

RECORD.

08-03-2001



101798279

Application Number	09/852,914
Confirmation Number	4751
Filing Date	May 9, 2001
First Named Inventor	Cordell et al.
Examiner	To Be Assigned
Group Art	1632
Attorney Docket No.	SCIO004

ADDRESS TO:

Commissioner of Patents and Trademarks  
Box Assignment  
Washington, D.C. 20231

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):  
Barbara Cordell

2. Name and address of receiving party(ies):

Name: Scios Inc.

Internal Address:

Street Address: 749 North Mary Avenue

City: Sunnyvale State: California Zip: 94086

Additional name(s) & address(es) attached?

Additional name(s) of conveying party(ies) attached?

☒ Yes  
☐ No

3. Nature of conveyance:

☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other: \_\_\_\_\_

Execution Date(s): 05-24-2001

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application Number(s):  
09/852,914

B. Patent Number(s):

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Karl Bozicevic  
Street Address: BOZICEVIC, FIELD & FRANCIS, LLP  
200 Middlefield Road, Suite 200  
Menlo Park, CA 94025

Telephone: (650) 327-3400

Facsimile: (650) 327-3231

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$40.00

☐ Enclosed  
☒ Authorized to be charged to deposit account

8. The Commissioner is authorized to charge any fees which may be required, or credit any overpayment to Deposit Account 50-0815. If additional fees are required, including extensions of time, please consider this a petition therefore.

08/02/2001 LNUELLER 00000188 500815 09852914

01 FC:581 40.00 CH

DO NOT USE THIS SPACE

9. Statement and Signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Cheryl Franke, Reg. No. 44,113

Name of Person Signing

Signature

07-19-2001

Date

ADDITIONAL NAMES AND ADDRESSES

**ASSIGNMENT OF APPLICATION  
(JOINT)**

Address to:  
Box Assignment  
Commissioner for Patents  
Washington, D.C. 20231

Attorney Docket Number	SCIO004
First Named Inventor	Barbara Cordell et al.
Application Number	09/852,914
Filing Date	May 9, 2001
Group Art Unit	To Be Assigned
Examiner Name	To Be Assigned
Title	MODULATION OF $\gamma$ -SECRETASE ACTIVITY

THIS ASSIGNMENT, by Barbara Cordell, Jeffrey N. Higaki and Mitchell Mutz (hereinafter referred to as the assignors), residing at 4051 Ben Lomond Drive, Palo Alto, California 94306, USA; 2111 Windrose Place, Mountain View, California 94043, USA and 2298 Oberlin Street, Palo Alto, California 94306, USA respectively, witnesseth:

WHEREAS, the said assignors have invented certain new and useful improvements in MODULATION OF  $\gamma$ -SECRETASE ACTIVITY

X for which an application for a United States Patent was filed on May 9, 2001, Application No. 09/852,914.  
for which an application for a United States Patent was executed on     , and

WHEREAS, Scios Inc. a corporation duly organized under and pursuant to the laws of Delaware, and having its principal place of business at 749 North Mary Avenue, Sunnyvale, California 94086 (hereinafter referred to as the assignee) is desirous of acquiring the entire right, title and interest in and to said invention and said application for Letters Patent of the United States, and in and to any Letters Patent or Patents, United States or foreign, to be obtained therefor and thereon:

NOW THEREFORE, in consideration of One Dollar (\$1.00) and other good and sufficient considerations, the receipt of which is hereby acknowledged, said assignors have sold, assigned, transferred and set over, and by these presents do sell, assign, transfer and set over, unto the assignee, its successors, legal representatives and assigns, the entire right, title and interest in and to the above-mentioned invention, application for Letters Patent, and any and all Letters Patent or Patents in the United States of America and all foreign countries which may be granted therefor and thereon, and in and to any and all divisions, continuations, and continuations-in-part of said application, or reissues or extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by said assignee, for its own use and behalf and the use and behalf of its successors, legal representatives and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted, as fully and entirely as the same would have been held and enjoyed by the assignors, had this sale and assignment not been made.

AND for the same consideration, said assignors hereby covenant and agree to and with said assignee, its successors, legal representatives and assigns, that, at the time of execution and delivery of these presents, said assignors are the sole and lawful owners of the entire right, title and interest in and to said invention and the application for Letters Patent above-mentioned, and that the same are unencumbered and that said assignors have good and full right and lawful authority to sell and convey the same in the manner herein set forth.

