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RE1



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U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office
Docket No. _____

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Katsumi OKAYAMA
Naoyuki ISHIGAKI
Shuhei OKUMURA

8-6-01

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: June 22, 2001

2. Name and address of receiving party(ies)

Name: Sumitomo Special Metals Co., Ltd. _____

Internal Address: 4-7-19, Kitahama, Chuo-ku _____

Osaka-shi, Osaka 541-0041 JAPAN

Street Address: 4-7-19, Kitahama, Chuo-ku _____

Osaka-shi

City: Osaka _____ State: _____ Zip: _____

Country: Japan _____ Postal Code: 541-0041 _____

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s);

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

09/851,423

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Jeffrey L. Costellia _____

Internal Address: Nixon Peabody LLP

Street Address: 8180 Greensboro Drive, _____

Suite 800

City: McLean _____ State: VA _____ Zip: 22102

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41)\$40.00

☒ Enclosed☐ Authorized to be charged to deposit account

8. Deposit account number:

19-2380

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Jeffrey L. Costellia

Name of Person Signing

Signature

August 1, 2001

Date

Total number of pages including cover sheet, attachments, and documents: 3

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on

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PATENT 0183

NIXON PEABODY LLP
United States Patent Rights

Attorney Docket No. _____

ASSIGNMENT

WHEREAS, Katsumi OKAYAMA, Naoyuki ISHIGAKI and Shuhei OKUMURA (hereinafter designated as the undersigned) has (have) invented certain new and useful improvements in RARE EARTH MAGNET AND METHOD FOR MANUFACTURING THE SAME for which an application for Letters Patent of the United States of America was filed on May 9, 2001, and assigned Serial No. 09/851,423, and;

WHEREAS, SUMITOMO SPECIAL METALS CO., LTD. of 4-7-19, Kitahama, Chuo-ku, Osaka-shi, Osaka 541-0041 Japan its heirs, successors, legal representatives and assigns (hereinafter designated as the Assignee) is desirous of acquiring the entire right, title and interest in and to said invention and in and to any Letters Patent(s) that may be granted therefor in the United States of America;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to the undersigned in hand paid, the receipt of which is hereby acknowledged, and other good and valuable consideration, the undersigned has (have) sold, assigned and transferred, and by these presents do sell, assign and transfer unto said Assignee the full and exclusive right to the said invention in the United States of America and its territories and for all foreign countries, dependencies and possessions and the entire right, title and interest in and to any and all Letters Patent(s) which may be granted therefor in the United States of America and its territories, dependencies and possessions, and in and to any and all divisions, reissues, continuations and extensions thereof for the full term or terms for which the same may be granted.

The undersigned agree(s) to execute all papers necessary in connection with this application and any continuing, divisional or reissue applications thereof and also to execute separate assignments in connection with such applications as the Assignee may deem necessary or expedient.

The undersigned agree(s) to execute all papers necessary in connection with any interference which may be declared concerning this application or any continuation, division or reissue thereof or Letters Patent(s) or reissue patent issued thereon and to cooperate with the Assignee in every way possible in obtaining and producing evidence and proceeding with such interference.

The undersigned agree(s) to execute all papers and documents and to perform any act which may be necessary in connection with claims under or provisions of the International Convention for the Protection of Industrial Property or similar agreements.

The undersigned agree(s) to perform all affirmative acts which may be necessary to obtain a grant of a valid United States patent(s) to the Assignee and to vest all rights therein hereby conveyed to said Assignee as fully and entirely as the same would have been held by the undersigned if this Assignment and sale had not been made.

The undersigned hereby authorize(s) and request(s) the Commissioner of Patents and Trademarks to issue any and all Letters Patents of the United States of America resulting from said application or any division or divisions or continuing or reissue applications thereof to the said Assignee, as Assignee of the entire interest, and hereby covenants that he has (they have) the full right to convey the entire interest herein assigned, and that he has (they have) not executed, and will not execute, any agreement in conflict herewith.

The undersigned hereby grant(s) the law firm of Nixon Peabody LLP the power to insert on this Assignment any further identification which may be necessary or desirable in order to comply with the rules of the U.S. Patent and Trademark Office for recordation of this document.

In witness thereof, this Assignment has been executed by the undersigned on the date(s) opposite the undersigned name(s).

Date June 22, 2001, Name of Inventor Katsumi Okayama (SEAL)
Katsumi OKAYAMA

Date June 22, 2001, Name of Inventor Naoyuki Ishigaki (SEAL)
Naoyuki ISHIGAKI

Date June 22, 2001, Name of Inventor Shuhei Okumura (SEAL)
Shuhei OKUMURA

(This assignment should preferably be acknowledged before a United States Consul or Notary Public. If not, then the execution by the Inventor(s) should be witnessed by at least two other persons who should sign here.)

Witness _____
(name) (signature)

Witness _____
(name) (signature)

Witness _____
(name) (signature)