

*MPW*  
7-30-01



101798313

To t  
Please r

s and Trademarks:  
c(s) or copy thereof.

1. Name of conveying party(ies):

Craig Gardner  
Michael J. Haass  
Robert K. Rowe  
Howland Jones  
Steven T. Strohl

2. Name and address of receiving party(ies):

Name: Rio Grande Medical Technologies, Inc.

Internal Address:

Street Address: 800 Bradbury S.E., Suite 217

City: Albuquerque State: New Mexico ZIP: 87106

Additional name(s) & addresses attached?

Yes  No

Additional name(s) of conveying party(ies)  
attached?  Yes  No

3. Nature of conveyance:

Assignment  Merger  
 Security Agreement  Change of Name  
 Other \_\_\_\_\_

Execution Date: May 15, 2001 and May 17, 2001

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)  
09/832,608

B. Patent No.(s)

Additional numbers attached?  Yes  No

5. Name and address of party to whom correspondence  
concerning document should be mailed:

Name: CROMPTON, SEAGER & TUFTE, LLC

Address: 331 Second Avenue South, Suite 895  
Minneapolis, MN 55401

6. Total number of applications and patents  
involved: 1

7. Total fee (37 CFR 3.41). . . \$40.00  
 Enclosed  
 Authorized to be charged to deposit  
account

8. Deposit Account Number: 50-0413  
(Attach duplicate copy of this page if  
paying by deposit account)

08/02/2001 AMMED1 00000139 09832608

01 FC:581

40.00 DP

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief the foregoing information is true and correct and any attached copy  
is a true copy of the original document.

David M. Crompton  
Name of Person Signing

*David M. Crompton*  
Signature

7/26/01  
Date

Total number of pages comprising cover sheet, attachments and document: 9

To the Honorable Commissioner of Patents and Trademarks:  
Please record the attached original document(s) or copy thereof.

1. Name of additional conveying party(ies):  
Matthew J. Novak  
Russell E. Abbink  
David Nuñez  
William Gruner  
Robert D. Johnson

Additional name(s) of conveying party(ies)  
attached?  Yes  No

3. Nature of conveyance:  
 Assignment  Merger  
 Security Agreement  Change of Name  
 Other \_\_\_\_\_

Execution Date:

2. Name and address of additional receiving party(ies):

Name:

Internal Address:

Street Address:

City: State: ZIP:

Additional name(s) & addresses attached?  
 Yes  No

1. Name of additional conveying party(ies):

Additional name(s) of conveying party(ies)  
attached?  Yes  No

3. Nature of conveyance:  
 Assignment  Merger  
 Security Agreement  Change of Name  
 Other \_\_\_\_\_

Execution Date:

2. Name and address of additional receiving party(ies):

Name:

Internal Address:

Street Address:

City: State: ZIP:

Additional name(s) & addresses attached?  
 Yes  No

1. Name of additional conveying party(ies):

Additional name(s) of conveying party(ies)  
attached?  Yes  No

3. Nature of conveyance:  
 Assignment  Merger  
 Security Agreement  Change of Name  
 Other \_\_\_\_\_

Execution Date:

2. Name and address of additional receiving party(ies):

Name:

Internal Address:

Street Address:

City: State: ZIP:

Additional name(s) & addresses attached?  
 Yes  No

Docket No.: 1023.1117101

## ASSIGNMENT

WHEREAS, Craig Gardner, residing at 108 Sewell Avenue, Apt. 3, Brookline, Massachusetts 02446; Michael J. Haass, residing at 10812 Snow Heights Blvd. NE, Albuquerque, New Mexico 87112; Robert K. Rowe, residing at 229 Ashley Lane, Corrales, New Mexico 87048; Howland Jones, residing at 25 Abajo Drive, Edgewood, New Mexico 87015; Steven T. Strohl, residing at 261 Piñon Trail, Cedar Crest, New Mexico 87008; Matthew J. Novak, residing at 3500 West Orange Grove Road, # 7203, Tucson, Arizona 85741; Russell E. Abbink, residing at P.O. Box 909, Albuquerque, New Mexico 87112; David Nuñez, residing at 12241 Woodland NE, Albuquerque, New Mexico 87112; William Gruner, residing at P.O. Box 1854, Edgewood, New Mexico 87105; and Robert D. Johnson, residing at 8109 Rancho Felice Court NW, Albuquerque, New Mexico 87120, have made certain new and useful inventions and improvements for which they have executed an application for Letters Patent of the United States, which is entitled OPTICALLY SIMILAR REFERENCE SAMPLES AND RELATED METHODS FOR MULTIVARIATE CALIBRATION MODELS USED IN OPTICAL SPECTROSCOPY, filed on April 11, 2001, as U.S. Patent Application Serial No. 09/832,608;

