

08-07-2001

Case Name: SP01-121

PA



T

To the Honorable Commissioner

101802884
copy thereof.

Record the attached documents or

(1) Conveying Party(ies): Steven B. Dawes
07/26/01 Michael E. DeRosa
Robert J. Hagerty
Jianguo Wang

Title: PHOTSENSITIVE MATERIAL SUITABLE FOR making waveguides AND METHOD
OF MAKING WAVEGUIDES utilizing THIS photosensitive optical material

Additional name(s) of conveying party(ies) attached? Yes ☐ No ☒

(2) Receiving Party: Corning Incorporated
Patent Dept.; SP-TI-03-1
Corning, NY 14831

(3) Nature of conveyance: Assignment executed: 7-19-01, 7-19-01, 7-23-01, and 7-20-01.

(4) Application number(s) and/or patent number(s) against which this assignment is to be recorded:

A. Patent Application No.(s): 09/850394
Filing date: May 7, 2001

B. Patent No.(s):
Filing date:

If this document is being filed together with a new application, this assignment is also to be recorded
against said application, executed on _____.

(5) Name and address of party to whom correspondence concerning document should be mailed:

Name: Svetlana Short
Reg. No. 34,432
Corning Incorporated
Patent Department
SP-TI-03-1
Corning, NY 14831
Phone No.: (607) 974-0412

(6) Total Number of applications and/or patents involved: one

(7) Total Fee = \$40.00



Enclosed (Check No.)



Included with filing fee. Check No. _____.



Authorization given by Corning Incorporated to charge deposit account number 03-
3325 (attached is a triplicate copy of this page)

To the best of my knowledge and belief, the foregoing information is true and correct and any attached
copy is a true copy of the original document.

Svetlana Short
Svetlana Short

7/24/01
Date

06/06/2001 AMHED1 00000087 033325 09850394
01 FC:SM 40.00 CH

PATENT
REEL: 012033 FRAME: 0087

ASSIGNMENT

FOR VALUE RECEIVED, I, as a below named inventor, hereby sell, assign and transfer unto Corning Incorporated, a corporation organized and existing under the laws of the State of New York, having its principal place of business at Corning, New York, (hereinafter CORNING), as assignee, and unto its successors, assigns and legal representatives, the entire right, title and interest, for all countries, in and to certain inventions relating to a **PHOTOSENSITIVE MATERIAL SUITABLE FOR making waveguides AND METHOD OF MAKING WAVEGUIDES utilizing THIS photosensitive optical material**, such inventions being generally described in an application for Letters Patent of the United States executed on

DATE July 19, 2001
DATE July 19, 2001
DATE July 23, 2001
DATE July 20, 2001

and in any future patent applications claiming the benefit of the filing date of that application, and all the rights and privileges under any and all Letters Patents that may be granted therefore.

I request that any and all patents for said inventions be issued to CORNING, its successors, assigns and legal representatives, or to such nominees as CORNING may designate.

I agree that, when requested, I will, without charge to CORNING and at CORNING's expense, sign all papers, take all rightful oaths, and do all acts which may be necessary, desirable or convenient for securing and maintaining patents for said inventions in any and all countries and for vesting title thereto in CORNING, its successors, assigns and legal representatives or nominees.

I authorize and empower CORNING, its successors, assigns and legal representatives or nominees, to invoke and claim for any application for patent or other form of protection for said inventions filed by it or them, the benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for said Convention or entered as a supplement to, and to invoke and claim such right of priority without further written or oral authorization.

I hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any assignment, consent to file or like document which may be required in any country for any purpose and more particularly in proof of the right of CORNING, or its successors, assigns and legal representatives or nominees to claim the aforesaid benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for it or entered as a supplement to it.

I covenant with CORNING, its successors, assigns and legal representatives or nominees, that the rights and property herein conveyed are free and clear of any encumbrance, and that I have full right to convey the same as herein expressed.

Signed at Corning, NY, this

July 19, 2001
Date

Steven B. Dawes
Steven B. Dawes

July 19, 2001
Date

Michael E. DeRosa
Michael E. DeRosa

7/23/01
Date

Robert J. Hagerty
Robert J. Hagerty

July 20, 2001
Date

Jianguo Wang
Jianguo Wang

State of New York)
: ss.
County of Steuben)

On the 19th day of July 2001, before me personally came **Steven B. Dawes** known to be the person described in and who executed the foregoing instrument, and acknowledged that they executed the same.

Charlene Hevey
Notary Public

State of New York)
: ss.
County of Steuben)

On the 19th day of July 2001, before me personally came **Michael E. DeRosa** known to be the person described in and who executed the foregoing instrument, and acknowledged that they executed the same.

CHARLENE HEVEY
Notary Public, State Of New York
No. 01HE6055884
Qualified In Steuben County
Commission Expires March 12, 2003

Charlene Hevey
Notary Public

State of New York)
: ss.
County of Steuben)

On the 23rd day of July 2001, before me personally came **Robert J. Hagerty** known to be the person described in and who executed the foregoing instrument, and acknowledged that they executed the same.

CHARLENE HEVEY
Notary Public, State Of New York
No. 01HE6055884
Qualified In Steuben County
Commission Expires March 12, 2003

Charlene Hevey
Notary Public

State of New York)
: ss.
County of Steuben)

On the 20th day of July 2001, before me personally came **Jianguo Wang** known to be the person described in and who executed the foregoing instrument, and acknowledged that they executed the same.

CHARLENE HEVEY
Notary Public, State Of New York
No. 01HE6055884
Qualified In Steuben County
Commission Expires March 12, 2003

Michele N. O'Dell
Notary Public

MICHELE N. O'DELL
Notary Public, State of New York
Schoyler County No. 4712316
Term Expires 5/31/02