AND for the same consideration, said assignors hereby covenant and agree to and with said assignee, its successors, legal representatives and assigns, that said assignors will, whenever counsel of said assignee, or the counsel of its successors, legal representatives and assigns, shall advise that any proceeding in connection with said invention, or said application for Letters Patent, or any proceeding in connection with Letters Patent for said invention in any country, including interference proceedings, is lawful and desirable, or that any division, continuation or continuation-in-part of any application for Letters Patent or any reissue or extension of any Letters Patent, to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of Letters Patent for said invention, without charge to said assignee, its successors, legal representatives and assigns, but at the cost and expense of said assignee, its successors, legal representatives and assigns.

AND said assignors hereby request the Commissioner of Patents to issue said Letters Patent of the United States to said assignee as the assignee of said invention and the Letters Patent to be issued thereon for the sole use and behalf of said assignee, its successors, legal representatives and assigns.

Date 5.24.01

Name of Inventor

Barbara Cordell  
Barbara Cordell

Date 6-8-01

Name of Inventor

Jeffrey N. Higaki  
Jeffrey N. Higaki

Date 6/12/01

Name of Inventor

Mitchell Mutz  
Mitchell Mutz

# CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

State of California

County of

SANTA CLARA

SS.

On May 2<sup>nd</sup>, 2001, before me, SANDRA J. LOETHEN, NOTARY PUBLIC

Date

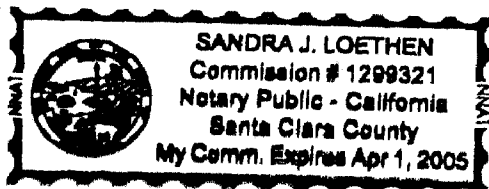
Name and Title of Officer (e.g., "Jane Doe, Notary Public")

personally appeared BARBARA CORDELL

Name(s) of Signer(s)

☒ personally known to me  
☐ proved to me on the basis of satisfactory evidence

to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.



Place Notary Seal Above

WITNESS my hand and official seal.

Sandra J. Loethen  
Signature of Notary Public

## OPTIONAL

Though the information below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent removal and reattachment of this form to another document.

### Description of Attached Document

Title or Type of Document: Assignment of APPLICATION (Joint)

Document Date: 05/09/01 Number of Pages: 1

Signer(s) Other Than Named Above: Jeffrey N. Higaki and Mitchell Mutz

### Capacity(ies) Claimed by Signer

Signer's Name: BARBARA CORDELL

☒ Individual

☐ Corporate Officer — Title(s): \_\_\_\_\_

☐ Partner — ☐ Limited ☐ General

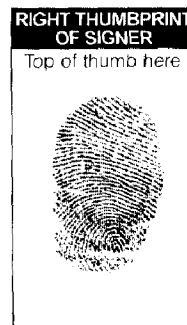
☐ Attorney in Fact

☐ Trustee

☐ Guardian or Conservator

☐ Other: \_\_\_\_\_

Signer Is Representing: \_\_\_\_\_



# CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

State of California

County of SANTA CLARA

SS.

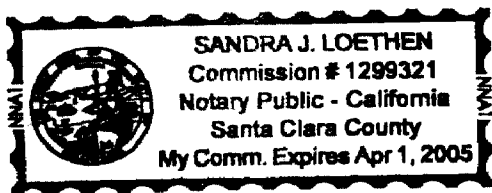
On June 8, 2001 <sup>sgl</sup>  
~~May 25, 2001~~, before me, Sandra J. Loethen, Notary Public  
Date Name and Title of Officer (e.g., "Jane Doe, Notary Public")

personally appeared Jeffrey W. Higaki  
Name(s) of Signer(s)

☒ personally known to me

☐ proved to me on the basis of satisfactory evidence

to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.



Place Notary Seal Above

WITNESS my hand and official seal.

Sandra J. Loethen  
Signature of Notary Public

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Document Date: 05/09/01 Number of Pages: 1

Signer(s) Other Than Named Above: Barbara Correll and Mitchell Mutz

### Capacity(ies) Claimed by Signer

Signer's Name: Jeffrey W. Higaki

☒ Individual

☐ Corporate Officer — Title(s): \_\_\_\_\_

☐ Partner — ☐ Limited ☐ General

☐ Attorney in Fact

☐ Trustee

☐ Guardian or Conservator

☐ Other: \_\_\_\_\_

Signer Is Representing: \_\_\_\_\_

RIGHT THUMBPRINT  
OF SIGNER

Top of thumb here