AND WHEREAS, Rio Grande Medical Technologies, Inc., a corporation organized and existing under and by virtue of the laws of New Mexico, and having a business address of 800 Bradbury S.E., Suite 217, Albuquerque, NM 87106, is desirous of acquiring the entire right, title and interest in and to said inventions, improvements, application, and Letters Patent to be obtained therefor;

NOW, THEREFORE, to all whom it may concern, be it known that for and in consideration of the sum of One Dollar and other good and valuable considerations, the receipt and sufficiency whereof is hereby acknowledged, we have sold, assigned and transferred, and by these presents do sell, assign and transfer unto said Rio Grande Medical Technologies, Inc., its successors or assigns, the entire right, title and interest for all countries in and to all inventions and improvements disclosed in the aforesaid application, and in and to the said application, all divisions, continuations, or renewals thereof, all Letters Patent which may be granted therefrom, and all reissues or extensions of such patents, and in and to any and all applications which shall be filed in any foreign countries for Letters Patent on the said inventions and improvements, including an assignment of all rights under the provisions of the International Convention, and all Letters Patent of foreign countries which may be granted therefrom; and we do hereby authorize and request the Commissioner of Patents to issue any and all United States Letters Patent for the aforesaid inventions and improvements to said Rio Grande Medical Technologies, Inc., its successors and assigns.

AND, for the consideration aforesaid, we do hereby agree that our executors and legal representatives will make, execute and deliver any and all other instruments in writing including any other documents, and will communicate to said Rio Grande Medical Technologies, Inc., its successors and representatives all facts known to us relating to said improvements and the history thereof and will testify in all legal proceedings and generally do all things which may be necessary or desirable to more effectually secure to and vest in Rio Grande Medical Technologies, Inc., its successors or assigns the entire right, title and interest in and to the said improvements, inventions, applications, Letters Patent, rights, titles, benefits, privileges and advantages hereby sold, assigned and conveyed, or intended so to be.

AND, furthermore, we, Craig Gardner, Michael J. Haass, Robert K. Rowe, Howland Jones, Steven T. Strohl, Matthew J. Novak, Russell E. Abbink, David Nuñez, William Gruner, and Robert D. Johnson, covenant and agree with said Rio Grande Medical Technologies, Inc., its successors and assigns, that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by us and that full right to convey the same as herein expressed is possessed by us.

The parties hereto acknowledge that they have specifically requested the present agreement to be drawn up in the English language.

IN TESTIMONY WHEREOF, we have hereunto set our hands this 15 day of May, 2001.

Craig Gardner  
Craig Gardner

STATE OF Massachusetts )  
COUNTY OF NORFOLK ) ss.

On this 15 day of MAY, 2001, before me personally appeared Craig Gardner, to me known to be the person described in and who executed the foregoing instrument and acknowledged that he executed the same as his free act and deed.

Raja M. Ahmed  
Notary Public

**RAJA M. AHMED**  
**NOTARY PUBLIC**  
My Commission Expires December 30, 2005

\_\_\_\_\_  
Michael J. Haass

STATE OF \_\_\_\_\_ )  
COUNTY OF \_\_\_\_\_ ) ss.

On this \_\_\_\_\_ day of \_\_\_\_\_, 2001, before me personally appeared Michael J. Haass, to me known to be the person described in and who executed the foregoing instrument and acknowledged that he executed the same as his free act and deed.

\_\_\_\_\_  
Notary Public

AND, furthermore, we, Craig Gardner, Michael J. Haass, Robert K. Rowe, Howland Jones, Steven T. Strohl, Matthew J. Novak, Russell E. Abbink, David Nuñez, William Gruner, and Robert D. Johnson, covenant and agree with said Rio Grande Medical Technologies, Inc., its successors and assigns, that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by us and that full right to convey the same as herein expressed is possessed by us.

The parties hereto acknowledge that they have specifically requested the present agreement to be drawn up in the English language.

IN TESTIMONY WHEREOF, we have hereunto set our hands this \_\_\_\_ day of \_\_\_\_\_, 2001.

\_\_\_\_\_  
Craig Gardner

STATE OF New Mexico )  
COUNTY OF Bernalillo ) ss.

On this 17<sup>th</sup> day of May, 2001, before me personally appeared Craig Gardner, to me known to be the person described in and who executed the foregoing instrument and acknowledged that he executed the same as his free act and deed.

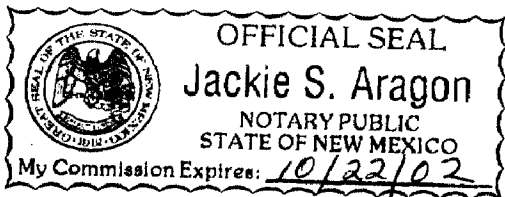
Jackie S. Aragon  
Notary Public

Michael J. Haass  
Michael J. Haass

STATE OF New Mexico )  
COUNTY OF Bernalillo ) ss.

