

08-06-2001

To the Honorable Commissioner of Patents and Trademarks

original documents or copy thereof.

1. Name of conveying party(ies):

**Sun-Chang KIM**

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other

Execution Date: July 4, 2001

101800947

Name: **Korea Advanced Institute of Science  
and Technology**

Internal Address: **373-1, Kusong-Dong**

Street Address: **Yusong-gu**

City: **Taejon** State: **Republic of Korea**

Zip: **305-701**

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of this application is:

A. Patent Application No.(s)

09/600,710

**EXPRESS MAIL CERTIFICATE**  
Date: 7/27/01 Label No: 65903058429  
I hereby certify that, on the date indicated above, this paper or  
fee was deposited with the U.S. Postal Service & that it was  
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B. Patent Application No.(s)

Daisy Perez Daisy Perez  
Name (Print) Signature

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Darby & Darby P.C.

Internal Address: S. Peter Ludwig

Street Address: 805 Third Avenue, 27th Floor

City: New York State: New York Zip: 10022-7513

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit account number:

04-0100

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Petra Sansom (45,741)  
Name of Person Signing

Petra Sansom  
Signature

July 24, 2001  
Date

Total number of pages including cover sheet, attachments, and document:

3

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

**PATENT**  
**REEL: 012029 FRAME: 0125**

**ASSIGNMENT**

I, **Sun-Chang KIM**, a citizen of Korea, residing at Dasol Apt. 103-702, Goong-Dong, Yuseong-Ku, Daejon 305-335, Republic of Korea, hereinbelow called the "Assignor", has made a certain invention in

**NOVEL PEPTIDES HAVING BIOLOGICAL ACTIVITY**

for which an international application designating the U.S. was filed on 22 January 1999 under International Application No. PCT/KR99/00036; and

WHEREAS, **Korea Advanced Institute of Science and Technology**, a corporation organized and existing under and by the laws of Korea, having a place of business at **373-1, Kusong-Dong, Yusong-gu, Taejon 305-701, Republic of Korea**

below called the "Assignee", is desirous of securing my entire right, title and interest in the United States in and to the said invention, application and Letters Patent, when granted, and in and to any divisions, divisionals, continuations, improvements, reissues or extensions that may be made or granted thereon;

NOW, THEREFORE, BE IT KNOWN that for and in consideration of the sum of One Dollar (\$1.00) to me in hand paid by the said Assignee, and other good and valuable consideration, the receipt of all of which is hereby acknowledged, I, the said Assignor has sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over unto the said Assignee, its successors and assigns, all of my right, title and interest in the United States in and to the said invention, application and Letters Patent, when granted, and in and to any divisions, continuations, improvements, reissues or extensions that may be made or granted on any of them;

TO HAVE AND TO HOLD the same to the full end of the term or terms for which said Letters Patent may be granted, as fully and completely as the same might be held by me had this sale and assignment not been made.

For the consideration aforesaid, I hereby covenant and agree to and with the said Assignee its successors and assigns, that whenever its counsel or representative, or the counsel or representative of its successors or assigns, shall advise that an amendment to, or a division of, or any other proceeding or action

in connection with said application or invention, including interference proceedings, is lawful and desirable, or that a reissue or continuation or extension of said Letters Patent is lawful and desirable, I will sign all papers and drawings, take all rightful oaths and affidavits, and do all acts necessary or required to be done for the procurement of valid Letters Patent for said invention, or for the reissue or continuation or extension of the same, will do all acts necessary or required to secure the said Assignees, its successors, and assigns, the title to and full benefit of all rights hereby assigned, without charge to said Assignees or its successors or assigns, but at its or their expense;

AND the Commissioner of Patents and Trademarks is requested to issue the said Letters Patent, when granted, in accordance with this sale and assignment.

I declare under penalty of perjury under the laws of the United States of America that I have signed this document as my own free act and that all of the foregoing is true and correct.

Dated: July 4, 2001

  
Sun-Chang KIM, Inventor

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RECORDED: 07/30/2001

PATENT  
REEL: 012029 FRAME: 0127