

08-07-2001

rice
e



101802192

RECORDATION FORM COVER SHEET
PATENTS ONLY

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

Submission Type

☒ New

☐ Resubmission (Non-Recordation)

Document ID#

☐ Correction of PTO Error

Reel #

Frame #

☐ Corrective Document

Reel #

Frame #

Conveyance Type

☒ Assignment

☐ Security Agreement

☐ License

☐ Change of Name

☐ Merger

☐ Other

U.S. Government

(For Use ONLY by U.S. Government Agencies)

☐ Departmental File

☐ Secret File

Conveying Party(ies)

☐ Mark if additional names of conveying parties attached

Execution Date
Month Day Year

Name (line 1) Griebat, John

04/20/2001

Name (line 2)

Execution Date
Month Day Year

Second Party

Name (line 1) Strief, David

06/28/2001

Name (line 2)

Receiving Party

☐ Mark if additional names of receiving parties attached

Name (line 1) The Quaker Oats Company

Name (line 2)

Address (line 1) 321 N. Clark Street

Address (line 2)

Address (line 3) Chicago

IL

60610

City

State/Country

Zip Code

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name Lars S. Johnson

Address (line 1) The Quaker Oats Company

Address (line 2) 321 N. Clark Street, Mail Code 25-7

Address (line 3)

Address (line 4) Chicago, IL 60610

08/06/2001 LMUELLER 00000161 170025 09661139

01 FC:581

40.00 CH

FOR OFFICE USE ONLY

Public burden reporting for this collection of information is estimated to average approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and gathering the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Chief Information Officer, Washington, D.C. 20231 and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (0651-0027), Washington, D.C. 20503. See OMB Information Collection Budget Package 0651-0027, Patent and Trademark Assignment Practice. DO NOT SEND REQUESTS TO RECORD ASSIGNMENT DOCUMENTS TO THIS ADDRESS.

Mail documents to be recorded with required cover sheet(s) information to:
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

PATENT
REEL: 012035 FRAME: 0148

Correspondent Name and Address

Area Code and Telephone Number (312) 222-7407

Name Lars S. Johnson

Address (line 1) The Quaker Oats Company

Address (line 2) 321 N. Clark Street, Mail Code 25-7

Address (line 3)

Address (line 4) Chicago, IL 60610

Pages Enter the total number of pages of the attached conveyance document including any attachments.

three (3)

Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

09/661,139

Patent Number(s)

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

Patent Cooperation Treaty (PCT)

Enter PCT application number
only if a U.S. Application Number
has not been assigned.

PCT

PCT

PCT

PCT

PCT

PCT

Number of Properties

Enter the total number of properties involved.

#

1

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$ 40.00

Method of Payment:
Deposit Account

Enclosed ☐

Deposit Account ☒

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

17-0025

Authorization to charge additional fees:

Yes ☐

No ☒

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Lars S. Johnson

Name of Person Signing

Signature

Date

7-25-01

ASSIGNMENT

IN CONSIDERATION of One Dollar and other good and valuable considerations, the sufficiency and receipt of which is hereby acknowledged, we, John Griebat and David Strief, hereby assign to THE QUAKER OATS COMPANY, a corporation of the State of New Jersey having its principal office in Chicago, Illinois, United States of America, its successors and assigns, the entire right, title and interest in the container design inventions, as set forth below in a utility patent application for METHOD FOR PROVIDING MILLING SERVICES, as set forth in an application filed on the 13th day of September 2000, and assigned Serial No. 09/661,139, attorney's docket number 1709, executed by us respectively, the 20 day of April, 2001, and the 28 day of JUNE, 2001, (a representative of the named assignee being hereby authorized to insert said execution dates when ascertained), including any and all divisional and continuation applications, and in any and all Letters Patents, reissues and extensions issuing thereon, and we hereby authorize and request the Commissioner of Patents to assign said Letters Patents to said THE QUAKER OATS COMPANY.

FOR SAID CONSIDERATION, we hereby agree, upon the request and on behalf of said assignee, its successors and assigns, to execute any and all divisional and continuing applications for said invention, and any necessary oath or supplemental oath or affidavit relating thereto, and any application for the reissue or extension of said Letters Patents and any Letters Patent that may be granted upon any divisional and continuation applications that said assignee, its successors or assigns, may deem necessary or expedient, and for the said considerations we further agree upon the request of said assignee, its successors and assigns, in the event of said Letters Patents or any division or continuation thereof, or any Letters Patent issued thereon, or any reissue or application for the reissue thereof, becoming involved in interference, to cooperate to the best of our ability with said assignee, its successors or assigns, in the matters of preparing and executing the preliminary statement and giving and producing evidence in support thereof, we hereby agree to perform, upon such request and at the expense of said assignee, any and all affirmative acts to obtain said Letters Patents and vest all rights therein hereby conveyed in the said assignee, its successors and assigns, as fully and entirely as the same would have been held and enjoyed by us if this assignment and sale had not been made. And for the said considerations we hereby assign to said THE QUAKER OATS COMPANY, its successors and assigns, the entire right, title and interest in said invention for any and all foreign countries and agree upon the request of said THE QUAKER OATS COMPANY, its successors and assigns, to execute any and all documents that shall be required of us to be executed in connection with any and all applications for foreign Letters Patent therefor, including the prosecution thereof, and to execute any and all documents necessary to vest title in said foreign applications and patents in said assignee at the expense of said assignee.

EXECUTED this 20th day of April, 2001.

By: [Signature]
Name: John Griebat

STATE OF MISSOURI)
COUNTY OF JACKSON) SS.

On this 20 day of April, 2001, before a Notary Public in and for the County and State aforesaid, appeared John Griebat, to me personally known to be the same person whose name is subscribed to the foregoing instrument, and acknowledged that he executed said instrument as a free and voluntary act and for the uses and purposes therein expressed.

WITNESS my hand and seal the day and year last above given.

[Signature] (SEAL)
Notary Public

SHARON S. CHATTO
Notary Public - State of Missouri
Commissioned in Jackson County
My Commission Expires 4-27-2004

EXECUTED this 28 day of June, 2001.

By: 
Name: David Strief

STATE OF Iowa)
COUNTY OF Linn) SS.

On this 28 day of JUNE, 2001, before a Notary Public in and for the County and State aforesaid, appeared David Strief, to me personally known to be the same person whose name is subscribed to the foregoing instrument, and acknowledged that he executed said instrument as a free and voluntary act and for the uses and purposes therein expressed.

WITNESS my hand and seal the day and year last above given.

 (SEAL)
Notary Public

My Commission Expires 8/31/04