JUL 2 4 2001 8
Form PTO-1596 (Rev. 03-01)
OMB No. 0651-0027 (a 0.45/31/2002). Tab settings ⇒ ⇒ ⇒ 7. 14.
To the Honorable Commissioner of
Name of conveying party(ies):

08-03-2001



*ttorney Docket No. 020139-000500US

U.S. Department of Commerce U.S. Patent and Trademark Office

714.		
Tab settings ⇒ ⇒ ↑ • • • • • • • • • • • • • • • • •		
Name of conveying party(ies): 2. Name and address of receiving party	Name and address of receiving party(ies)	
Narinder Kanany Claire Gu and Mario Ozcan	Name: K2 Optronics, Inc.	
Internal Address: 580 College Ave	Internal Address: 580 College Avenue	
3 Nature of conveyance:	Street Address:	
City: Palo Alto State: C.	A ZIP: 94306	
Security Agreement Change of Name		
Other: Additional names and addresses atta	iched? ∐ Yes ⊠ No	
Execution Date: Narinder Kapany – July 10, 2001; Claire Gu – July 12, 2001; and Meric Ozcan – July 11, 2001 4. Application number(s) or patent number(s).		
If this document is being filed together with a new application, the execution date of the application is:		
A. Patent Application No(s): 09/794,231 B. Patent No(s):		
Additional numbers attached? ☐ Yes ☑ No		
5. Name and address of party to whom correspondence 6. Total number of applications and party to whom correspondence	patents involved 1	
concerning document should be mailed:		
Name: David N. Slone 7. Total fee (37 CFR 3.41):	\$ <u>40.00</u>	
TOWNSEND AND TOWNSEND AND CREW LLP Two Embarcadero Center, 8 th Floor		
San Francisco, California 94111-3834 (650) 326-2400 ⊠ Authorized to be charged	to denosit account	
(000) 020-2400	a to deposit decodin	
8. Deposit account number: 20-1430	0	
(Attach duplicate copy of this page if payir	ng by deposit account)	
DO NOT USE THIS SPACE		
 Statement and signature. To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true of copy of the original 		
document.		
1) · 1 (1)		
David N. Slone Javrd Slove 1/2010		
Name of Person Signing Signature Atty. Reg. No. 28,572	Date	
	2	

Mail documents to be recorded with required cover to:

Commissioner of Patents and Trademarks, Box Assignments Washington, D.C. 20231

08/03/2001 GTON11 00000004 201430 09794231

01 FC:581 40.00 CH

PATENT

REEL: 012023 FRAME: 0071

Attorney Docket No.: 20139-000500US

ASSIGNMENT OF PATENT APPLICATION

JOINT

WHEREAS, Narinder S. Kapany, of 2190 Greenways Drive, Woodside, CA 94062-1137, Claire Gu, of 68 Pasatiempo Drive, Santa Cruz, CA 95060; and Meric Ozcan, of 870 East El Camino Real #12, Mountain View, CA 94040, hereinafter referred to as "Assignors," are the inventors of the invention described and set forth in the below-identified application for United States Letters Patent:

Title of Invention: WAVELENGTH ADD DROP ELEMENT FOR

CONFIGURABLE ADD DROP MULTIPLEXING

Filing Date: February 26, 2001

Application No.: 09/794,231; and

WHEREAS, K2 Optronics Inc., of 580 College Avenue, Palo Alto, CA 94306, hereinafter referred to as "ASSIGNEE," is desirous of acquiring an interest in the invention and application and in any U.S. Letters Patent and Registrations which may be granted on the same;

For good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have assigned, and by these presents do assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignors had this Assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignors further agree that they will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including patent applications and instruments of transfer, and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated.

Dated: 10 July, 200

Dated: July 12, 2001

Dated: July 11, 2001

RECORDED: 07/24/2001

NARINDER S KAPANY

NARINDER S. KAPAN

CLAIRE GU

MERIC OZCAN

PA 3155090 v1

PATENT REEL: 012023 FRAME: 0072