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ASSIGNMENT

08-10-2001

VER SHEET Patents Only



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To the Honorable Commissioner of Patents
Please record the attached original document.

Attorney Dkt: 5577-239
Date: July 26, 2001

1. Name of conveying party(ies):

Roy Frank Brabson
Lap Thiet Huynh

Additional name(s) of conveying party(ies) attached? Yes ☒ No

2. Name and address of receiving party(ies):

International Business Machines Corporation
Armonk, New York 10504

Additional name(s) & address(es) attached? Yes ☒ No

1050 U.S. PTO
09/915876
07/26/01

3. Nature of conveyance:

☒ Assignment
☐ Merger
☐ Security Agreement
☐ Change of Name
☐ Other

Execution Date: July 24, 2001

4. Application Serial No. to be assigned

Patent No.

If this document is being filed together with a new application, the execution date of the application is: July 24, 2001

Additional numbers attached? Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

USPTO Customer No. 20792
Timothy J. O'Sullivan
Myers Bigel Sibley & Sajovec
Post Office Box 37428
Raleigh, North Carolina 27627

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$ 40.00
☐ Enclosed
☒ Authorized to be charged to deposit account

8. Deposit account number: 09-0461

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9. Statement and signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Robert W. Glatz, Reg. No. 36,811

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments and document: 4

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ASSIGNMENT

THIS ASSIGNMENT, made by us, **Roy Frank Brabson**, a citizen of the United States of America, residing at 12201 Warwickshire Way, City of Raleigh, State of North Carolina, and **Lap Thiet Huynh**, a citizen of the United States of America, residing at 124 Tutbury Place, City of Apex, County of Wake, State of North Carolina,

WITNESSETH: That,

WHEREAS, we are the joint inventors of certain new and useful improvements in **METHODS, SYSTEMS AND COMPUTER PROGRAM PRODUCTS FOR KERNEL BASED TRANSACTION PROCESSING** for which an application for United States Letters Patent is filed concurrently herewith;

WHEREAS, International Business Machines Corporation (hereinafter referred to as "IBM"), a New York corporation having a principal place of business at Armonk, New York 10504, hereinafter referred to as assignee, is desirous of acquiring the entire right, title, and interest in and to said invention as described in said application, and in and to any and all Letters Patent which shall be granted therefor in the United States of America and all foreign countries;

NOW, THEREFORE, To Whom It May Concern, be it known that for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, we have sold and by these presents do hereby sell, assign, transfer, and convey unto the said assignee, its successors and assigns, the entire right, title, and interest in and to the said invention and application, and in and to any and all continuations, continuations-in-part, or divisions thereof, and in and to any and all Letters Patent of the United States of America and all foreign countries or reissues thereof which may be granted therefor or thereon, for the full end of the term for which said Letters Patent may be granted, together with the right to claim the priority of said application in all foreign countries in accordance with the International Convention, the same to be held and enjoyed by said assignee, its successors and assigns, as fully and entirely as the same would have been held and enjoyed by me if this assignment and sale had not been made.

We hereby request that said Letters Patent be issued in accordance with this assignment.

We further covenant and agree that, at the time of the execution and delivery of these presents, we possess full title to the invention and application above-mentioned, and that we have the unencumbered right and authority to make this assignment.

We further covenant and agree to bind our heirs, legal representatives, and assigns promptly to communicate to said assignee or its representatives any facts known to us relating to said invention, to testify in any interference or legal proceedings involving said invention, to execute any additional papers which may be requested to confirm the right of the assignee, its representatives, successors, or assigns to secure patent or similar protection for the said invention in all countries and to vest in the assignee complete title to the said invention and Letters Patent, without further compensation, but at the expense of said assignee, its successors, assigns, and other legal representatives.

IN WITNESS WHEREOF, I have hereunto set my hand and seal on this 24th
day of July, 2001.

Roy Frank Brabson (SEAL)
Roy Frank Brabson

5577-239

IN WITNESS WHEREOF, I have hereunto set my hand and seal on this 24th
day of July, 2001.

Lap Thiet Huynh (SEAL)
Lap Thiet Huynh

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