On this 17<sup>th</sup> day of May, 2001, before me personally appeared Michael J. Haass, to me known to be the person described in and who executed the foregoing instrument and acknowledged that he executed the same as his free act and deed.

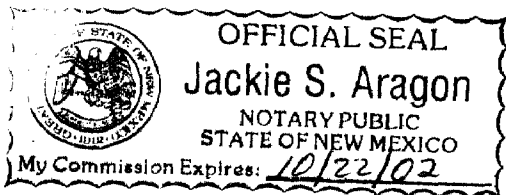
Jackie S. Aragon  
Notary Public



[Signature]  
Robert K. Rowe

STATE OF New Mexico )  
 ) ss.  
COUNTY OF Bernalillo )

On this 17<sup>th</sup> day of May, 2001, before me personally appeared Robert K. Rowe, to me known to be the person described in and who executed the foregoing instrument and acknowledged that he executed the same as his free act and deed.

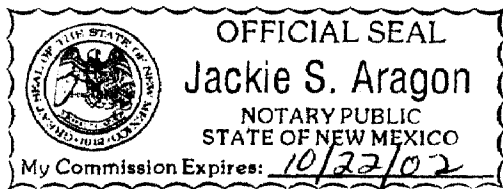


[Signature]  
Notary Public

[Signature]  
Howland Jones

STATE OF New Mexico )  
 ) ss.  
COUNTY OF Bernalillo )

On this 17<sup>th</sup> day of May, 2001, before me personally appeared Howland Jones, to me known to be the person described in and who executed the foregoing instrument and acknowledged that he executed the same as his free act and deed.

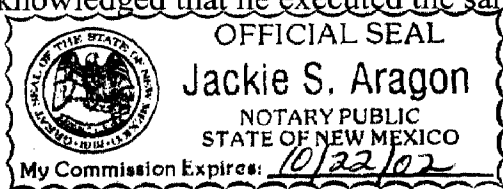


[Signature]  
Notary Public

[Signature]  
Steven T. Strohl

STATE OF New Mexico )  
 ) ss.  
COUNTY OF Bernalillo )

On this 17<sup>th</sup> day of May, 2001, before me personally appeared Steven T. Strohl, to me known to be the person described in and who executed the foregoing instrument and acknowledged that he executed the same as his free act and deed.

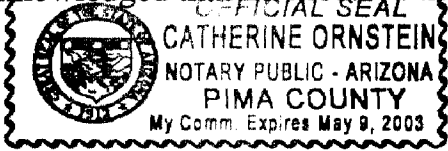


[Signature]  
Notary Public

Matthew J. Novak 17 MAY 2001  
Matthew J/Novak

STATE OF AZ )  
 ) ss.  
COUNTY OF PIMA )

On this 17 day of MAY, 2001, before me personally appeared Matthew J. Novak, to me known to be the person described in and who executed the foregoing instrument and acknowledged that he executed the same as his free act and deed.



Catherine Ornstein  
Notary Public

\_\_\_\_\_  
Russell E. Abbink

STATE OF \_\_\_\_\_ )  
 ) ss.  
COUNTY OF \_\_\_\_\_ )

On this \_\_\_\_\_ day of \_\_\_\_\_, 2001, before me personally appeared Russell E. Abbink, to me known to be the person described in and who executed the foregoing instrument and acknowledged that he executed the same as his free act and deed.

\_\_\_\_\_  
Notary Public

\_\_\_\_\_  
David Nuñez

STATE OF \_\_\_\_\_ )  
 ) ss.  
COUNTY OF \_\_\_\_\_ )

On this \_\_\_\_\_ day of \_\_\_\_\_, 2001, before me personally appeared David Nuñez, to me known to be the person described in and who executed the foregoing instrument and acknowledged that he executed the same as his free act and deed.


\_\_\_\_\_  
Notary Public

Matthew J. Novak

STATE OF \_\_\_\_\_ )  
 ) ss.  
COUNTY OF \_\_\_\_\_ )

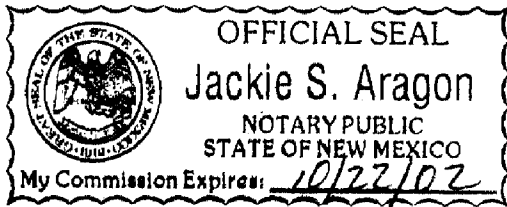
On this 17<sup>th</sup> day of May, 2001, before me personally appeared Matthew J. Novak, to me known to be the person described in and who executed the foregoing instrument and acknowledged that he executed the same as his free act and deed.

