

FORM PTO-1595 (Modified)
U.S. DEPARTMENT OF COMMERCE

08-07-2001

Cover Sheet

Patent and Trademark Office

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To The Honorable Commissioner of Patent

and attached original documents or copy thereof.

1. Name of conveying party(ies):

William Levy
Robert A. Sherwood

08/01/01

Additional names(s) of conveying party(ies) ___ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ___ Merger
___ Security Agreement ___ Change of Name
___ Other

Execution Date: 07/17/01 and 07/20/01

2. Name and address of receiving party(ies):

Name: The Holmes Group, Inc.

Internal Address:

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AUG - 1 2001

Street Address: One Holmes Way

City: Milford State: MA Zip: 01757-1516

Additional names(s) & address(es)? ___ Yes ☒ No

4. Application number(s) or registration numbers:

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)
09/844,995

B. Patent No.(s):

Additional numbers attached: ___ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Glenn T. Henneberger, Esq.

Internal Address: Hoffmann & Baron, LLP

6900 Jericho Turnpike

Syosset, New York 11791

6. Total number of applications and patents involved: 1

7. Total Fee (37 CFR 3.41): \$ 40.00

☒ Enclosed - Any excess or insufficiency should be credited
or debited to deposit account.

___ Authorized to be charged to deposit account.

8. Deposit account no: 08-2461

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9. Statement and signature,

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of
the original document.

Glenn T. Henneberger

Name of Person Signing

Signature

July 30, 2001
Date

Total number of pages including cover sheet, attachments, and document: 4

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For: ☒ U.S. and/or ☐ Foreign Rights
For: ☒ U.S. Application;
☐ U.S. Provisional Application;
☒ U.S. Patent; or
☐ PCT Application
By: ☒ Inventors or ☐ Present Owners

ASSIGNMENT OF INVENTION

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

ASSIGNORS: (inventor(s) or person(s) or entity(ies) who own the invention)

William Levy

Name

Robert A. Sherwood

Name

217 Dutcher Street

Address

9253 McCabe Drive

Address

Hopedale, MA 01747El Paso, Texas 79925U.S.A.

Nationality

U.S.A.

Nationality

(If assignment is by person or entity to whom invention was previously assigned and this was recorded in PTO add the following)

Recorded on _____

Reel _____

Frame _____

hereby sells, assigns and transfers to

ASSIGNEE:

THE HOLMES GROUP, INC.

(Type or print name of ASSIGNEE)

ONE HOLMES WAY

Address

MILFORD, MASSACHUSETTS 01757-1516U.S.A.

Nationality

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and the successors, assigns and legal representatives of the ASSIGNEE,

(complete one of the following)

- ☒ the entire right, title and interest
☐ an undivided _____ percent (_____%) interest

for the United States and its territorial possessions

- ☒ and in all foreign countries, including all rights to claim priority,

in and to any and all improvements which are disclosed in the invention entitled:

and which is found in

(check and complete (a), (b), (c), (d), (e), (f), or (g))

- (a) ☐ U.S. patent application executed on even date herewith
(b) ☐ U.S. patent application executed on _____
(c) ☐ U.S. provisional application naming the above inventor(s) for the above-identified invention.
☐ Express Mail label No.: _____, mailed: _____
☐ To comply with 37 CFR 3.21 for recordal of this assignment, I, an ASSIGNOR signing below, hereby authorize and request my attorney to insert below the filing date and application number when they become known
(d) ☒ U.S. application Serial No. **09/844,995** filed on **April 27, 2001**
(e) ☐ International application No. PCT/_____/_____
(f) ☐ U.S. Patent No. _____ issued _____
☐ A change of address to which correspondence is to be sent regarding patent maintenance fees is being sent separately.
(g) ☐ and any legal equivalent thereof in a foreign country, including the right to claim priority

and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to Letters Patent any re-issue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said

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Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I/We have hereunto set hand and seal this

WARNING: Date of signing must be the **same** as the date of execution of the application if item (a) was checked above.

Date: X 7/20/01
Date of signing

X William Levy
William Levy

Date: X 7/17/01
Date of signing

X Robert A. Sherwood
Robert A. Sherwood

NOTE: No witnessing, notarization or legalization is necessary. If the assignment is notarized or legalized then it will only be prima facie evidence of execution 35 USC 261. Use next page if notarization is desired.

☐ Notarization or Legalization Page Added.

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RECORDED: 08/01/2001

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