

08-08-2001



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U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICETO THE HONORABLE DIRECTOR OF  
ORIGINAL DOCUMENTS OR COPY

TRADEMARK OFFICE. PLEASE RECORD THE ATTACHED

## 1. Name of conveying party(ies):

(a) Stephen D. Walker (d) Harry L. Valenta, Jr.  
(b) John E. Repine (e) Peter E. Nelson  
(c) Charles W. Henry (f) R. Dale Zellers

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

## 2. Name and address of receiving party(ies):

Name: **NOSTIX LLC**

Street Address: North Suite, 4864 Sterling Drive

City: Boulder CO 80301

Country: U.S.A.

31017 U.S. PTO  
09/17/01  
07/27/01

## 3. Nature of Conveyance:

☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: (a) June 1, 2001; (b) May 30, 2001;  
(c) May 15, 2001; (d) May 31, 2001; (e) June 1, 2001; (f) June 1, 2001

## Name and address of receiving party(ies):

Name:

Street Address:

City: State: Zip:

Country:

Additional name(s) &amp; address(es) attached?

☐ Yes ☒ No

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: (a) June 1, 2001;  
(b) May 15, 2001; (c) May 15, 2001; (d) May 31, 2001; (e) June 1, 2001; (f) June 1, 2001

A. Patent Application No.(s) - 09/917414

Title: **NONINVASIVE OPTICAL SENSOR FOR MEASURING  
NEAR INFRARED LIGHT ABSORBING ANALYTES**

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Ken J. Koestner  
Internal Address: SKJERVEN MORRILL MacPHERSON LLP  
Street Address: 25 METRO DRIVE, SUITE 700  
City SAN JOSE State CA Zip 95110

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$40.00

- ☒ Authorized to be charged to Deposit Account 19-2386  
☒ Charge Deposit Account 19-2386 for any additional fees required for this conveyance and credit deposit account 19-2386 any amounts overpaid

08/07/2001 GTOW11 00000049 192386 09917414  
01 FC:581 40.00 CH

DO NOT USE THIS SPACE

## 8. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Ken J. Koestner 33,004  
Name of Person Signing

Ken Koestner  
Signature

July 27, 2001  
Date

Total number of pages comprising cover sheet: 1

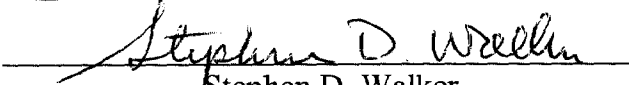
## ASSIGNMENT

For good and valuable consideration, receipt of which is hereby acknowledged, we, Stephen D. Walker; John E. Repine; Charles W. Henry; Harry L. Valenta, Jr.; Peter E. Nelson; and R. Dale Zellers, hereby sell, assign and transfer to **NOSTIX LLC**, a Colorado limited liability company, having a place of business at North Suite, 4864 Sterling Drive, Boulder, CO 80301, its successors and assigns, the entire right, title and interest throughout the world in our invention in:

### NONINVASIVE OPTICAL SENSOR FOR MEASURING NEAR INFRARED LIGHT ABSORBING ~~COMPOUNDS~~ ANALYTES

for which we have executed a United States patent application on or about the date of this assignment, and all patent applications and patents of every country for said invention, including divisions, reissues, continuations and extensions thereof, and all rights of priority resulting from the filing of said applications; we authorize the above-named assignee to apply for patents of foreign countries for said invention, and to claim all rights of priority without further authorization from us; we agree to execute all papers useful in connection with said United States and foreign applications, and generally to do everything possible to aid said assignee, their successors, assigns and nominees, at their request and expense, in obtaining and enforcing patents for said invention in all countries; and we request that the United States Patent and Trademark Office issue all patents granted for said invention to the above-named assignee, its successors and assigns.

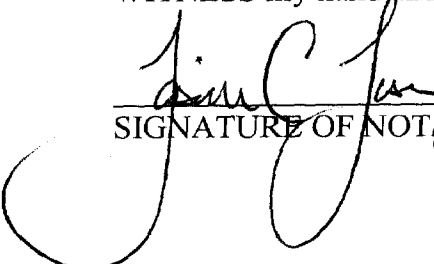
Executed this 1 day of June, 2001.

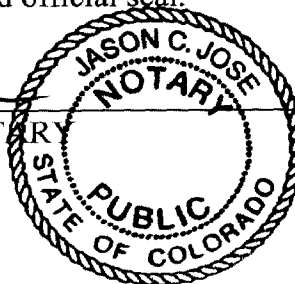
  
Stephen D. Walker

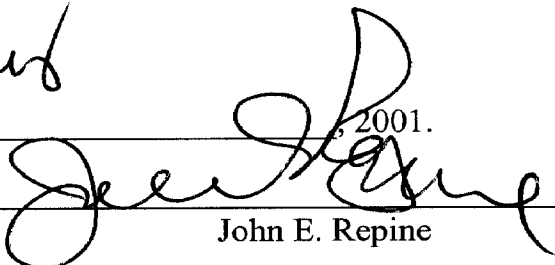
State of Colorado )  
County of Boulder ) ss.

