

08-09-2001



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PATENTS ONLY

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Submission Type

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☐ Resubmission (Not Recordation)
Document ID#
☐ Correction of PTO Error
Reel # Frame #
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Conveyance Type

☒ Assignment ☐ Security Agreement
☐ License ☐ Change of Name
☐ Merger ☐ Other
U.S. Government
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☐ Departmental File ☐ Secret File

10978 U.S. PTO
09/30/01
07/26/01

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☒ Mark if additional names of conveying parties attached

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Execution Date
Month Day Year
07 26 2001

Name (line 2)

Second Party

Name (line 1)
09/9/8/76

Execution Date
Month Day Year
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Name

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08/08/2001 DBYNE 00000048 501602 09918176
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REEL: 012042 FRAME: 0947

Correspondent Name and Address

Area Code and Telephone Number (914) 761-7799

Name LEO ZUCKER

Address (line 1) SUITE 480

Address (line 2) 50 MAIN STREET

Address (line 3)

Address (line 4) WHITE PLAINS, NY 10606-1975

Pages

Enter the total number of pages of the attached conveyance document including any attachments.

5

Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year
07 26 2001

Patent Cooperation Treaty (PCT)

Enter PCT application number
only if a U.S. Application Number
has not been assigned.

PCT PCT PCT
PCT PCT PCT

Number of Properties

Enter the total number of properties involved.

1

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$ 40.00

Method of Payment:
Deposit Account

Enclosed ☐ Deposit Account ☒

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Avaya Inc. Deposit Account Number:

50-1602

Authorization to charge additional fees:

Yes ☒ No ☐

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Leo Zucker

Name of Person Signing

500016-US

Signature

July 30, 2001

Date

RECORDATION FORM COVER SHEET
CONTINUATION
PATENTS ONLY

U.S. Department of Commerce
Patent and Trademark Office
PATENT

Conveying Party(ies)

☐ Mark if additional names of conveying parties attached

Enter additional Conveying Parties

Name (line 1) STEELE, Ted. E.

Execution Date
Month Day Year
07 26 2001

Name (line 2)

Name (line 1) STRAUB, JR., Paul J.

Execution Date
Month Day Year
07 26 2001

Name (line 2)

Name (line 1)

Execution Date
Month Day Year

Name (line 2)

Receiving Party(ies)

☐ Mark if additional names of receiving parties attached

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Name (line 2)

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Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

ASSIGNMENT AND AGREEMENT


For value received, we, Robert Ray Goodrich of Indianapolis in the County of Marion and State of Indiana; David L. Reed of Fountaintown in the County of Hancock and State of Indiana; Ted E. Steele of Greenfield in the County of Hancock and State of Indiana, and Paul J. Straub, Jr., of Mooreville in the County of Morgan and State of Indiana; do hereby sell, assign and transfer to Avaya Inc., a corporation of the State of Delaware, having an office at 211 Mount Airy Road, Basking Ridge, New Jersey 07920, U.S.A., and its successors, assigns and legal representatives, the entire right, title and interest, for the United States of America, in and to certain inventions related to **POSITIVE CONNECTION SYSTEM FOR HIGH FREQUENCY COMMUNICATION CONNECTORS** described in an application for Letters Patent of the United States, executed by us of even date herewith, and all the rights and privileges in said application and under any and all Letters Patent that may be granted in the United States for said inventions; and we also concurrently hereby sell, assign and transfer to Avaya Inc. the entire right, title and interest in and to said inventions for all countries foreign to the United States, including all rights of priority arising from the application aforesaid, and all the rights and privileges under any and all forms of protection, including Letters Patent, that may be granted in said countries foreign to the United States for said inventions.

We authorize Avaya Inc. to make application for such protection in its own name and maintain such protection in any and all countries foreign to the United States, and to invoke and claim for any application for patent or other form of protection for said inventions, without further authorization from us, any and all benefits, including the right of priority provided by any and all treaties, conventions, or agreements.

We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any document which may be required in any country in proof of the right of Avaya Inc. to apply for patent or other form of protection for said inventions and to claim the aforesaid benefit of the right of priority.

We request that any and all patents for said inventions be issued to Avaya Inc. in the United States and in all countries foreign to the United States, or to such nominees as Avaya Inc. may designate.

We agree that, when requested, we shall, without charge to Avaya Inc. but at its expense, sign all papers, and do all acts which may be necessary, desirable or convenient in connection with said applications, patents, or other forms of protection.


Robert Ray Goodrich

Date: 07/26/01

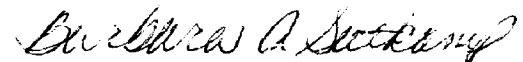
United States of America)

State of Indiana) ss.:

County of Marion)

On this 26 day of July, 2001, before me personally came Robert Ray Goodrich, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Notary Public


BARBARA A SATKAMP
NOTARY PUBLIC STATE OF INDIANA
MARION COUNTY
MY COMMISSION EXP. SEPT 4, 2008

David L. Reed

David L. Reed

Date: 7/26/01

United States of America)

State of Indiana) ss.:

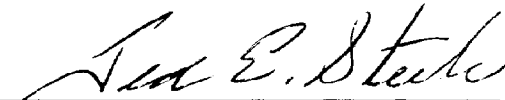
County of Marion)

On this 26 day of July, 20 01, before me personally came David L. Reed, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Notary Public

Barbara A. Satkamp

BARBARA A SATKAMP
NOTARY PUBLIC STATE OF INDIANA
MARION COUNTY
MY COMMISSION EXP. SEPT 4, 2008


Ted E. Steele

Date: 7/26/01


United States of America)


State of Indiana) ss.:

County of Marion)

On this 26 day of July, 2001, before me personally came Ted E. Steele, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Notary Public


BARBARA A SATKAMP
NOTARY PUBLIC STATE OF INDIANA
MARION COUNTY
MY COMMISSION EXP. SEPT 4, 2008


Paul J. Straub, Jr.

Date: 7/26/01

United States of America)

State of Indiana) ss.:

County of Marion)

On this 26 day of July, 20 01, before me personally came Paul J. Straub, Jr., to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Notary Public



BARBARA A SATKAMP
NOTARY PUBLIC STATE OF INDIANA
MARION COUNTY
MY COMMISSION EXP SEPT 4, 2008

Avaya Inc.
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