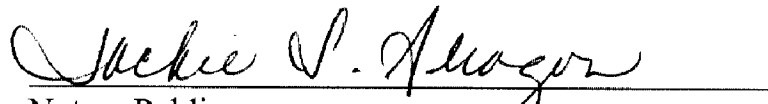
Notary Public

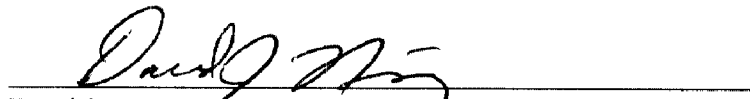
  
Russell E. Abbink

STATE OF New Mexico )  
 ) ss.  
COUNTY OF Bernalillo )

On this 17<sup>th</sup> day of May, 2001, before me personally appeared Russell E. Abbink, to me known to be the person described in and who executed the foregoing instrument and acknowledged that he executed the same as his free act and deed.

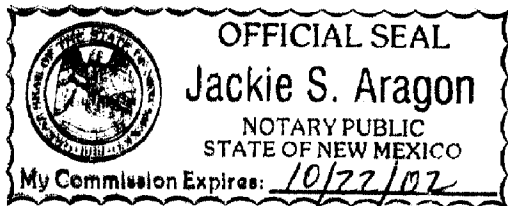


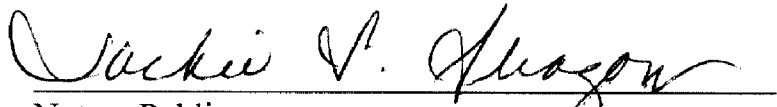
  
Notary Public

  
David Nuñez

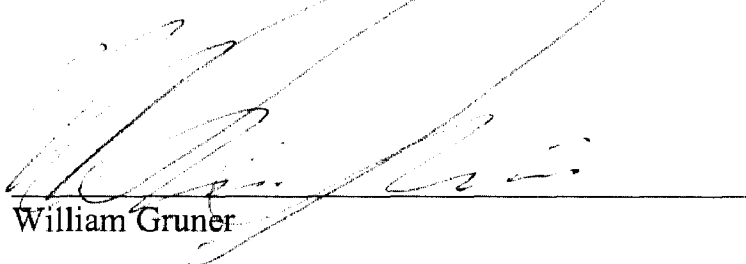
STATE OF New Mexico )  
 ) ss.  
COUNTY OF Bernalillo )

On this 17<sup>th</sup> day of May, 2001, before me personally appeared David Nuñez, to me known to be the person described in and who executed the foregoing instrument and acknowledged that he executed the same as his free act and deed.



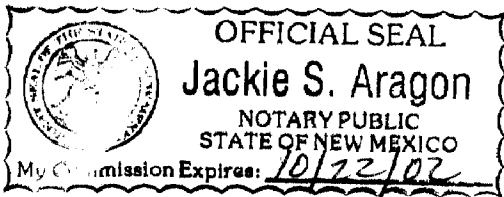
  
Notary Public

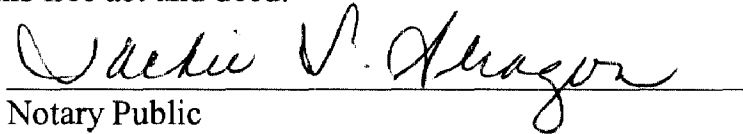



  
William Gruner

STATE OF New Mexico )  
COUNTY OF Bernalillo ) ss.

On this 17<sup>th</sup> day of May, 2001, before me personally appeared William Gruner, to me known to be the person described in and who executed the foregoing instrument and acknowledged that he executed the same as his free act and deed.

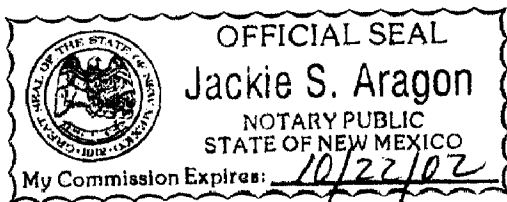


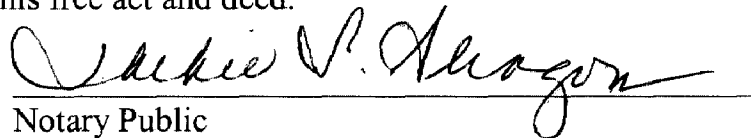
  
Notary Public

  
Robert D. Johnson

STATE OF New Mexico )  
COUNTY OF Bernalillo ) ss.

On this 17<sup>th</sup> day of May, 2001, before me personally appeared Robert D. Johnson, to me known to be the person described in and who executed the foregoing instrument and acknowledged that he executed the same as his free act and deed.



  
Notary Public