On 6/1/01 before me, JASON JOSE  
personally appeared Stephen D. Walker personally known to me or proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is(are) subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

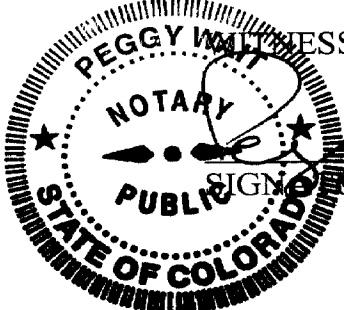

  
SIGNATURE OF NOTARY

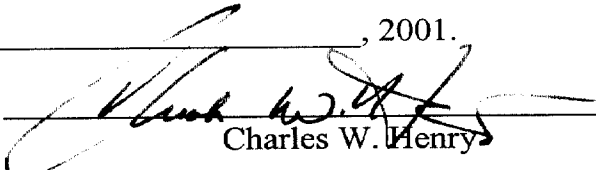


Executed this 30<sup>th</sup> day of may, 2001.  
  
 John E. Repine

State of Colorado )  
 ) ss.  
 County of Denver )

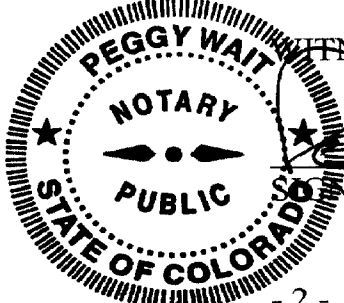
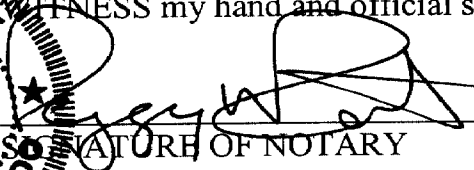
On 30<sup>th</sup> of May 2001 before me, Peggy Wait  
 personally appeared John E. Repine personally known to me or proved to me on the  
 basis of satisfactory evidence to be the person(s) whose name(s) is(are) subscribed to the  
 within instrument and acknowledged to me that he/she/they executed the same in his/her/their  
 authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s),  
 or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.  
  
  
 SIGNATURE OF NOTARY

Executed this 15 day of May, 2001.  
  
 Charles W. Henry

State of Colorado )  
 ) ss.  
 County of Denver )

On May 15<sup>th</sup>, 2001 before me, Peggy Wait  
 personally appeared Charles W. Henry personally known to me or proved to me on  
 the basis of satisfactory evidence to be the person(s) whose name(s) is(are) subscribed to the  
 within instrument and acknowledged to me that he/she/they executed the same in his/her/their  
 authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s),  
 or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.  
  
  
 SIGNATURE OF NOTARY

Executed this 31 day of May, 2001.

Harry L. Valenta, Jr.  
Harry L. Valenta, Jr.

State of Colorado )  
 ) ss.  
County of Denver )

On May 31, 2001 before me, Jon M. Sanders  
personally appeared Harry L. Valenta, Jr. personally known to me or proved to me on  
the basis of satisfactory evidence to be the person(s) whose name(s) is(are) subscribed to the  
within instrument and acknowledged to me that he/she/they executed the same in his/her/their  
authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s),  
or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.



Jon M. Sanders  
SIGNATURE OF NOTARY

Executed this 1<sup>st</sup> day of June, 2001.

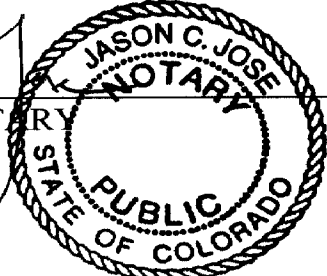
Peter E. Nelson  
Peter E. Nelson

State of Colorado )  
 ) ss.  
County of Boulder )

On 6/1/01 before me, JASON JOSE  
personally appeared Peter E. Nelson personally known to me or proved to me on the  
basis of satisfactory evidence to be the person(s) whose name(s) is(are) subscribed to the  
within instrument and acknowledged to me that he/she/they executed the same in his/her/their  
authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s),  
or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Jason C. Jose  
SIGNATURE OF NOTARY



Executed this 1<sup>st</sup> day of June, 2001.

R. Dale Zellers

R. Dale Zellers

State of Colorado )  
 ) ss.  
County of Boulder )

On 6/1/01 before me, JASON JOSE  
personally appeared R. Dale Zellers personally known to me or proved to me on the  
basis of satisfactory evidence to be the person(s) whose name(s) is(are) subscribed to the  
within instrument and acknowledged to me that he/she/they executed the same in his/her/their  
authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s),  
or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal

Jason C. Jose  
SIGNATURE OF NOTARY

