

08-03-2001



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ER SHEET  
LY

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

**Aluminum Company of America**

2. Name and address of receiving party(ies):

**Alcoa Inc.  
Alcoa Corporate Center  
201 Isabella Street  
Pittsburgh, PA 15212-5858**

7-30-01

3. Nature of conveyance:

- ☐ Assignment ☐ Merger  
☐ Security Agreement ☒ Change of Name  
☐ Other

JUL 30 2001

Additional name(s) & address(es) attached? ☐ Yes ☒ No

Execution Date: **December 11, 1998**

4. Application number(s) or patent number(s):

A. Patent Application No.(s)

B. Patent No.(s)

**4,465,204  
4,714,494  
5,728,275**

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **David W. Pearce-Smith, Esq.**  
Address:



**08840**

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$120.00

- ☐ Enclosed  
☒ Authorized to be charged to deposit account

8. Deposit Account No. 01-1000

DO NOT USE THIS SPACE

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

David W. Pearce-Smith  
Name of Person Signing

*David W. Pearce-Smith*  
Signature

July 27, 2001  
Date

Total number of pages including cover sheet, attachments and document: 4

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

Microfilm Number \_\_\_\_\_

Filed with \_\_\_\_\_ Department, State of \_\_\_\_\_

DEC 14 1998

Entity Number 10514

ACTING

Secretary of the Commonwealth

ARTICLES OF AMENDMENT-DOMESTIC BUSINESS CORPORATION  
DSCB:15-1915 (Rev 90)

In compliance with the requirements of 15 Pa.C.S. § 1915 (relating to articles of amendment), the undersigned business corporation, desiring to amend its Articles, hereby states that:

1. The name of the corporation is: Aluminum Company of America

2. The (a) address of this corporation's current registered office in this Commonwealth or (b) name of its commercial registered office provider and the county of venue is (the Department is hereby authorized to correct the following information to conform to the records of the Department):

(a) 201 Isabella Street Pittsburgh Pennsylvania 15212-5858 Allegheny  
Number and Street City State Zip County

(b) c/o: \_\_\_\_\_  
Name of Commercial Registered Office Provider County

For a corporation represented by a commercial registered office provider, the county in (b) shall be deemed the county in which the corporation is located for venue and official publication purposes.

3. The statute by or under which it was incorporated is: "An Act to provide for the incorporation and regulation of certain corporations" approved April 29, 1874 and the various supplements thereto.

4. The date of its incorporation is: Sept. 18, 1888

5. (Check, and if appropriate complete, one of the following):

\_\_\_\_ The amendment shall be effective upon filing these Articles of Amendment in the Department of State.

☒ The amendment shall be effective on January 1, 1999 at \_\_\_\_\_  
Date Hour

6. (Check one of the following):

\_\_\_\_ The amendment was adopted by the shareholders (or members) pursuant to 15 Pa.C.S. § 1914(a) and (b).

☒ The amendment was adopted by the board of directors pursuant to 15 Pa.C.S. § 1914(c).

7. (Check, and if appropriate complete, one of the following):

☒ The amendment adopted by the corporation, set forth in full, is as follows:

"RESOLVED, that the Articles of the Company shall be amended to change the name of the Company, effective January 1, 1999, to Alcoa Inc. by amending Article FIRST of the Articles of the Company to read in its entirety as follows:

'FIRST. The name of the corporation is Alcoa Inc.'

(PA - 1427 - 10/9/92)

58 DEC 21 PM 1:31

MAILED 11:04 DEC 14 1998

DEC-14-1998 11:04

OSCB:15-1915 (Rev 90)-2

\_\_\_ The amendment adopted by the corporation as set forth in full in Exhibit A attached hereto and made a part hereof.

8. \_\_\_ The restated Articles of Incorporation supersede the original Articles and all amendments thereto.

IN TESTIMONY WHEREOF, the undersigned corporation has caused these Articles of Amendment to be signed by a duly authorized officer thereof this 11<sup>TH</sup> day of DECEMBER, 1993.

Aluminum Company of America

(Name of Corporation)

BY:

Denis A. Demblowski

(Signature)

Denis A. Demblowski

TITLE:

Secretary and Senior Counsel

(PA.-1427)

412 261 5212 P.03/06

CT CORPORATION SYSTEM

DEC-14-1998 11:05

C O M M O N W E A L T H   O F   P E N N S Y L V A N I A

D E P A R T M E N T   O F   S T A T E

FEBRUARY 19, 1999

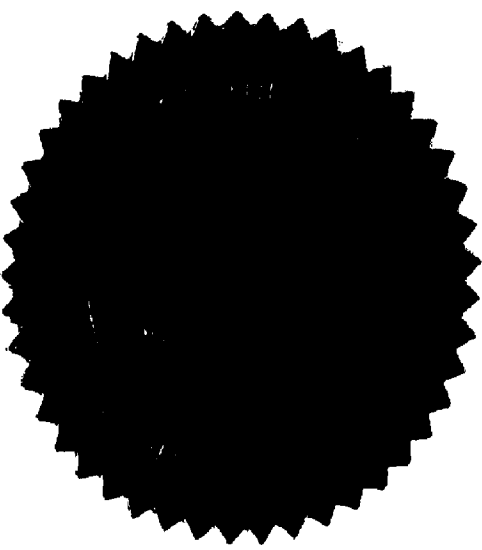
TO ALL WHOM THESE PRESENTS SHALL COME. GREETING:

ALCOA INC.

I, Kim Pizzingrilli, Acting Secretary of the Commonwealth of Pennsylvania do hereby certify that the foregoing and annexed is a true and correct photocopy of Articles of Amendment

which appear of record in this department

IN TESTIMONY WHEREOF, I have hereunto set my hand and caused the Seal of the Secretary's Office to be affixed, the day and year above written.



*Kim Pizzingrilli*

ACTING Secretary of the Commonwealth

DBOH

RECORDED: 07/30/2001

PATENT  
REEL: 012025 FRAME: 0182