard IV  
Yih-Shin Tan

Additional Names Attached? Yes ☒ No

## 2. Name and address of receiving party

International Business Machines Corporation  
New Orchard Road  
Armonk, New York 10504

JC997 U.S. PTO  
09/907853  
07/17/01

## 3. Nature of Conveyance

☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other:

Execution Date(s): July 16, 2001

Additional Names Attached? ☐ Yes ☒ No

## 4. Application number(s) or patent number(s):

If this document is being filed with a new application, the execution date of the application is: 7-16-2001

A. Patent Application No(s)

B. Patent No(s)

Additional Numbers Attached? Yes ☒ No

## 5. Name and Address of party to whom correspondence concerning the document should be sent:

Name: Jeanine S. Ray-Yarletts

Internal Address:

IBM Corporation, T81/503

Street Address:

PO Box 12195

City/State/Zip: Res. Tri. Park, NC 27709

## 6. Total Number of applications and patents involved:

1

## 7. Total fee (37 CFR 3.41) .....\$ 40

☐ Enclosed☒ Charge to deposit account

## 8. Deposit account Number: 09-0461

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature: To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Jeanine S. Ray-Yarletts

Name of Person Signing

Signature

July 17, 2001

Date

Total Number of pages including cover sheet, attachments and document

3

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks; Box Assignments; Washington, D.C. 20231

08/01/2001 00000081 090461 09907853  
01 FC:581 40.00 CH

AssgnSWG.wpt 09082000

## ASSIGNMENT

*Whereas, we*

*-1* Yongcheng Li

*of:* Raleigh, North Carolina

*and (2)* Sajan Sankaran

*of:* Raleigh, North Carolina

*and (3)* Spencer H. Shepard IV

*of:* Durham, North Carolina

*and (4)* Yih-Shin Tan

*of:* Raleigh, North Carolina

have invented certain improvements in

**Transforming Data Automatically Between  
Communications Parties in a Computing Network**

and have executed, respectively, a United States patent application therefor on

*-1 July 16, 2001 -2 July 16, 2001 -3 July 16, 2001*

*-4 July 16, 2001*

And whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we the above named hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

SIGNED AND SEALED

(1) at: Research Triangle Park, NC

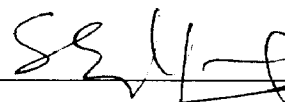
on: 7/16/2001



Yongcheng Li

and (2) at: Research Triangle Park, NC

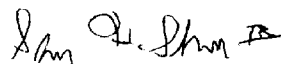
on: 7/16/01



Sajan Sankaran

and (3) at: Research Triangle Park, NC

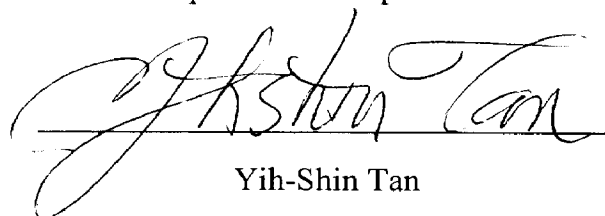
on: 7/16/01



Spencer H. Shepard IV

and (4) at: Research Triangle Park, NC

on: 7/16/2001



Yih-Shin Tan